

## Superconductivity in $\text{La}_4\text{Ni}_3\text{O}_{10}$ under pressure

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(Received 6 February 2025; revised 7 April 2025; accepted 11 April 2025; published 22 April 2025)

The discovery of superconductivity (SC) in the trilayer nickelate compound  $\text{La}_4\text{Ni}_3\text{O}_{10}$  under pressure has generated significant interest. In this work, we propose a trilayer two  $E_g$ -orbital  $t$ - $J_{\parallel}$ - $J_{\perp}$  model to investigate the microscopic origin of SC in this system. In the strong-coupling regime, each layer is governed by a  $t$ - $J_{\parallel}$  model with intralayer antiferromagnetic exchange  $J_{\parallel}$ , while electrons are allowed to hop between layers, interacting via interlayer exchange  $J_{\perp}$ . The inner-layer  $3d_{z^2}$ -orbital electrons tend to form bonding states with those in the neighboring layers, leading to redistribution of the electron densities. The numerical simulation results indicate that SC is predominantly mediated by the  $3d_{z^2}$  orbital, characterized by an intralayer extended  $s$ -wave pairing in the outer layers, accompanied by an interlayer pairing with opposite sign. Furthermore, we find that electron doping enhances SC, while hole doping tends to suppress it. These findings provide new insights into the SC mechanisms of  $\text{La}_4\text{Ni}_3\text{O}_{10}$  and its sensitivity to charge doping.

DOI: [10.1103/PhysRevB.111.134515](https://doi.org/10.1103/PhysRevB.111.134515)

### I. INTRODUCTION

The Ruddlesden-Popper phase nickelates series  $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1}$  has attracted significant attention for a long time due to its potential for hosting unconventional superconductivity (SC) [1–18]. A major breakthrough occurred with the discovery of SC in the infinite-layer  $\text{Nd}_{0.8}\text{Sr}_{0.2}\text{NiO}_3$  ( $n = \infty$ ) [19]. More recently, high-temperature SC ( $T_c \approx 80$  K) was observed in  $\text{La}_3\text{Ni}_2\text{O}_7$  ( $n = 2$ ) under pressure exceeding 14 GPa [20–24], sparking further investigations. Further excitement was generated by the successful realization of SC in thin-film  $\text{La}_3\text{Ni}_2\text{O}_7$  under ambient pressure, exhibiting  $T_c$  approximately 40 K [25]. Additionally, experimental evidence of SC ( $T_c \approx 20$  K) under pressure in  $\text{La}_4\text{Ni}_3\text{O}_{10}$  ( $n = 3$ ) [26–28] has drawn considerable attention [29–54].

The potential SC in  $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1}$  is intricately related to the electronic properties of the  $\text{NiO}_2$  planes, contributing from the Ni  $E_g$  orbitals. In single  $\text{NiO}_2$  layer, the two  $E_g$  orbitals are nearly degenerate. However, in a multilayer system, interlayer hopping lifts this degeneracy by forming bonding and antibonding bands. Based on the density-functional theory (DFT) calculations [12,20,55], a bilayer two-orbital model has been proposed to explain the high- $T_c$  SC in  $\text{La}_3\text{Ni}_2\text{O}_7$  [56,57], where the bonding band of the  $3d_{z^2}$  orbital is nearly

fully occupied and localized. In the strong-coupling limit, the  $3d_{z^2}$  orbital exhibits robust interlayer superexchange, which is transmitted to the  $3d_{x^2-y^2}$  orbital under strong Hund's rule [56–61], significantly enhancing the interlayer  $s$ -wave SC [56–63].

In  $\text{La}_4\text{Ni}_3\text{O}_{10}$ , the trilayer structure adds further complexity to its electronic nature [30,64]. Under pressure, trilayer  $\text{La}_4\text{Ni}_3\text{O}_{10}$  undergoes a structural transition to the tetragonal  $I4/mmm$  phase [31]. Valence counting suggests an average electronic configuration of  $\text{Ni}^{2.67+}$  ( $3d^{7.33}$ ), assigning four electrons to the two  $E_g$  orbitals across the three Ni atoms in each trilayer unit. Without considering the interlayer hopping, interorbital hybridization, or correlation effects, the hole number per  $E_g$  orbital is approximately 0.33, placing the system in the overdoped regime, according to cuprate standards [65–68]. Unlike the bilayer case, both  $E_g$  orbitals act as itinerant carriers, and the three layers are not fully equivalent, raising the fundamental question: what is the nature of SC in trilayer  $\text{La}_4\text{Ni}_3\text{O}_{10}$ ?

