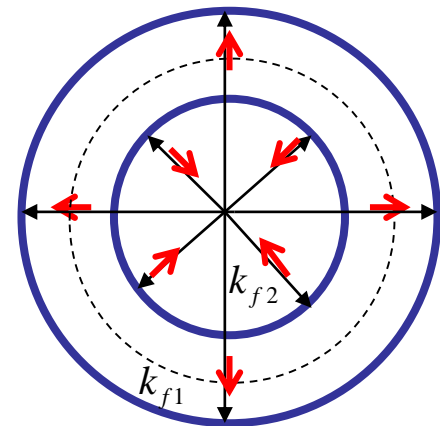
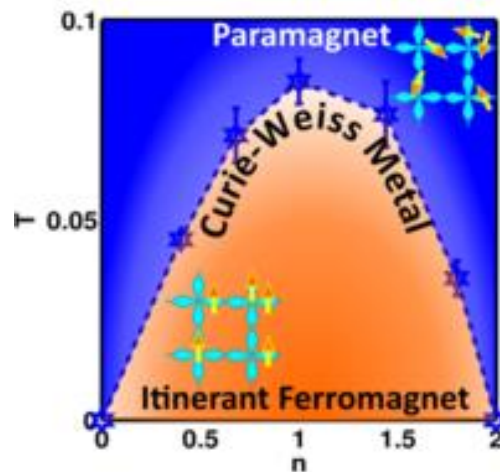
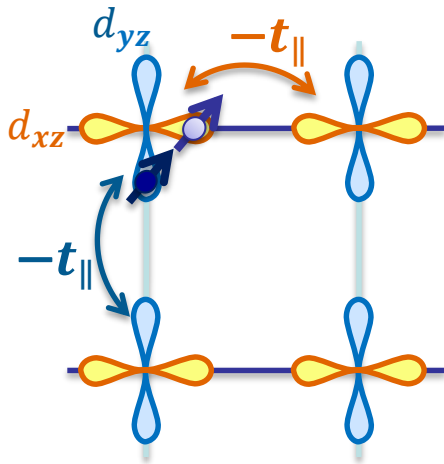


# Progress on Itinerant Electrons – Ferromagnetism, Curie-Weiss Metal, and Spin-orbit Ordering

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Thank J. Hirsch, I. Schuller, S. Kivelson, Lu Yu, Tin-Lun Ho for helpful discussions.

Refs. 1) Yi Li, E. H. Lieb, C. Wu, PRL 112, 217201 (2014).

2) S. L. Xu, Yi Li, C. Wu, PRX 5, 021032 (2015).

3) Yi Li, C. Wu, PRB 85, 205126 (2012).

4) C. Wu and S. C. Zhang, PRL 93, 36403 (2004).

5) C. Wu, K. Sun, E. Fradkin, and S. C. Zhang, PRB 75, 115103 (2007).

# The early age of ferromagnetism

The magnetic stone attracts iron.

慈 (ci) 石(shi) 召(zhao) 铁(tie)

---- *Guiguzi* (鬼谷子), (4<sup>th</sup> century BC)

慈

(loving, merciful, compassionate):  
the original Chinese character for  
magnetism

heart

磁

magnetism, magnetic

stone

Thales says that a stone (lodestone)  
has a soul because it causes  
movement to iron.

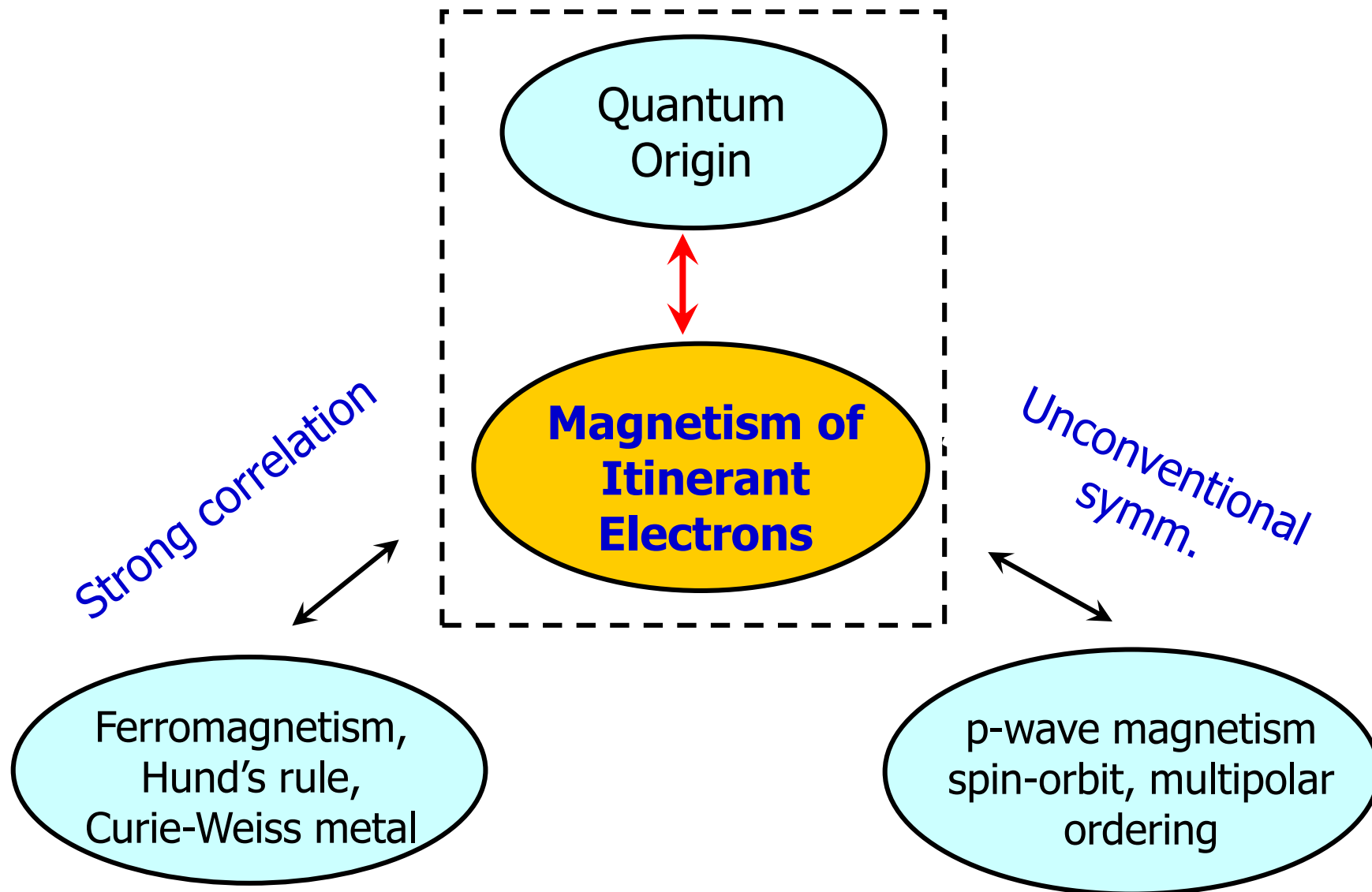
---- *De Anima*, Aristotle (384-322 BC)



World's first compass:  
magnetic spoon: 1 century  
AD (司南 South-pointer)

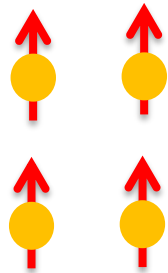
"Slightly eastward, not directly  
south" (常微偏东,不全南也)-  
Kuo Shen (沈括)(1031-1095)

# Outline



# Local moments v.s. itinerant electrons

- Local moments – **not our interest!**



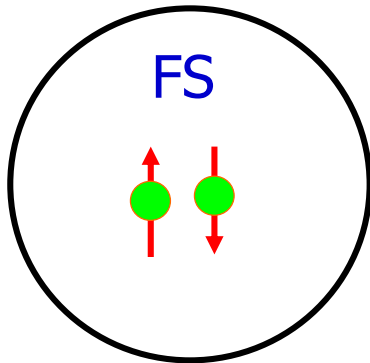
$$H = -J \sum_{ij} \sigma_i \sigma_j$$



Curie-Weiss susceptibility

$$\chi = \frac{A}{T - T_c}$$

- Itinerant electrons: Fermi surfaces – much harder to form ferromagnetism!



Pauli paramagnetism

$$\chi = N_0 \left(1 - \# \frac{T^2}{T_f^2}\right)$$

$N_0$ : density of states at Fermi energy

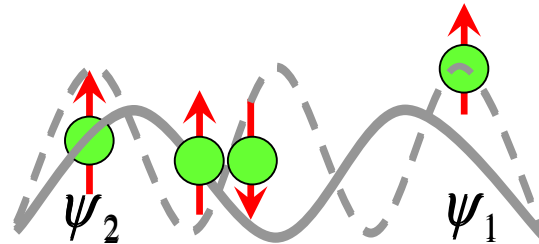
$N_0$ : density of states at the Fermi level

# Itinerant ferromagnetism – Fermi statistics



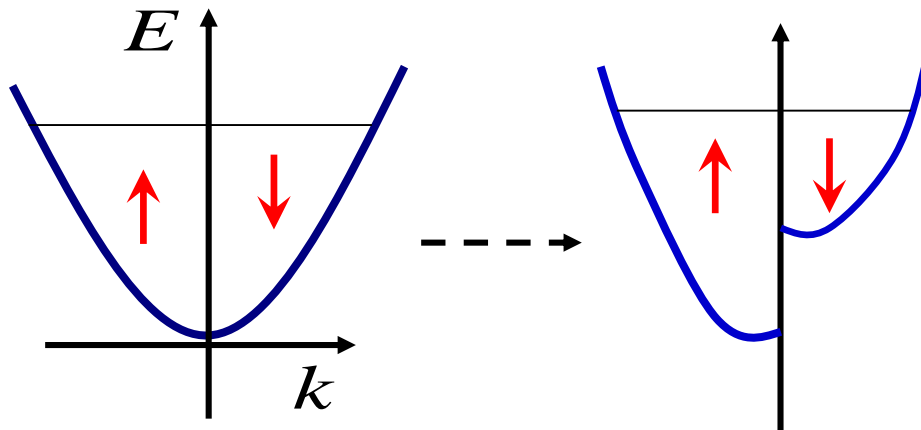
E. C. Stoner

- **Exchange due to exclusion – polarized electrons avoid each other.**



$$E_{\uparrow\uparrow} < E_{\uparrow\downarrow}$$

- **Stoner criterion: kinetic energy cost.**



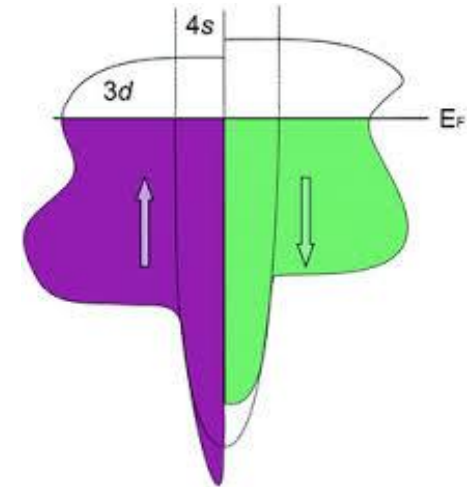
$$UN_0 > 1$$

$U$  – average interaction strength;  $N_0$  – density of states at the Fermi level

# Kohn-Sham density functional theory – Work at Univ. California, San Diego

- Accurate on the ground state magnetizations.