In this study, we develop a strongly correlated two  $E_g$ -orbital  $t$ - $J_{\parallel}$ - $J_{\perp}$  model to explore the SC in trilayer  $\text{La}_4\text{Ni}_3\text{O}_{10}$  under pressure. Employing slave-boson mean-field (SBMF) theory [65,66], we self-consistently estimate the ground-state order parameters and holon densities. The trilayer structure, combined with finite hybridization effect, leads to itinerant  $E_g$  orbital bands with effective hole densities in the overdoped regime. Our numerical simulations show that SC in this trilayer system is predominantly driven by the  $3d_{z^2}$  orbital, manifesting as intralayer extended  $s$ -wave pairing in the outer layers, accompanied by interlayer pairing. In contrast,

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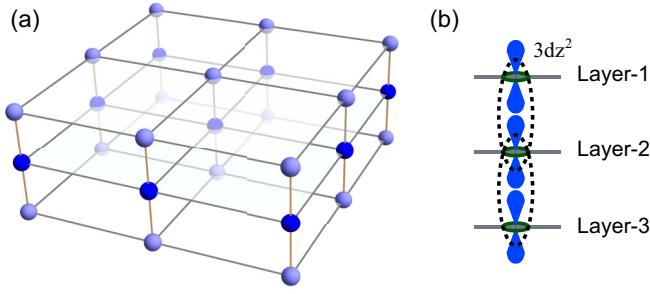


FIG. 1. (a) Trilayer lattice structure for the  $\text{La}_3\text{Ni}_2\text{O}_7$ . (b) Formation of the bonding bands in  $3d_{z^2}$  orbital. The inner layer 2 could form bonding band with both the outer layer 1 and 3.

the  $3d_{x^2-y^2}$  orbital exhibits much weaker pairing strength. We further explore the effect of doping to the  $\text{La}_4\text{Ni}_3\text{O}_{10}$ . Namely, we find that electron doping enhances SC, while hole doping suppresses it. Our results provide additional insights into the SC mechanism of trilayer  $\text{La}_4\text{Ni}_3\text{O}_{10}$ .

## II. EFFECTIVE TRILAYER TWO-ORBITAL MODEL

The electronic characteristics of the trilayer  $\text{La}_4\text{Ni}_3\text{O}_{10}$  under pressure are predominantly influenced by the two  $E_g$  orbitals within the  $\text{NiO}_2$  planes. The trilayer configuration of the Ni ions is schematically shown in Fig. 1(a). Due to interlayer hopping,  $3d_{z^2}$ -orbital electrons residing on the inner layer can form bonding bands with those in both the upper and lower layers, as depicted in Fig. 1(b), which may be viewed as geometric frustration. Consequently,  $3d_{z^2}$ -orbital hole numbers within inner and outer layers would diverge. The electronic nature within inner and outer layers would be different. Experimentally, evidence points towards correlation effects, including observations of strange metal behavior in the normal state [27] and suppressed kinetic energy indicated by optical studies [46,52]. Furthermore, the local Hubbard interaction  $U$  on the Ni ions, largely insensitive to the specific lattice details, is estimated to be  $U \approx 4 - 5$  eV (e.g., a similar value was used for  $\text{La}_3\text{Ni}_2\text{O}_7$  in Ref. [12]), significantly exceeding the characteristic intersite hopping energy 0.7 eV. These points firmly place the system within the correlated regime, thereby motivating the development of a strong-coupling effective theory in the subsequent analysis.

The intralayer Hamiltonian  $H_{\parallel}$  is given by

$$\begin{aligned}
 H_{\parallel} = & - \sum_{(i,j),\alpha,\sigma} (t_{\parallel}^{x\alpha} d_{x\alpha\sigma}^{\dagger}(i) d_{x\alpha\sigma}(j) + \text{H.c.}) \\
 & - \sum_{(i,j),\alpha,\sigma} (t_{\parallel}^{z\alpha} d_{z\alpha\sigma}^{\dagger}(i) d_{z\alpha\sigma}(j) + \text{H.c.}) \\
 & - \sum_{(i,j),\alpha,\sigma} t_{\parallel,j-i}^{xz\alpha} (d_{x\alpha\sigma}^{\dagger}(i) d_{z\alpha\sigma}(j) + \text{H.c.}) \\
 & + \sum_{(i,j),\alpha} [J_{\parallel}^{x\alpha} \mathbf{S}_{x\alpha}(i) \cdot \mathbf{S}_{x\alpha}(j) + J_{\parallel}^{z\alpha} \mathbf{S}_{z\alpha}(i) \cdot \mathbf{S}_{z\alpha}(j)], \quad (1)
 \end{aligned}$$

where  $d_{x\alpha\sigma}^{\dagger}(i)/d_{z\alpha\sigma}^{\dagger}(i)$  creates a  $3d_{x^2-y^2}/3d_{z^2}$ -orbital electron with spin  $\sigma = \uparrow, \downarrow$  at the lattice site  $i$  in the layer  $\alpha = 1, 2, 3$ .  $\mathbf{S}_{x\alpha}(i) = \frac{1}{2} d_{x\alpha\sigma}^{\dagger}(i) [\boldsymbol{\sigma}]_{\sigma\sigma'} d_{x\alpha\sigma'}(i)$  is the spin operator for the

$3d_{x^2-y^2}$  orbital, with Pauli matrix  $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$ ; similar for the  $3d_{z^2}$ -orbital spin  $\mathbf{S}_{z\alpha}(i)$ .  $\langle ij \rangle$  represents the summation over all the intralayer nearest-neighbor (NN) bonds.  $H_{\parallel}$  describes three intralayer  $t$ - $J_{\parallel}$  models of the two  $E_g$  orbitals, with the corresponding intralayer NN hopping terms  $t_{\parallel}^{x\alpha}$  and  $t_{\parallel}^{z\alpha}$ , and antiferromagnetic (AFM) spin-exchange terms  $J_{\parallel}^{x\alpha}$  and  $J_{\parallel}^{z\alpha}$ .  $t_{\parallel,j-i}^{xz\alpha}$  represent the finite NN hybridization between the two  $E_g$  orbitals, which exhibit opposite signs along  $x$  and  $y$  directions due to the symmetry constraint  $t_{\parallel,x}^{xz\alpha} = -t_{\parallel,y}^{xz\alpha}$ . The hole densities within  $\alpha$  layer are denoted as  $\delta_{x\alpha}$  and  $\delta_{z\alpha}$  for  $3d_{x^2-y^2}$  and  $3d_{z^2}$  orbitals, respectively.

The interactions among the three layers are facilitated by the interlayer hoppings of  $3d_{z^2}$  orbitals and interlayer superexchanges. The three layers are categorized into two types, with the inner layer denoted by  $\alpha = 2$  and the outer layers labeled as  $\alpha = 1, 3$ . The interlayer hoppings and exchanges are described by the following expressions:

$$\begin{aligned}
 H_{\perp} = & - \sum_{i,\sigma;\alpha=1,3} (t_{\perp}^z d_{z2\sigma}^{\dagger}(i) d_{z\alpha\sigma}(i) + \text{H.c.}) \\
 & + \sum_{i;\alpha=1,3} [J_{\perp}^x \mathbf{S}_{x2}(i) \cdot \mathbf{S}_{x\alpha}(i) + J_{\perp}^z \mathbf{S}_{z2}(i) \cdot \mathbf{S}_{z\alpha}(i)], \quad (2)
 \end{aligned}$$

involving the NN interlayer hoppings  $t_{\perp}^z$  and AFM spin exchanges between the inner layer  $\alpha = 2$  and the outer layers  $\alpha = 1, 3$ . The robust interlayer exchange  $J_{\perp}^z$  arises from the NN interlayer hopping of the  $3d_{z^2}$  orbital in the strong-coupling limit. Additionally, a finite superexchange  $J_{\perp}^x$  associated with the  $3d_{x^2-y^2}$  orbital is transmitted from the  $3d_{z^2}$  orbital due to the robust Hund's rule coupling [56–61], as depicted in Fig. 1(b). It should be noted that this mechanism can only be realized when the  $3d_{z^2}$  orbital is singly occupied, resulting in the effective renormalized  $J_{\perp}^x$  by the filling of  $3d_{z^2}$  orbital. A similar situation leads to a nonzero effective intralayer superexchange  $J_{\parallel}^{z\alpha}$  for the  $3d_{z^2}$  orbital, which is transmitted from the  $3d_{x^2-y^2}$  orbital. A preliminary analysis yields the following leading-order approximations,

$$\begin{aligned}
 J_{\parallel}^{z1} &= J_{\parallel}^{z3} = J_{\parallel}(1 - \delta_{x1})^2, \\
 J_{\parallel}^{z2} &= J_{\parallel}(1 - \delta_{x2})^2, \\
 J_{\perp}^x &= J_{\perp}(1 - \delta_{z1})(1 - \delta_{z2}),
 \end{aligned} \quad (3)$$

where the effective exchanges are mostly generated when the intermediate orbitals are occupied. The larger holon densities would suppress these effective AFM spin exchanges.