Property	source	Fe (bcc)	Co (fcc)	Ni (fcc)	Gd (hcp)
$M_{\text{spin}}$	LSDA	2.15	1.56	0.59	7.63
$M_{\text{spin}}$	GGA	2.22	1.62	0.62	7.65
$M_{\text{spin}}$	experiment	2.12	1.57	0.55	
$M_{\text{tot.}}$	experiment	2.22	1.71	0.61	7.63



- Correlations partially contained in  $V_{xc}(r)$  for energetics.

But wavefunctions remain Slater-determinant (uncorrelated) type.

- Thermal fluctuations difficult to handle – Curie temperatures overestimated.

# Itinerant ferromagnetism v.s. superconductivity

KNOWN SUPERCONDUCTIVE ELEMENTS

■ BLUE = AT AMBIENT PRESSURE  
■ GREEN = ONLY UNDER HIGH PRESSURE

1	IA	1	H	IIA																				0	2	He												
2		3	Li	4	Be									5	6	7	8	9	10																			
3		11	Na	12	Mg	IIIB	IVB	VB	VIB	VIB	VII	IB	IIIB	13	14	15	16	17	18																			
4		19	K	20	Ca	21	Sc	22	Ti	23	V	24	Cr	25	Mn	26	Fe	27	Co	28	Ni	29	Cu	30	Zn	31	Ga	32	Ge	33	As	34	Se	35	Br	36	Kr	
5		37	Rb	38	Sr	39	Y	40	Zr	41	Nb	42	Mo	43	Tc	44	Ru	45	Rh	46	Pd	47	Ag	48	Cd	49	In	50	Sn	51	Sb	52	Te	53	I	54	Xe	
6		55	Cs	56	Ba	*La	Hf	72	Ta	73	W	74	Re	75	Os	76	Ir	77	Pt	78	Au	79	Hg	80	Hg	81	Tl	82	Pb	83	Bi	84	Po	85	At	86	Rn	
7		87	Fr	88	Ra	+Ac	Rf	104	Ha	105	106	107	108	109	110	111	112																					

SUPERCONDUCTORS.ORG

\* Lanthanide Series  
+ Actinide Series

58	59	60	61	62	63	64	65	66	67	68	69	70	71
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
90	91	92	93	94	95	96	97	98	99	100	101	102	103
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr



FM elements:

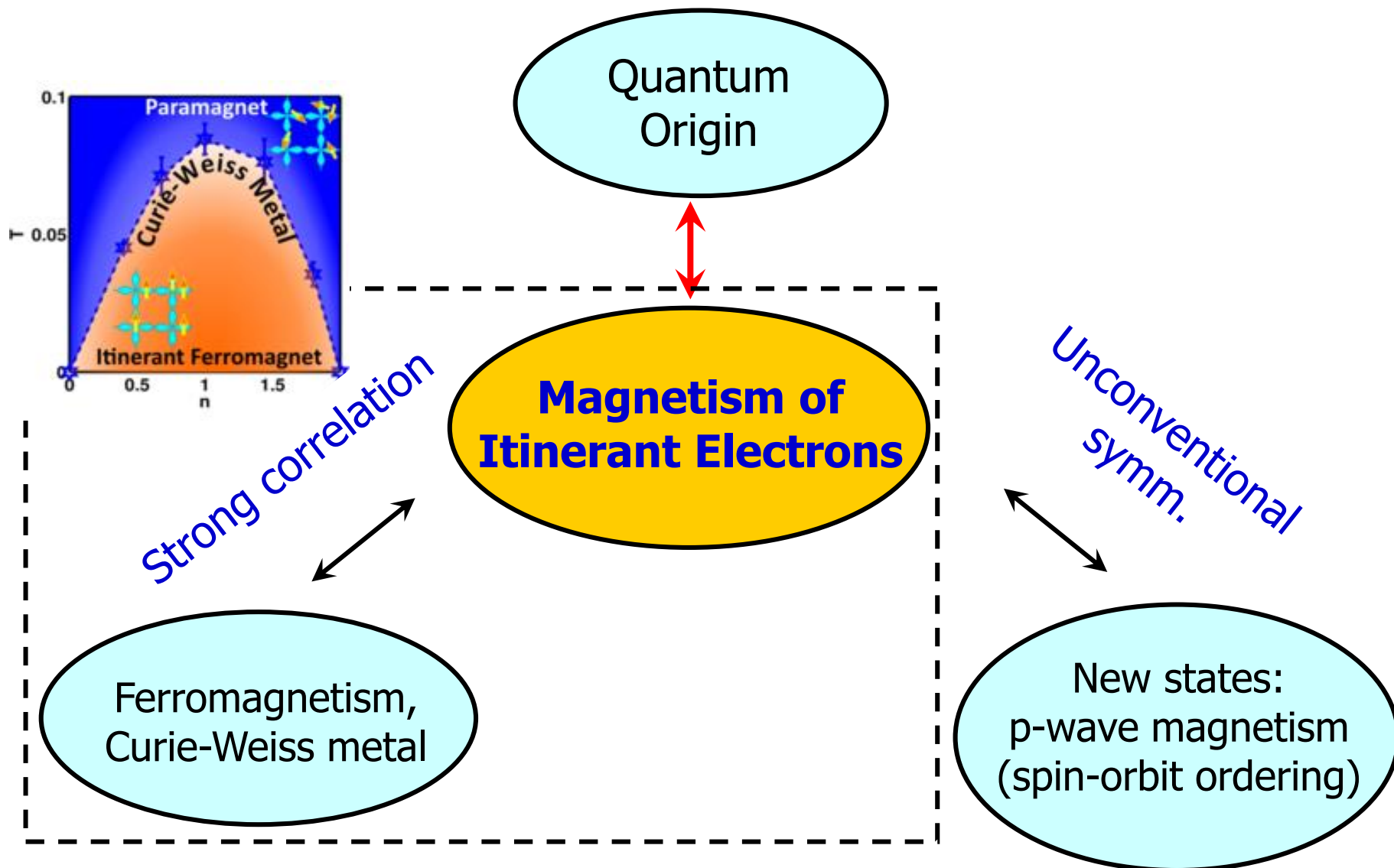
Fe	Co	Ni
----	----	----

Gd
----

Dy
----



# Outline



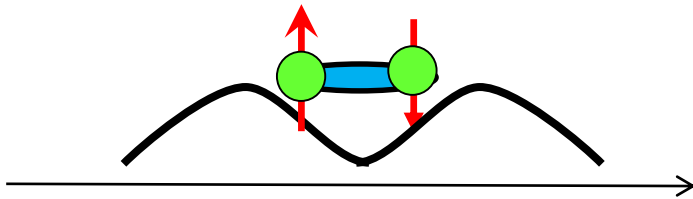
# Correlations – FM is still rare!

- Electrons with opposite spins still avoid each other → **Kinetic energy advantage via correlated wavefunctions.**

- **No go!** Correlation wins: (I) two electrons, (II) 1D.

singlet (the ground state)

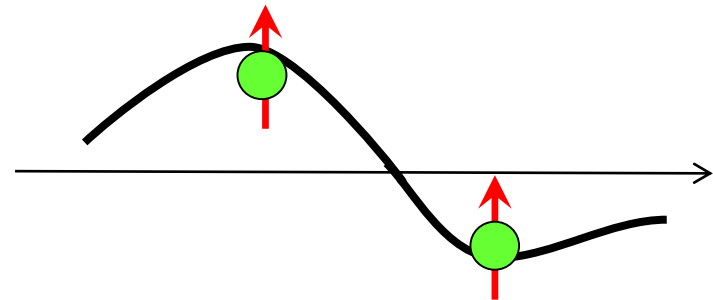
$$\phi_{sym}(x_1 - x_2)$$



(correlated, nodeless)

triplet

$$\phi_{asym}(x_1 - x_2)$$

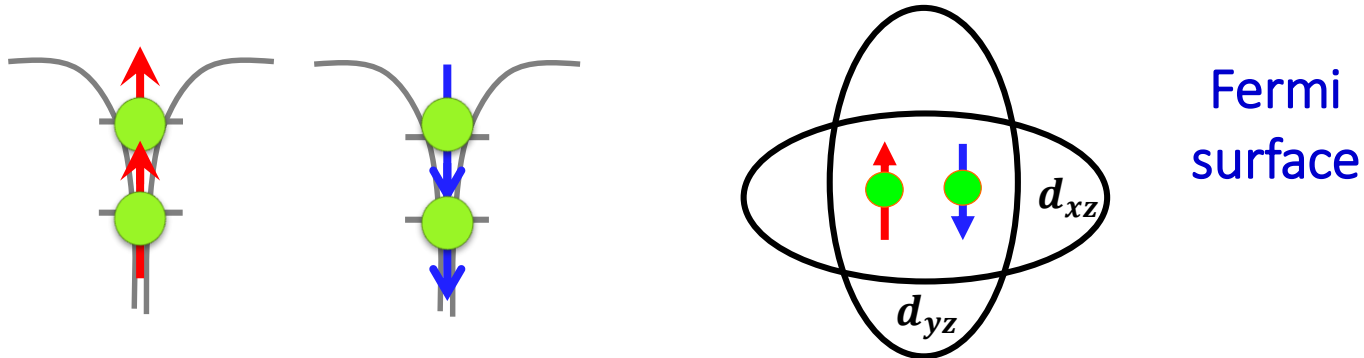


(exchange, nodal)

**No FM in 1D** – Lieb & Mattis theorem: spin singlet ground states.

# Local v.s. global: Hund's coupling $\neq$ ferromagnetism

- Most ferro-metals: orbital degeneracy, Hund's coupling.
- Electron/hole spins add up – the exchange interaction.