We adopt the physical parameters of the hopping parameters from the DFT calculations with full structure relaxations [40]. The hopping parameters within the outer layers are given by  $t_{\parallel}^{x1} = t_{\parallel}^{x3} = 0.532$  eV,  $t_{\parallel}^{z1} = t_{\parallel}^{z3} = 0.1558$  eV, and  $t_{\parallel}^{xz1} = t_{\parallel}^{xz3} = 0.282$  eV. For the inner layer,  $t_{\parallel}^{x2} = 0.545$  eV,  $t_{\parallel}^{z2} = 0.1373$  eV, and  $t_{\parallel}^{xz2} = 0.2945$  eV. The robust interlayer hopping for the  $3d_{z^2}$  orbital is  $t_{\perp}^z = 0.7082$  eV, while the interlayer one for  $3d_{x^2-y^2}$  nearly vanishes. The onsite energies are chosen as  $E_{x1} = E_{x3} = 0.358$  eV,  $E_{x2} = 0.656$  eV,  $E_{z1} = E_{z3} = 0$  eV, and  $E_{z2} = 0.42$  eV.

In the strong coupling limit, effective AFM spin exchanges can arise from the NN hoppings. We take the interlayer AFM strength for  $3d_{z^2}$ -orbital as  $J_{\perp}^z \equiv J_{\perp} = 0.5$  eV, while the intralayer one for  $3d_{z^2}$  orbital is  $J_{\parallel}^z \equiv J_{\parallel} = 0.25$  eV. The

TABLE I. Table of the hopping and pairing order parameters (OPs) as well as the holon densities  $\delta_{x\alpha}$  and  $\delta_{z\alpha}$  calculated by the SBMF theory in the ground state (GS) for  $J_{\parallel} = 0.25$  eV and  $J_{\perp}/J_{\parallel} = 2$ .

OP	GS value (eV)	OP	GS value (eV)
$\Delta_{\perp}^z$	$-2.97 \times 10^{-4}$	$\chi_{\perp}^z$	$1.17 \times 10^{-1}$
$\Delta_{\perp}^x$	$-1.0 \times 10^{-5}$	$\chi_{\perp}^x$	$1.22 \times 10^{-3}$
$\Delta_{\parallel}^{x2}$	$4.37 \times 10^{-5}$	$\chi_{\parallel}^{x2}$	$2.79 \times 10^{-2}$
$\Delta_{\parallel}^{z2}$	$2.73 \times 10^{-5}$	$\chi_{\parallel}^{z2}$	$4.07 \times 10^{-4}$
$\Delta_{\parallel}^{x1}$	$4.33 \times 10^{-5}$	$\chi_{\parallel}^{x1}$	$3.10 \times 10^{-2}$
$\Delta_{\parallel}^{z1}$	$5.28 \times 10^{-4}$	$\chi_{\parallel}^{z1}$	$1.32 \times 10^{-3}$
	Holon density		Holon density
$\delta_{x1}$	0.402	$\delta_{z1}$	0.171
$\delta_{x2}$	0.523	$\delta_{z2}$	0.332

transmitted interaction strengths  $J_{\perp}^x$  and  $J_{\parallel}^{z\alpha}$  depend on the occupied densities of the relevant orbitals.

The  $t$ - $J$ -like model is appropriate for strongly correlated systems where double occupancy is prohibited; SBMF is particularly well suited for studying such models as its formalism naturally incorporates this constraint. In the SBMF theory [65,66], the electronic operators are expressed as a combination of spinon and holon part, i.e.,  $c_{i\sigma}^{\dagger} = f_{i\sigma}^{\dagger} h_i$ . The spin-exchange interactions could be decomposed into spinon hopping and pairing channels, yielding the following mean-field expressions:

$$\begin{aligned}
 \chi_{\parallel}^{s\alpha} &= \frac{3}{8} J_{\parallel}^{s\alpha} \langle f_{s\alpha\uparrow}^{\dagger}(j) f_{s\alpha\uparrow}(i) + f_{s\alpha\downarrow}^{\dagger}(j) f_{s\alpha\downarrow}(i) \rangle, \\
 \Delta_{\parallel}^{s\alpha} &= \frac{3}{8} J_{\parallel}^{s\alpha} \langle f_{s\alpha\downarrow}(j) f_{s\alpha\uparrow}(i) - f_{s\alpha\uparrow}(j) f_{s\alpha\downarrow}(i) \rangle, \\
 \chi_{\perp}^s &= \frac{3}{8} J_{\perp}^s \langle f_{s2\uparrow}^{\dagger}(i) f_{s1\uparrow}(i) + f_{s2\downarrow}^{\dagger}(i) f_{s1\downarrow}(i) \rangle, \\
 \Delta_{\perp}^s &= \frac{3}{8} J_{\perp}^s \langle f_{s2\downarrow}(i) f_{s1\uparrow}(i) - f_{s2\uparrow}(i) f_{s1\downarrow}(i) \rangle,
 \end{aligned} \quad (4)$$