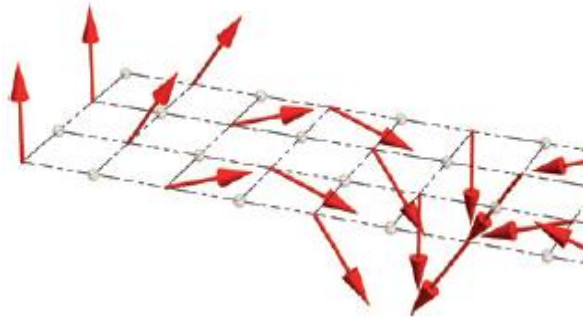
- Hund's rule usually cannot polarize the entire lattice!
- **Under what condition, Hund's rule  $\rightarrow$  global ferromagnetic coherence?**

# Curie-Weiss metal $\leftrightarrow$ high $T_c$ pseudo-gap phase

- Curie-Weiss susceptibility:  $\chi = \frac{A}{1 - T/T_0}$ ,  $T_0 < T \ll T_f$

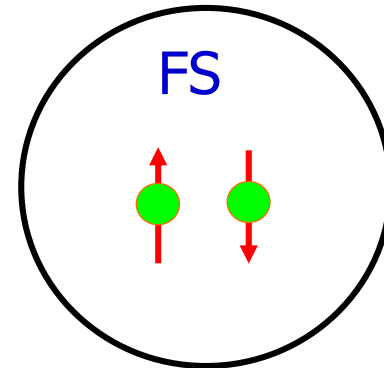
Natural for local moments but not for Fermi surfaces!

- Paramagnetic states close to  $T_0$ : domain fluctuations!



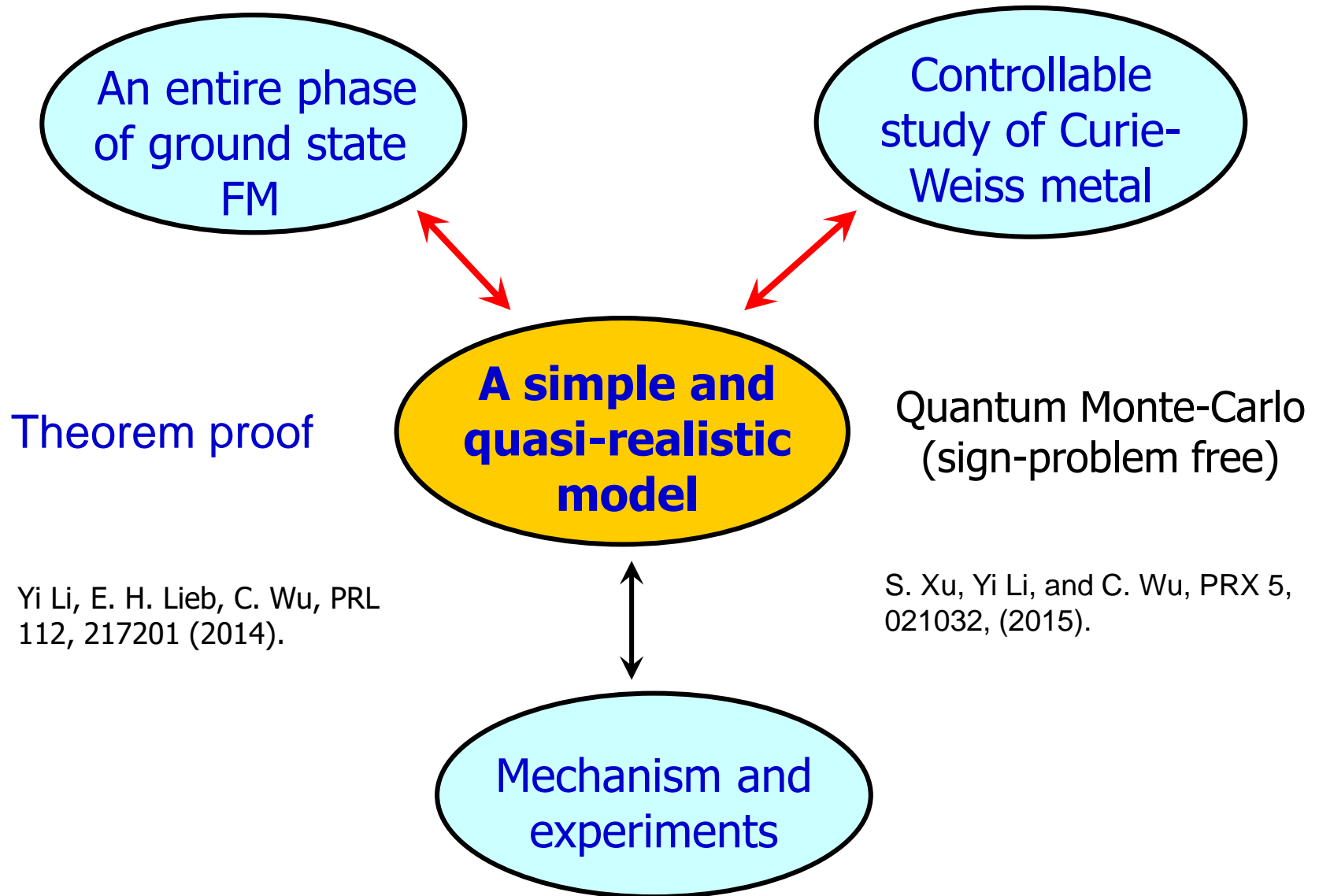
$$T > T_0$$

$\neq$



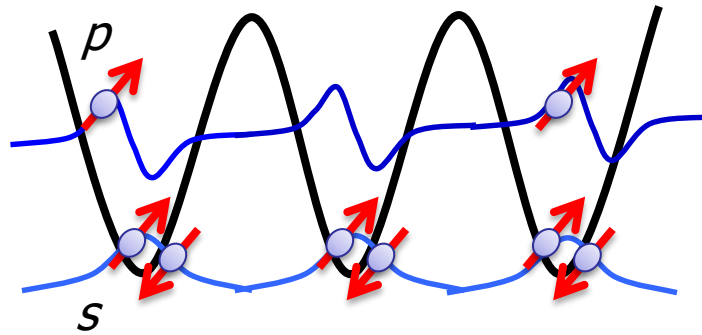
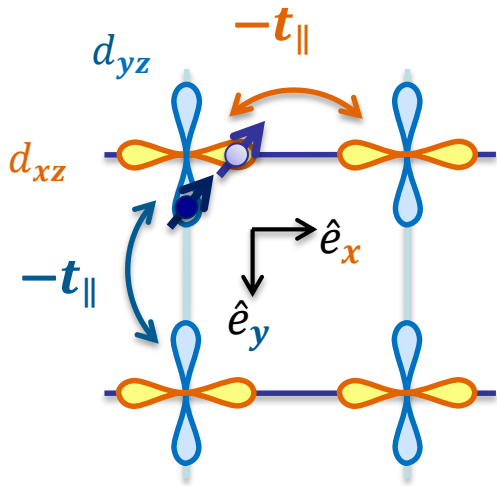
Stronger correlation than in the polarized FM state

# Non-perturbative study on itinerant FM

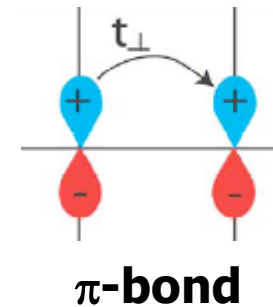


# Hund's rule + quasi-1D bands (p/d-orbitals) → 2D and 3D FM in the strong interaction regime.

- $d_{xz}/d_{yz}$  in 2D transition metal oxides
- $p_x, p_y, (p_z)$  in 2D or 3D optical lattices.



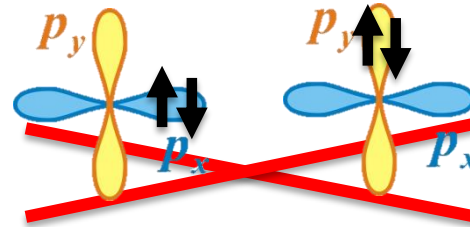
$$t_{\parallel} \gg t_{\perp} \rightarrow 0$$



# Multi-orbital onsite (Hubbard) interactions

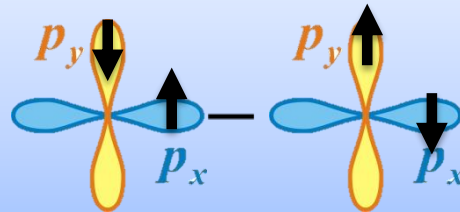
- Intra-orbital repulsion  $U \rightarrow \infty$ .

Intra-orbital  
singlet projected out



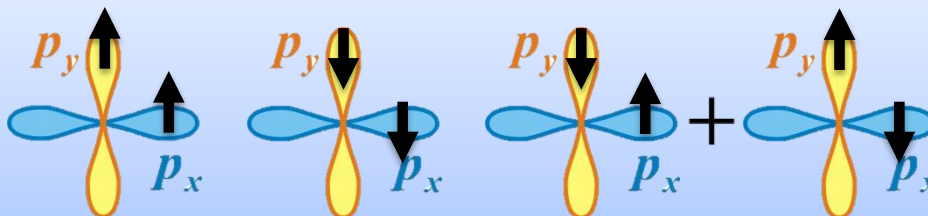
- Inter-orbital **Hund's coupling**  $J > 0$ , and repulsion  $V$ .