with  $s = x, z$  for the  $3d_{x^2-y^2}$ ,  $3d_{z^2}$  orbital, respectively. The strengths of the ground-state order parameters and holon densities are numerically solved, as summarized in Table I. In the relevant parameter regime  $J_{\parallel} = 0.25$  eV and  $J_{\perp}/J_{\parallel} = 2$ , the holon densities for the  $3d_{x^2-y^2}$  orbital fall within the overdoped regime,  $\delta_{x1} = 0.402$  and  $\delta_{x2} = 0.523$ , resulting in a reduction in its intralayer pairing strengths. In contrast,  $3d_{z^2}$ -orbital holon densities are  $\delta_{z1} = 0.171$  and  $\delta_{z2} = 0.332$ , with the outer-layer one  $\delta_{z1}$  closely approaching the optimal doping level of cuprates. This property suggests the potential for achieving SC in this orbital.

### III. SUPERCONDUCTIVITY

In the trilayer system, potential SC exhibits both intralayer and interlayer pairings for the two orbitals. Numerical simulated results for the  $J_{\parallel}$  dependence of all the relevant spinon pairing strengths are presented in Fig. 2(a). Among the pairing channels, the interlayer pairing  $\Delta_{\perp}^z$  and outer-layer one  $\Delta_{\parallel}^{z1}$  for the  $3d_{z^2}$  orbital exhibit the largest amplitudes, being the dominance within the relevant parameter regime. Here, the intralayer pairing exhibits the same phase along the  $x$  and  $y$  directions, leading to extended  $s$ -wave pairing. Interestingly, the interlayer and intralayer pairings exhibit opposite signs, as illustrated in Fig. 2(b). In a lateral view, the pairing character

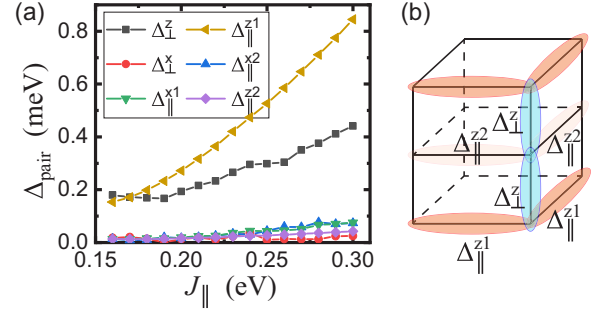


FIG. 2. (a) Spinon pairing strengths  $|\Delta_{\text{pair}}|$  versus exchange strengths  $J_{\parallel}$  at fixed  $J_{\perp}/J_{\parallel} = 2$ . (b) Schematic diagram for the dominant  $3d_{z^2}$ -orbital trilayer pairing structure. The intralayer and interlayer pairings exhibit opposite signs.

reflects a  $d$ -wave-like nature, signifying a change in the sign of the superconducting pairing from the parallel (intralayer) to the perpendicular (interlayer) direction. The pairing strengths in the  $3d_{x^2-y^2}$  orbital are markedly suppressed owing to its overdoped nature.

The superconducting state is realized when spinons are paired and holons are condensed [65,66], characterized by the SC order parameter  $\tilde{\Delta}_{\text{SC}} = \delta \Delta_{\text{pair}}$ . The numerical results for the  $J_{\parallel}$  dependence of the several SC pairing strengths are summarized in Fig. 3(a). The superconducting  $T_c$  is determined by the lower of the holon condensation temperature  $T_{\text{BEC}}$  and the spinon pairing temperature  $T_{\text{pair}}$ . Holon condensation is effectively characterized by a generalized two-dimensional (2D) XY-like model, where  $T_{\text{BEC}}$  is replaced by the Kosterlitz–Thouless (KT) transition temperature [69], proportional to the superfluid stiffness  $\rho_{s\alpha}$  within orbital  $s$  and layer  $\alpha$ . The estimation of  $\rho_{s\alpha}$  is given by

$$\rho_{s\alpha} = 2\delta_{s\alpha} t_{\parallel}^{s\alpha} \chi_{\parallel}^{s\alpha} / \left( \frac{3}{8} v_{s\parallel}^{\alpha} \right). \quad (5)$$

From the order parameters listed in Table I, we roughly estimate  $T_{\text{BEC}}^{s\alpha} = \frac{\pi}{2} \rho_{s\alpha}$  for the  $s$  orbital in the  $\alpha$  layer:  $T_{\text{BEC}}^{x1} \approx 2.22 \times 10^{-1}$  eV,  $T_{\text{BEC}}^{x2} \approx 2.67 \times 10^{-1}$  eV,  $T_{\text{BEC}}^{z1} \approx 3.32 \times 10^{-3}$  eV, and  $T_{\text{BEC}}^{z2} \approx 2.72 \times 10^{-3}$  eV. Clearly,  $3d_{x^2-y^2}$  orbital exhibits a much larger condensation temperature than  $3d_{z^2}$  orbital, due to the larger holon densities.