Inter-orbital  
singlet



$$E = J + V$$

3-fold  
triplet



$$E = V$$

# Theorem for a **phase** of itinerant FM

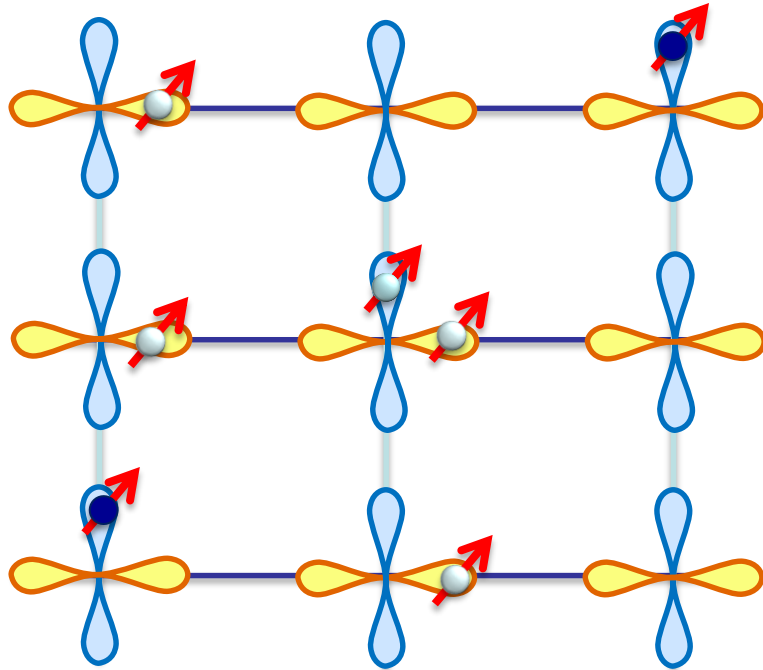


- **Theorem: FM ground states** at  $U \rightarrow \infty$  (fully polarized and unique up to  $2S_{\text{tot}}+1$ -fold spin degeneracy).
- **An entire phase:** valid at any generic filling, any value for  $J>0$ , and  $V$ .
- Free of quantum Monte-Carlo (QMC) sign problem at any filling – a rare case for fermions.

**A reliable reference point for analytic and numeric studies of FM in multi-orbital systems**

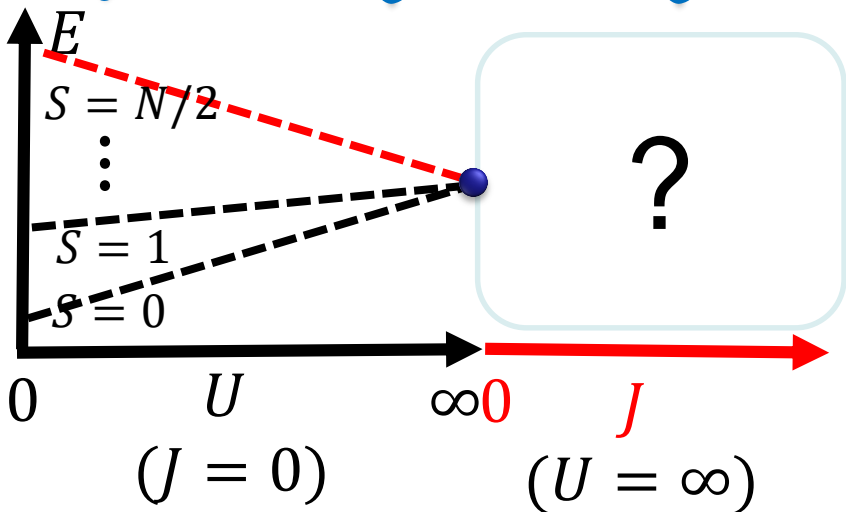


# Hund's rule assisted global FM



- Intra-chain:  
Finite  $U$ : singlet ground state  
 $U \rightarrow \infty$ : infinite degeneracy

• Inter-chain:  
Hund's  $J$  lifts the degeneracy  
 $\rightarrow$  global FM.



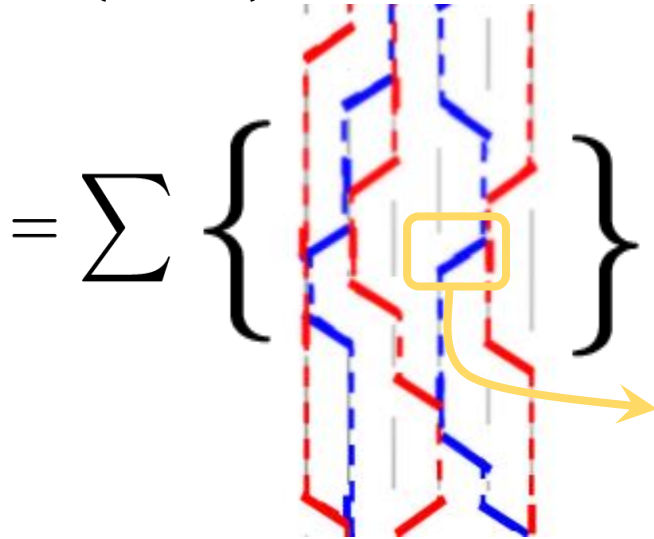
- 2D FM coherence: Total spin in each chain not conserved.

# Quantum Monte Carlo (QMC)

- Stochastic method – polling the Hilbert space with importance sampling.



$$Z = \text{Tr}(e^{-\beta H})$$

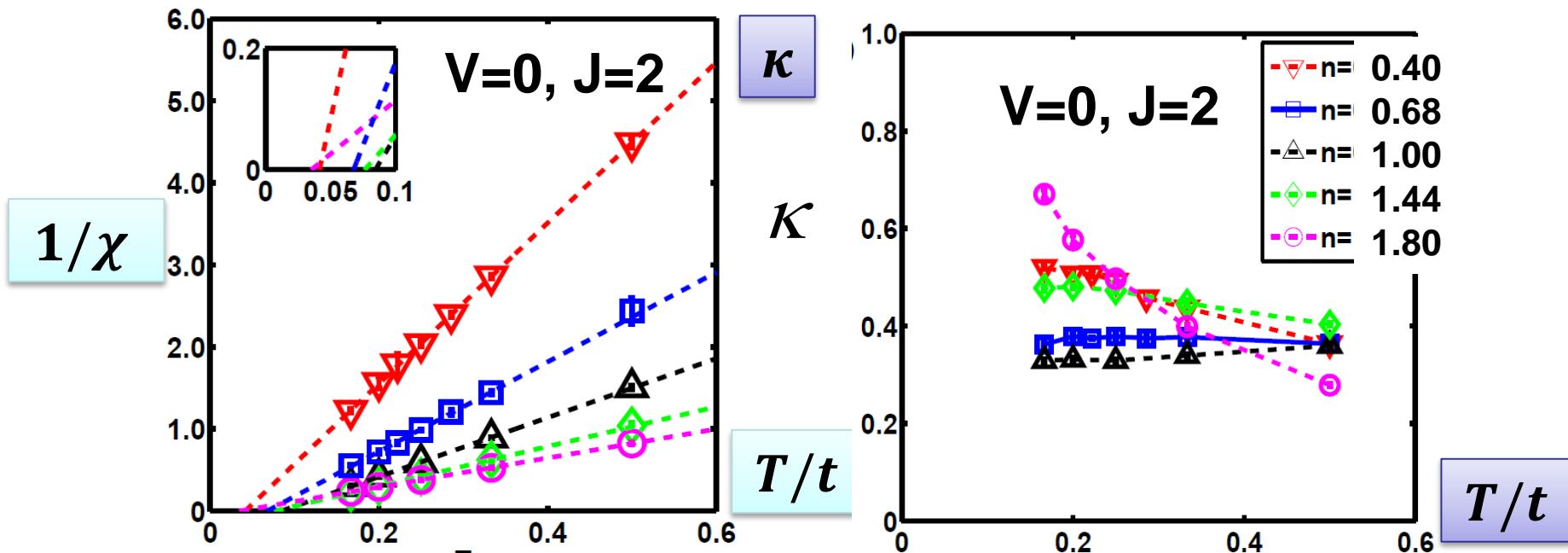


*Always Positive !*

$$\langle \psi, \tau | (-H)^{g(b, \tau)} | \psi, \tau + d\tau \rangle$$

- Stochastic series expansion (SSE) + direct loop update.
- Our model is free of the sign problem – a rare case of fermion models.

# QMC: the Curie-Weiss metal

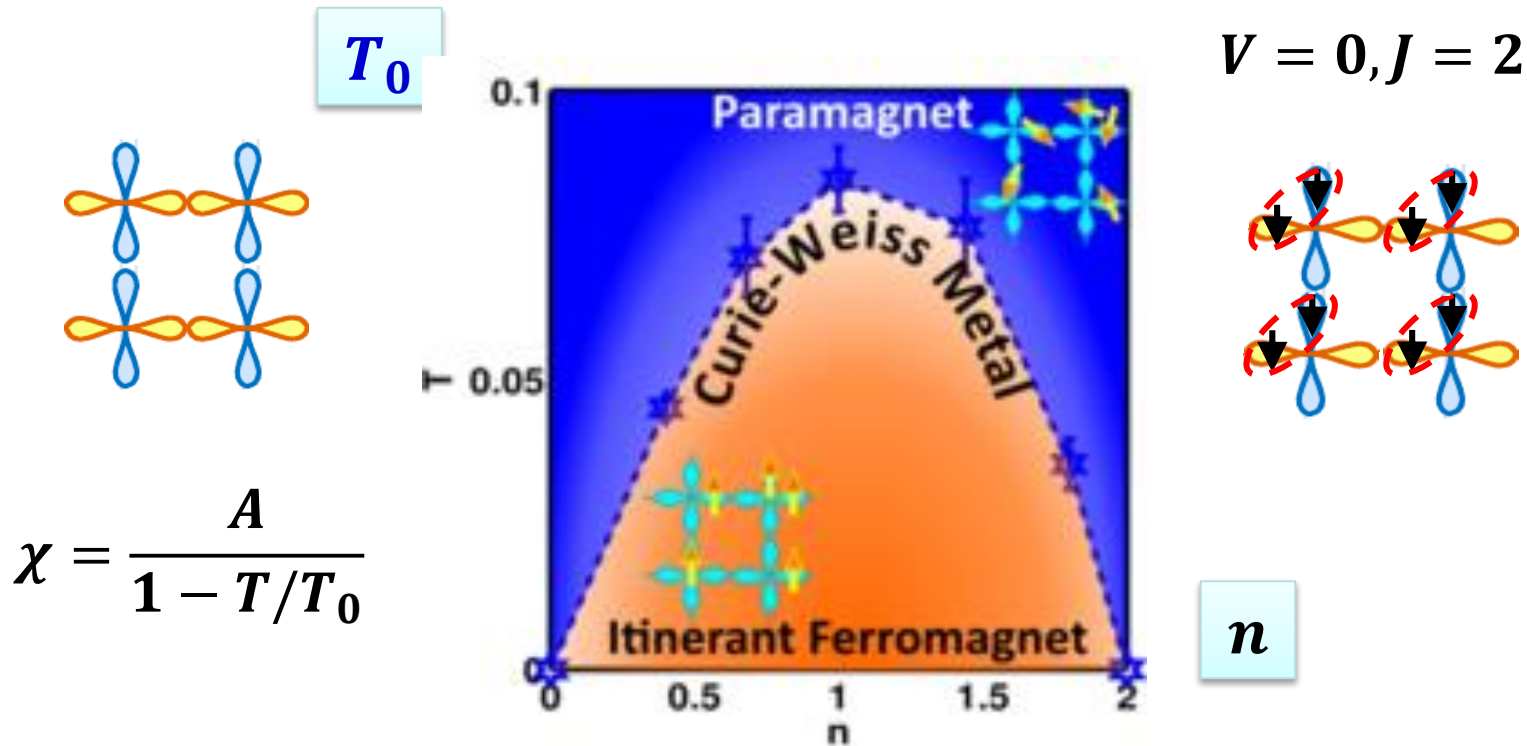


- Local moment-like spin susceptibility (spin incoherent):