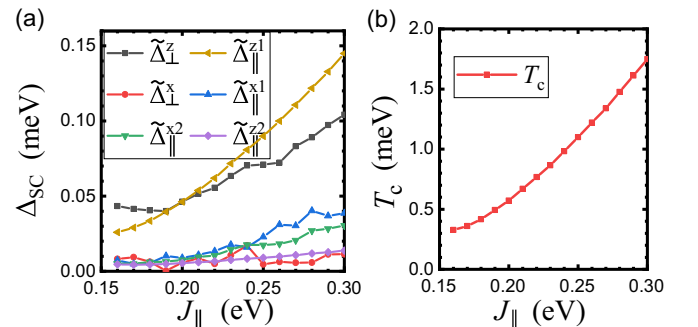


FIG. 3. (a) Superconducting pairing strengths  $|\Delta_{\text{SC}}|$  versus exchange strengths  $J_{\parallel}$  with fixed  $J_{\perp}/J_{\parallel} = 2$ .  $3d_{z^2}$ -orbital interlayer pairing  $\tilde{\Delta}_{\perp}^z$  and outer-extended  $s$ -wave pairing  $\tilde{\Delta}_{\parallel}^{z1}$  dominate in the relevant parameter regime. (b) Superconducting  $T_c$  versus  $J_{\parallel}$ .

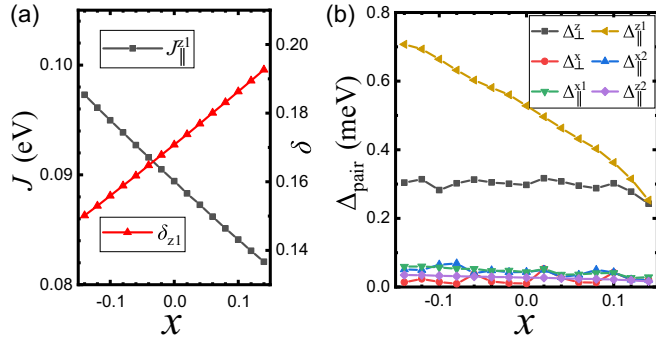


FIG. 4. (a) Outer-layer effective superexchanges  $J_{\parallel}^{z1}$  and holon densities  $\delta_{z1}$  versus dopings  $x$ , with  $x > 0$  for hole dopings and  $x < 0$  for electron dopings. (b) Spinon pairings versus dopings  $x$ .  $3d_{z^2}$  orbital interlayer pairing  $\Delta_{\perp}^z$  nearly maintains the same amplitude under doping.

Owing to the overdoped nature of the two orbitals,  $T_c$  is governed by the spinon pairing temperature  $T_{\text{pair}}$ , which is lower than the condensation  $T_{\text{BEC}}$ . The dependence of superconducting  $T_c$  on the spin exchange  $J_{\parallel}$  with fixed  $J_{\perp}/J_{\parallel} = 2$  is depicted in Fig. 3(b), exhibiting a qualitatively similar trend to the ground-state pairing strength  $\tilde{\Delta}_{\parallel}^{z1}$ . In the presence of higher interaction strengths,  $T_c$  naturally becomes larger with increasing  $J_{\parallel}$ .

The ground-state order parameters reveal clear signatures of orbital-selective doping and correlation effects, highlighting the potential for SC to emerge predominantly in the  $d_{z^2}$  orbital. Prior studies based on SBMF theory for the single-layer  $t$ - $J$  model have demonstrated that the spinon pairing temperature decreases with increasing doping, eventually becoming strongly suppressed in the heavily overdoped regime around  $\delta \approx 0.3 \sim 0.4$  [65]. This theoretical trend aligns well with experimental observations in cuprates, where SC weakens beyond optimal doping [65–68].

Here, the numerical results for trilayer  $\text{La}_4\text{Ni}_3\text{O}_{10}$  exhibit a consistent pattern. For the  $d_{x^2-y^2}$  orbital, the hole concentration lies in the range of  $\delta \approx 0.4 \sim 0.5$ , placing it deep in the overdoped regime. As a result, the pairing amplitude is significantly suppressed, and the corresponding spinon pairing temperature is very low. In contrast, the  $d_{z^2}$  orbital remains less doped, with  $\delta_{z1} = 0.171$  for the outer layer and  $\delta_{z2} = 0.332$  for the inner layer. These lower doping levels support a stronger pairing amplitude and lead to a much higher pairing temperature relative to the  $d_{x^2-y^2}$  orbital.