$$\chi = \frac{A}{1 - T/T_0}, \quad T_0 < T < T_{ch}$$

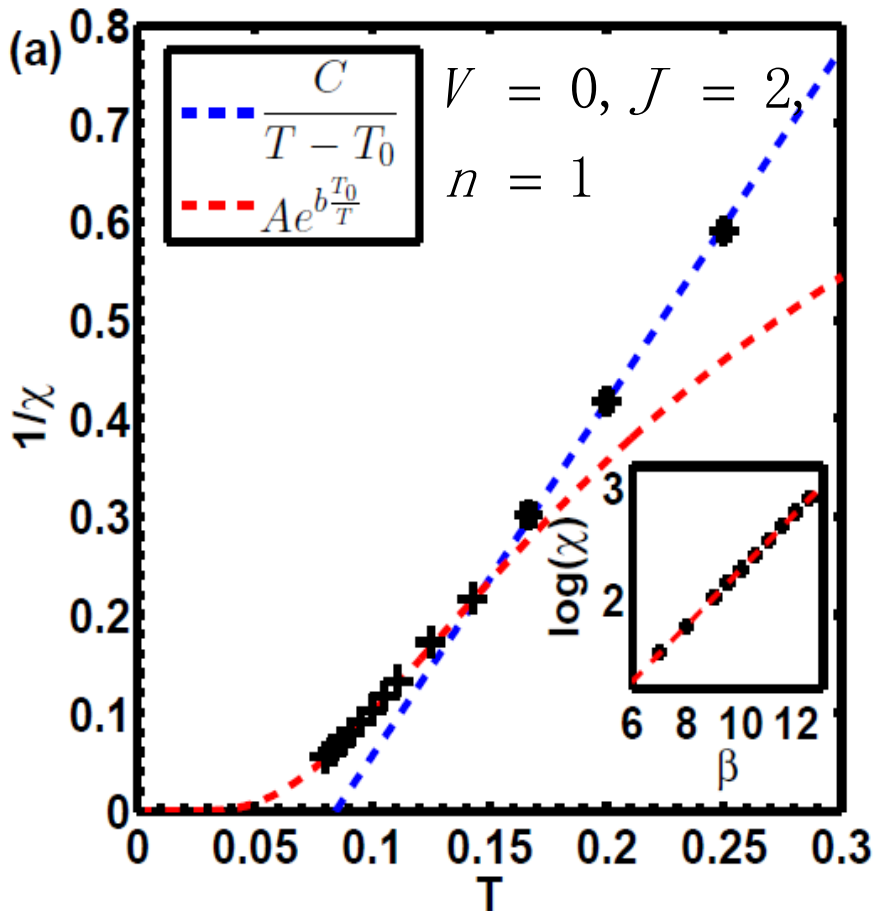
- Metallic compressibility  $\kappa$  :  
Saturates at  $T < T_{ch}$ , and  $T_{ch} \sim t$  (charge itinerancy).

# Curie temperatures v.s filling ( $V=0$ )



- $T_0 \rightarrow 0$  at both  $n \rightarrow 0$  (particle vacuum), and  $n \rightarrow 2$  (hole vacuum, spin-1 moments, no FM).
- $T_{0,max} \approx 0.08t_{||}$  at maximal itinerancy (p-h symmetry)

# Critical ferromagnetic scaling



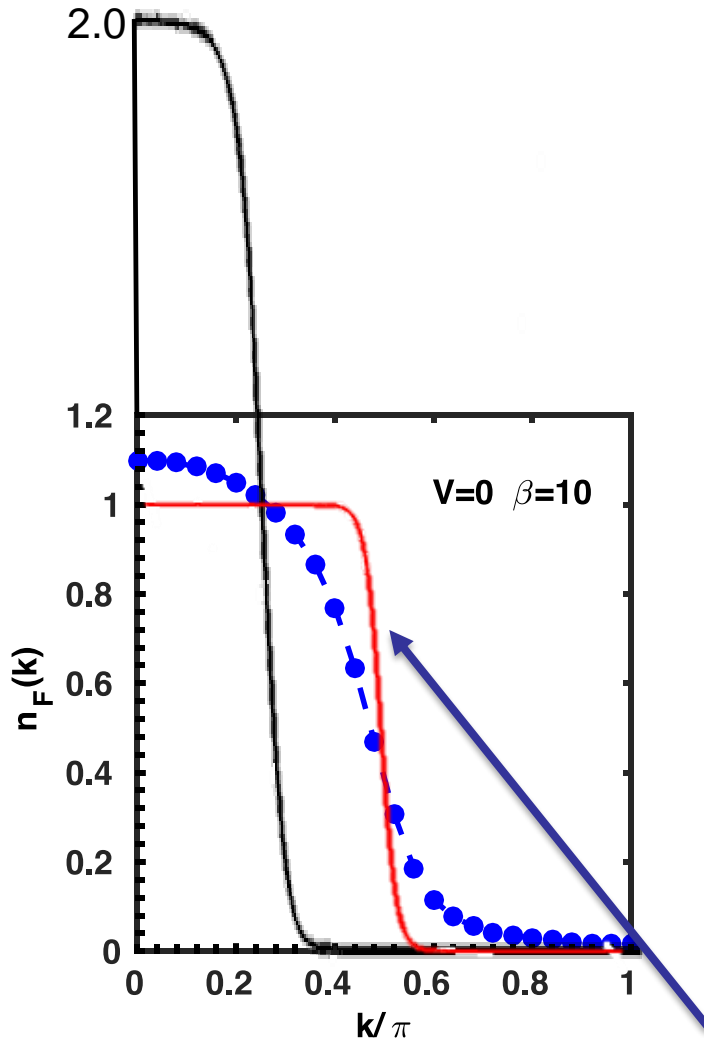
- No long-range order at finite  $T$  (Mermin-Wagner theorem)
- $O(3)$   $NL\sigma$ -model: FM directional fluctuations
- As  $T < T_0$ ,  $\chi$  crosses over into an exponential growth.

$$\chi = \frac{C}{T - T_0} \longrightarrow \chi = Ae^{b\frac{T_0}{T}}$$

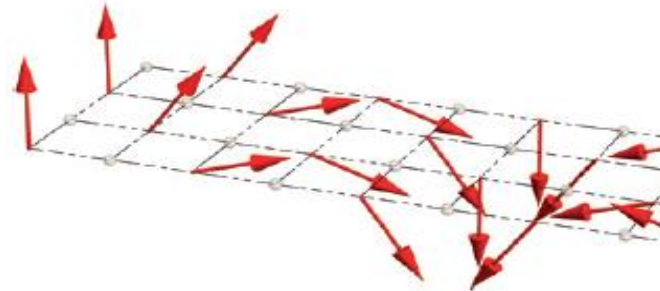
# Fermi distribution $n_F(k)$

## Paramagnetic Curie-Weiss metal

$$n_F(k) = n_{\uparrow}(k) + n_{\downarrow}(k)$$



- At  $k \rightarrow 0$ ,  $n_{\uparrow}(k) = n_{\downarrow}(k) \approx 0.54 \ll 1$
- Large entropy (the k-space picture)
- **Strongly correlated metal phase**



Reference: **polarized fermion with  $k_F^0 = \frac{\pi}{2}$**

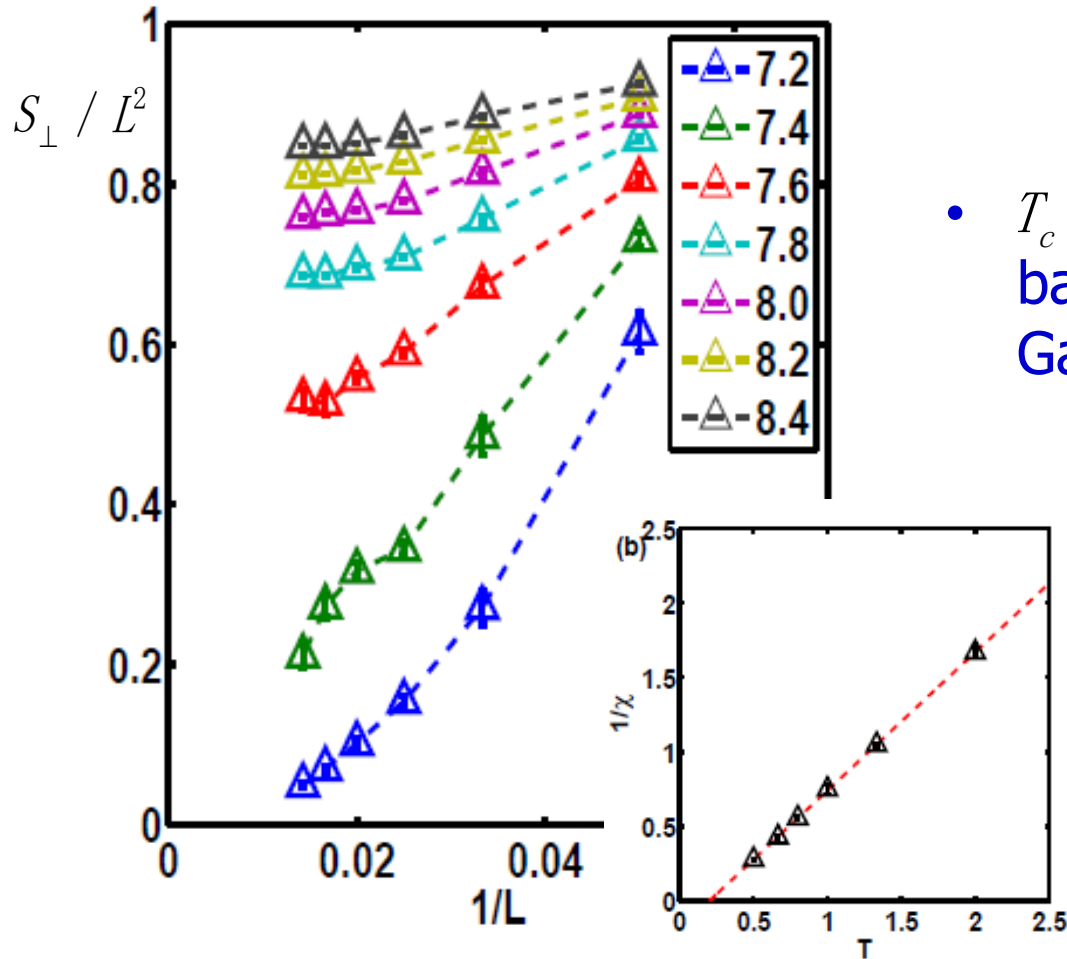
# Calculation of $T_c$ for the Ising class!

## Structure factor scaling

- Reduce symm to the Ising class.

$$J_{//} = 2, J_{\perp} = 4$$

- $T_c$  is suppressed from  $T_0$  based on CW-law by non-Gaussian fluctuations.

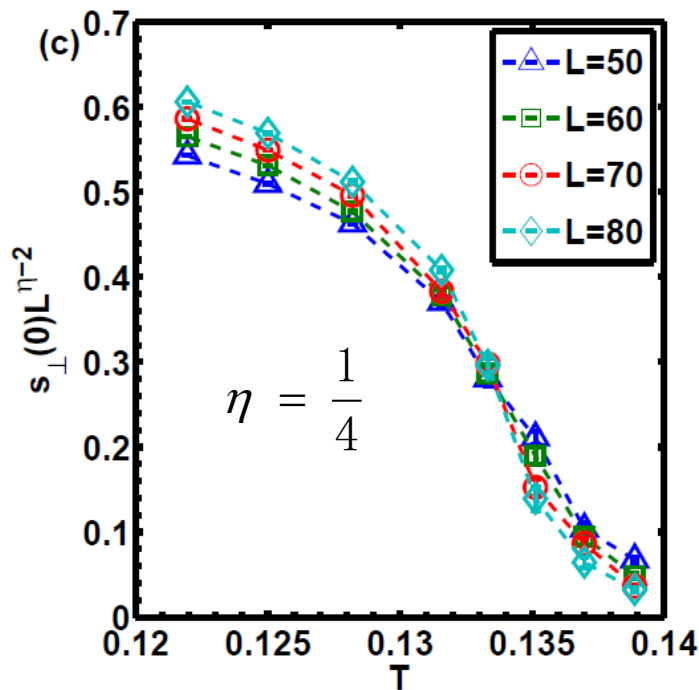


$$T_c = \frac{1}{\beta_c} = \frac{1}{7.6} \approx 0.132,$$

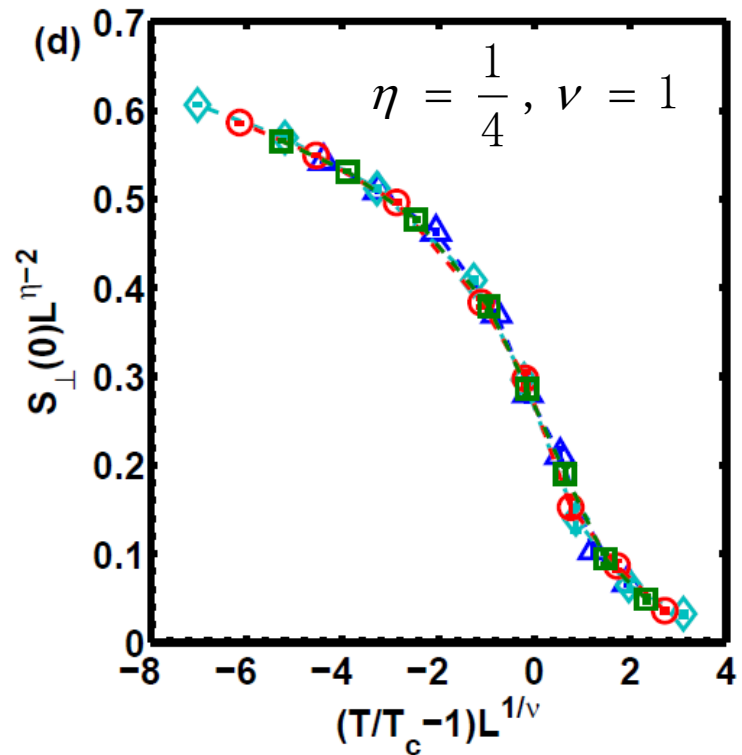
$$T_0 \approx 0.2$$

# Critical scaling and data collapse: 2D Ising class

**Data crossing:**  $S_{\perp}(0)L^{-2+\eta}$  v.s.  $T$        $S_{\perp}(0)L^{-2+\eta} = f((T / T_c - 1)L^{1/\nu})$

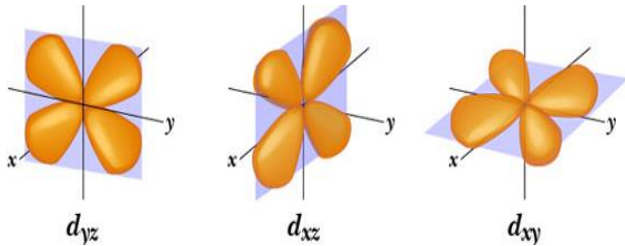


$$T_c \approx 0.134,$$





# Apply to the LaAlO<sub>3</sub>/SrTiO<sub>3</sub> interface

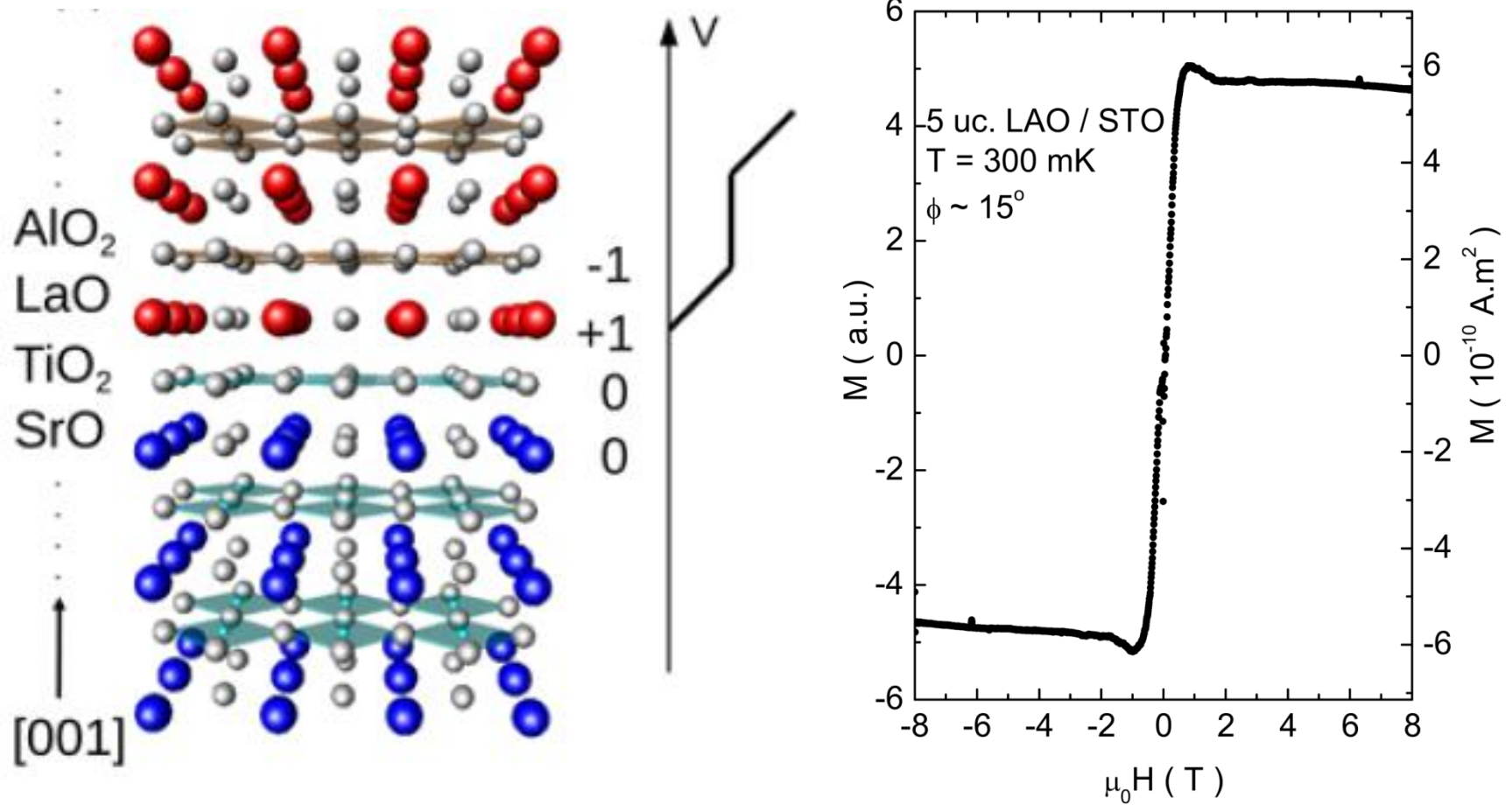


- $d_{xz}, d_{yz}$ : quasi-1D bands, higher energy.
- $d_{xy}$ : quasi-2D band, lower energy.