#### IV. EFFECTS OF DOPING

The doping dependence of the trilayer system is systematically investigated in Fig. 4, when the average number of electrons per unit cell is  $4 - x$  with doping fraction  $x$ . Here,  $x < 0$  corresponds to electron doping, while  $x > 0$  corresponds to hole doping. As the doping  $x$  varies from  $-0.1$  to  $0.1$ , the effective outer-layer  $3d_{z^2}$ -orbital holon density increases, as illustrated in Fig. 4(a). This is accompanied by a decrease in the effective coupling  $J_{\parallel}^{z1}$ , leading to a suppression in the outer-layer spinon pairing  $\Delta_{\parallel}^{z1}$  [65]. The simulated pairing strength  $\Delta_{\parallel}^{z1}$  clearly exhibits an increase under elec-

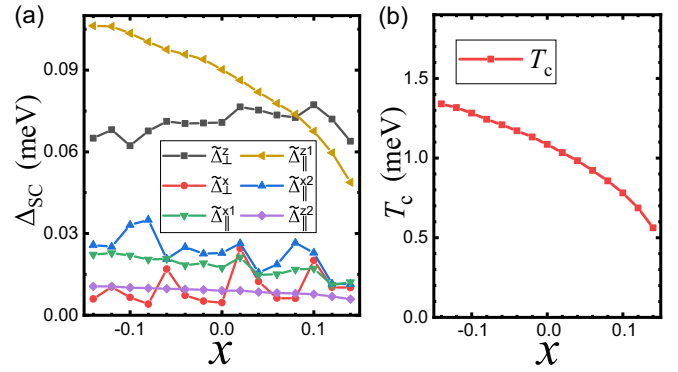


FIG. 5. (a) Superconducting pairing order parameters versus dopings  $x$ . (b) Superconducting  $T_c$  versus dopings  $x$ .  $T_c$  increases under electron doping and reduces under hole doping.

tron doping and a reduction under hole doping, as depicted in Fig. 4(b). The interlayer pairings  $\Delta_{\perp}^z$  of the  $3d_{z^2}$  orbital maintain nearly the same amplitudes as  $x$  varies.

The evolution of the SC order parameters under doping is further verified in Fig. 5(a). The interlayer pairing and outer-layer pairing of the  $3d_{z^2}$  orbital dominate. Specifically,  $\tilde{\Delta}_{\parallel}^{z1}$  is suppressed as  $x$  increases. The superconducting  $T_c$  increases under the electron doping ( $x < 0$ ) and decreases under hole doping ( $x > 0$ ), as shown in Fig. 5(b), following a similar tendency as the  $\tilde{\Delta}_{\parallel}^{z1}$ .

#### V. COMPARISON BETWEEN TRILAYER AND BILAYER SYSTEM

The superconducting behaviors in  $\text{La}_4\text{Ni}_3\text{O}_{10}$  and  $\text{La}_3\text{Ni}_2\text{O}_7$  diverge due to differences in the  $E_g$ -orbital filling factor and multilayer structure. In  $\text{La}_3\text{Ni}_2\text{O}_7$ , the bilayer configuration leads to a robust bonding band of  $3d_{z^2}$  orbital, which is nearly fully occupied and localized [56,57]. Consequently, the  $3d_{x^2-y^2}$  orbital acts as the source of mobile carriers and exhibits a preference for strong interlayer pairing. Conversely, bands reconstruction of  $E_g$  orbitals in trilayer  $\text{La}_4\text{Ni}_3\text{O}_{10}$  is reduced. As the  $3d_{z^2}$  orbital is no longer close to half filling, the effective coupling  $J_{\perp}^x$  is weakened, as indicated in Eq. (3), leading to a significant reduction in interlayer  $3d_{x^2-y^2}$ -orbital exchange.

The spinon Fermi surface (FS) in Fig. 6 further elucidates this distinction, where the color-coded compositions of the inner and outer layers are depicted. The outer-layer  $3d_{z^2}$  orbital dominates in most of the regime, while the contribution from the inner-layer  $3d_{z^2}$  orbital is minimal. The low density of the inner-layer  $3d_{z^2}$  orbital near the FS in  $\text{La}_4\text{Ni}_3\text{O}_{10}$  strongly hinders the interlayer pairing of the  $3d_{z^2}$  orbital compared to  $\text{La}_3\text{Ni}_2\text{O}_7$ . Moreover, the  $3d_{z^2}$ -orbital holon density in the outer layer is approximately 0.17, close to the optimal hole-doping level. However, the intralayer superexchange interaction for  $3d_{z^2}$  electrons is also weak, rendering intralayer pairing fragile and susceptible to destruction by thermal fluctuations. As a result, the superconducting behavior is predominantly characterized by intralayer extended  $s$ -wave pairing in the outer layers combined with interlayer pairing within  $3d_{z^2}$  orbital. Moreover, the pairing frustration