- Our theorem to  $d_{xz}, d_{yz}$  bands: quas-1D + Hund's coupling + strong intra-orbital repulsion for 3d-orbitals.
- The FM  $d_{xz}, d_{yz}$  -bands polarize the paramagnetic  $d_{xy}$  - band.
- No local moments needed! Different from double exchange.

A similar proposal by Chen and Balents based on mean-field treatment of the coupling between dxz/dyz-bands.

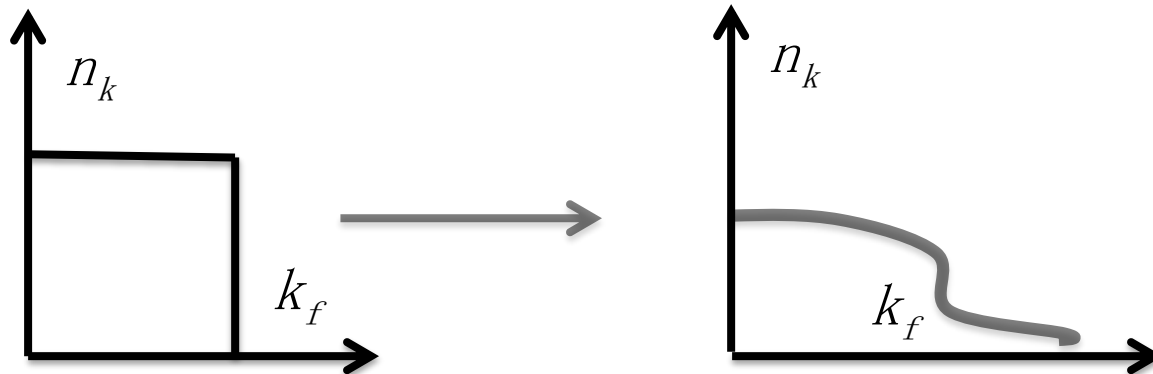
# FM at the interface of SrTiO<sub>3</sub>/LaAlO<sub>3</sub>



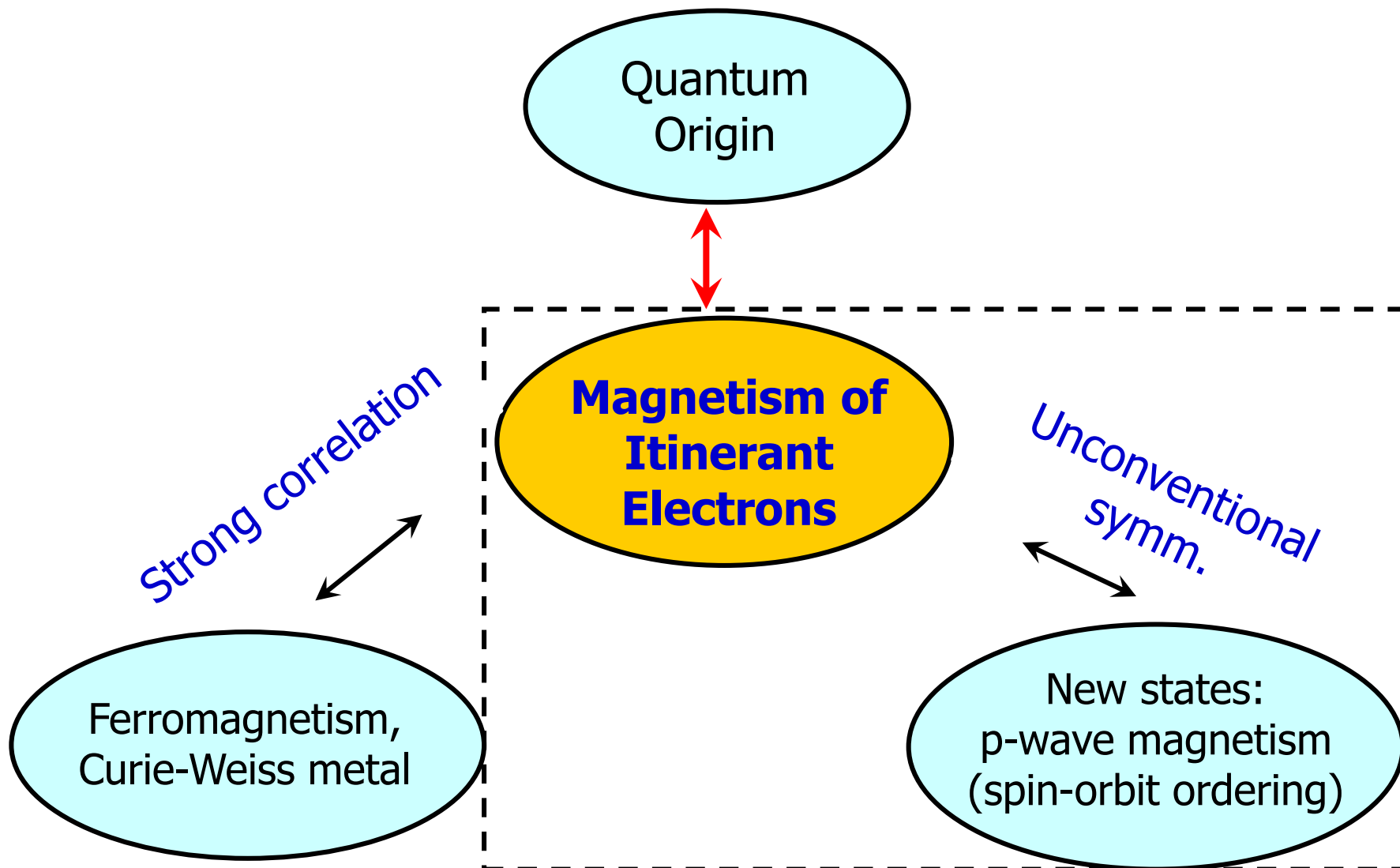
Lu Li et al, Nat. Phys 2011, Bert et al, Nat. Phys 2011

# Why is ferromagnetism difficult?

- Large kinetic energy cost to polarize the ideal Fermi distribution.
  - Hund J is the key, but by itself, it is insufficient!
  - Hubbard U mostly favors anti-FM, but brutal enough to distort the Fermi distribution.
- Apply J on top of U  $\rightarrow$  FM with less kinetic energy cost and even gain kinetic energy.**



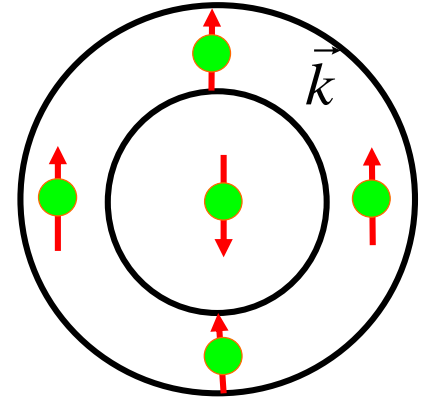
# Outline



# Itinerant ferromagnetism: *s*-wave

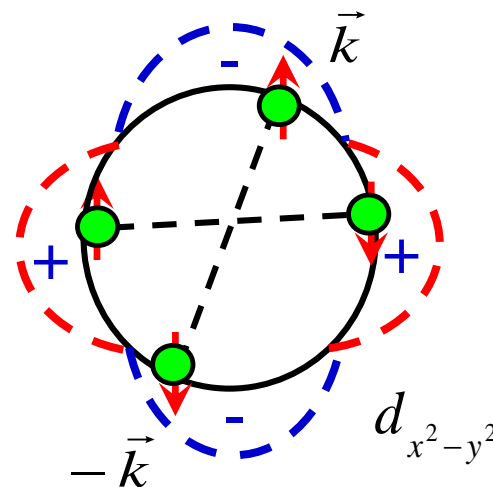
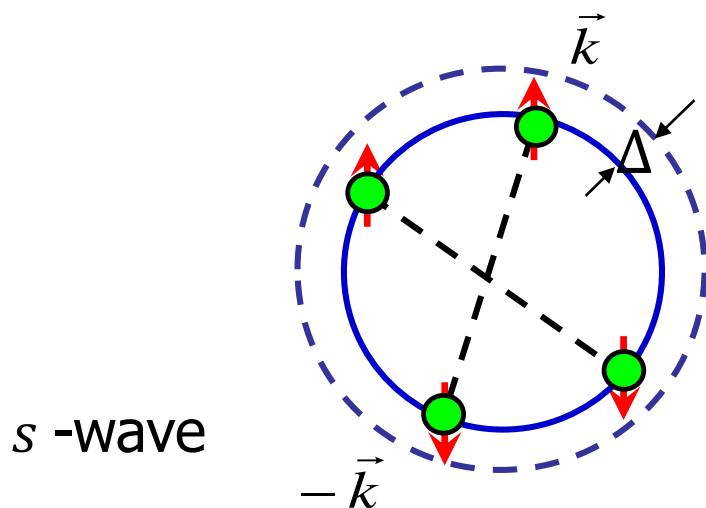
- Spin rotational symmetry is broken.

• Orbital rotational symmetry is **NOT** broken: spin polarizes along a **fixed direction**.