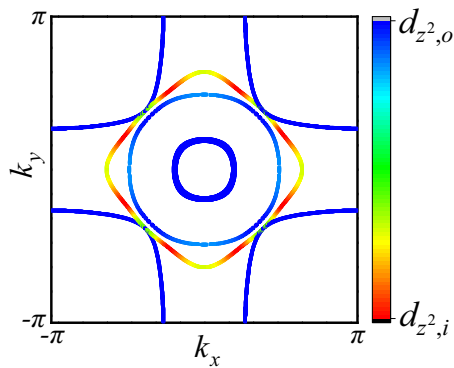


FIG. 6. The spinon Fermi surface. The right-side color panel shows the various components with the red and blue colors representing the inner-layer  $3d_{z^2}$  and the outer-layer  $3d_{z^2}$  orbitals, respectively.

induced by the trilayer structure and higher holon densities in  $3d_{z^2}$  orbital in pressurized  $\text{La}_4\text{Ni}_3\text{O}_{10}$  lead to a significantly lower  $T_c$  compared to pressurized  $\text{La}_3\text{Ni}_2\text{O}_7$ .

The differences between our calculated spinon FS and ARPES measurements [28,47,64] likely stem from the simplifications in our tight-binding model, which deliberately excludes longer-range hopping and certain interactions to focus on dominant correlation effects. While including these additional terms could refine quantitative details like FS curvature and nesting features, we do not expect them to qualitatively alter the fundamental pairing symmetry or mechanism identified here.

## VI. DISCUSSION

In summary, our investigation reveals that the  $3d_{z^2}$  orbital primarily drives the superconducting behavior in the trilayer compound  $\text{La}_4\text{Ni}_3\text{O}_{10}$ , while  $3d_{x^2-y^2}$  orbital plays an important role as a hidden bridge. An intralayer extended  $s$ -wave pairing within the outer layers, accompanied by an interlayer pairing with opposite sign, is stabilized in the numerical simulation, which exhibits a  $d$ -wave configuration in the side view. The numerical simulation further predicts that electron doping could enhance the pairing strength and critical temperature.

It is important to note, however, that the  $T_c$  values calculated in our study are somewhat lower than those observed experimentally [26–28]. This discrepancy can be primarily attributed to two main simplifications in our minimal model. First, by focusing on the most relevant degrees of freedom, our

model neglects long-range hopping processes and additional interactions that could potentially enhance  $T_c$ . Secondly, the SBMF approximation neglects certain quantum and thermal fluctuations which can play a significant role in strongly correlated systems and influence the transition temperature. Despite these limitations, our approach captures the proposed pairing mechanism's qualitative features, including its orbital selectivity and doping dependence, thus providing valuable insights despite the difference in absolute  $T_c$  values.

The discovery of superconductivity in pressurized  $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1}$  for  $n=2$  and  $n=3$  naturally raises the question of the optimal layer number,  $n$ , for SC within this nickelates series. Current experimental evidence indicates that  $n=2$  already represents the optimal layered structure for these materials, in contrast to multilayer cuprates, where  $n=3$  or  $n=4$  is considered optimal for SC [70]. This divergence may be attributed to differences in the underlying electronic structures: Nickelates  $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1}$  series have two  $E_g$  orbitals near the Fermi level, while cuprates exhibit a single  $3d_{x^2-y^2}$  orbital. Additionally, the  $3d_{z^2}$  orbital in  $\text{La}_{n+1}\text{Ni}_n\text{O}_{3n+1}$  facilitates strong interlayer hopping, resulting in robust interlayer superexchange, whereas cuprates only exhibit weak interlayer Josephson coupling [71,72]. Furthermore, the multiorbital nature and the role of Hundness [59–61,73,74] in generating strong correlations in nickelates may play a pivotal role in their superconductivity. A comprehensive understanding of the complex nature of superconductivity in this class of materials requires further theoretical and numerical investigations.

## ACKNOWLEDGMENTS

We are grateful to the stimulating discussions with Wei Li. C.W. is supported by the National Natural Science Foundation of China under the Grants No. 12234016 and No. 12174317. F.Y. is supported by the National Natural Science Foundation of China under the Grant No. 12074031. C.L. is supported by the National Natural Science Foundation of China under the Grant No. 12304180. This work has been supported by the New Cornerstone Science Foundation.

## DATA AVAILABILITY

The data that support the findings of this article are not publicly available. The data are available from the authors upon reasonable request.

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