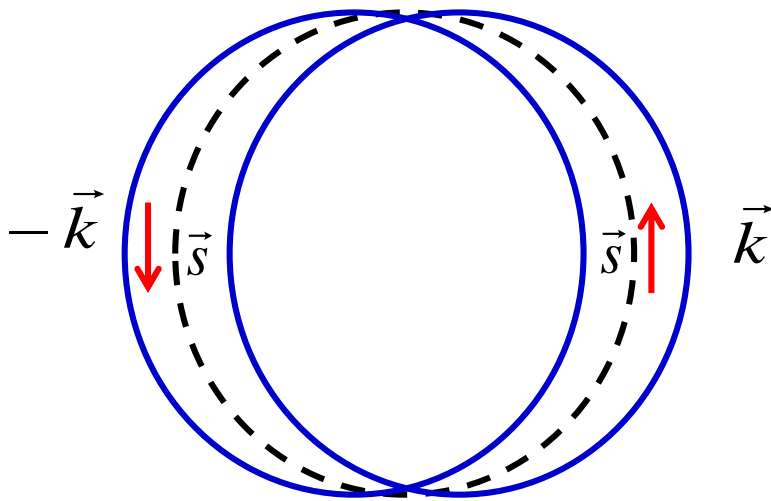
- *cf.* Conventional superconductivity (s-wave)

Unconventional superconductivity (e.g. d-wave high  $T_c$  cuprates)



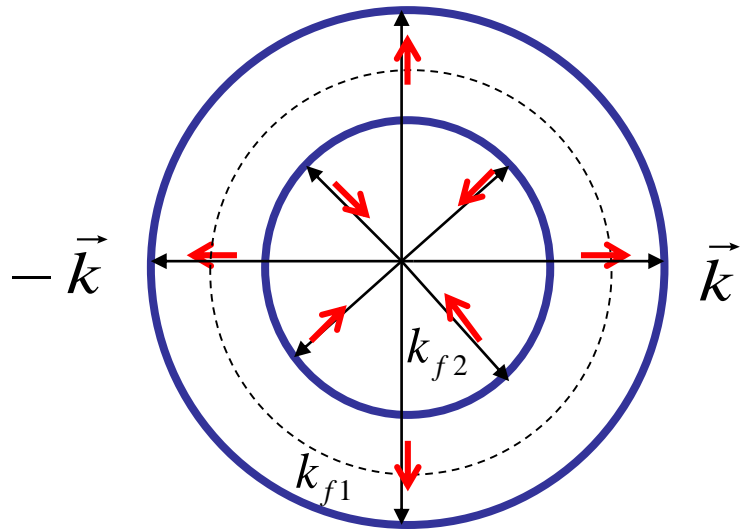
# New states of matter: unconventional magnetism!

- High partial-wave channel magnetism (e.g.  $p$ ,  $d$ -wave...).
- Multi-polar spin distribution over the Fermi surface.



anisotropic  $p$ -wave state

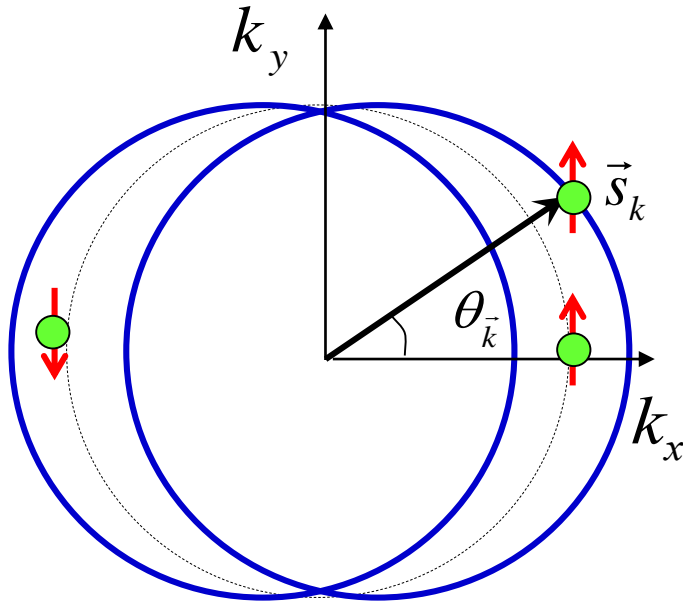
**spin-split state** by J. E. Hirsch, PRB 41, 6820 (1990); PRB 41, 6828 (1990).



isotropic  $p$ -wave state

spin flips the sign as  $\vec{k} \rightarrow -\vec{k}$

# Anisotropic unconventional magnetism: spin nematic liquid phases!



**anisotropic  $p$ -wave  
magnetic phase**

- $p$ -wave distortion of the Fermi surface.
- Spin dipole moment in momentum space (not in coordinate space).

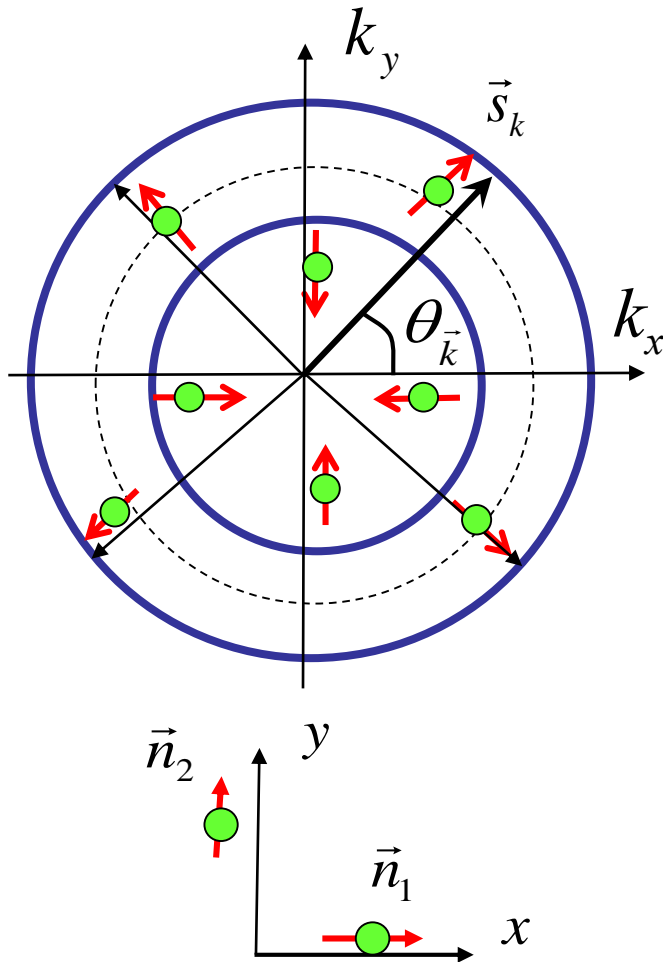
$$\vec{n}_1 = \sum_{\vec{k}} \vec{s}_k \cos \theta_k \neq 0$$

- Both orbital and spin rotational symmetries are broken.

**spin-split state** by J. E. Hirsch, PRB 41, 6820 (1990); PRB 41, 6828 (1990).

V. Oganesyan, et al., PRB 64,195109 (2001).  
C. Wu et al., PRL 93, 36403 (2004); Varma et al., Phys. Rev. Lett. 96, 036405 (2006)

# The isotropic $p$ -wave magnetic phase



- Helicity  $\vec{\sigma} \cdot \vec{k}$  is a good quantum number.
- No net spin-moment; spin dipole moment in momentum space.

$$\vec{n}_1 = \sum_{\vec{k}} \vec{s}_k \cos \theta_k, \quad \vec{n}_2 = \sum_{\vec{k}} \vec{s}_k \sin \theta_k$$

- **Isotropic phase with SO coupling.**

$$H_{MF} = H_0 + \bar{n} \sum_k \psi_\alpha^\dagger \vec{\sigma}_{\alpha\beta} \cdot \vec{k} \psi_\beta$$

$$\bar{n} = |\vec{n}_1| = |\vec{n}_2|$$

C. Wu et al., PRL 93, 36403 (2004);  
C. Wu et al., PRB PRB.75, 115103  
(2007). .



# Dynamic generation of spin-orbit (SO) coupling!

- Conventional wisdom:

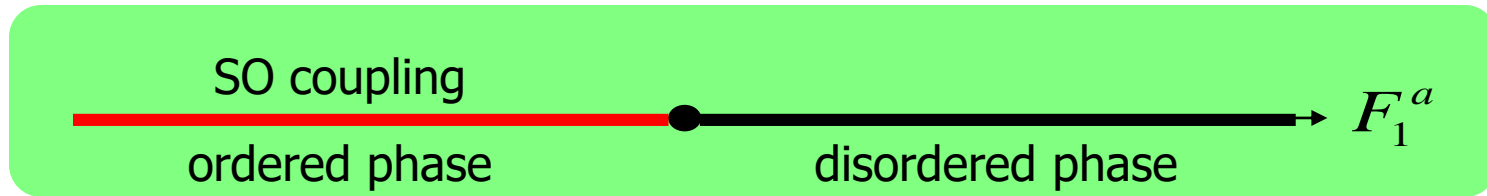
A **single-body** effect inherited from the Dirac equation

- New mechanism (**many-body collective effect**):

Generate SO coupling through **unconventional magnetic phase transitions.**

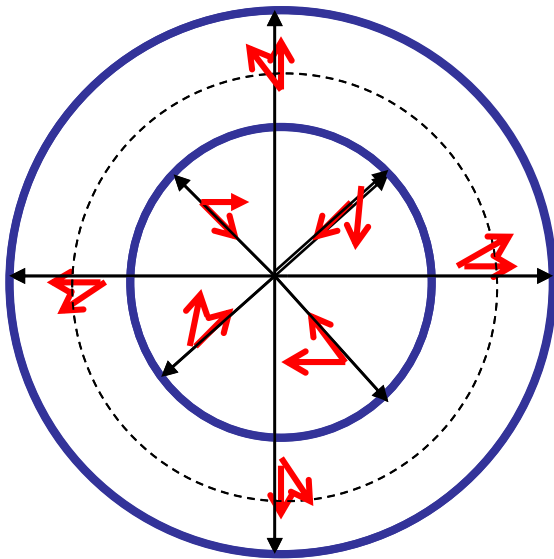
- **Advantages:** tunable SO coupling by varying temperatures;  
new types of SO coupling.

# The subtle symmetry breaking pattern



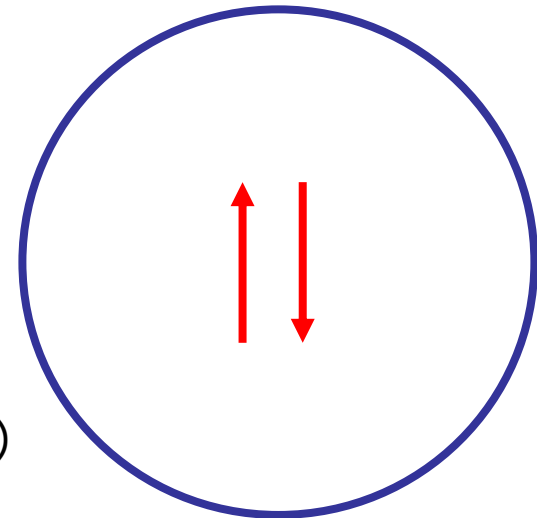
- $\vec{J}$  is conserved, but  $\vec{L}$ ,  $\vec{S}$  are not separately conserved.

- **Independent** orbital and spin rotational symmetries.



$$\vec{J} = \vec{L} + \vec{S}$$

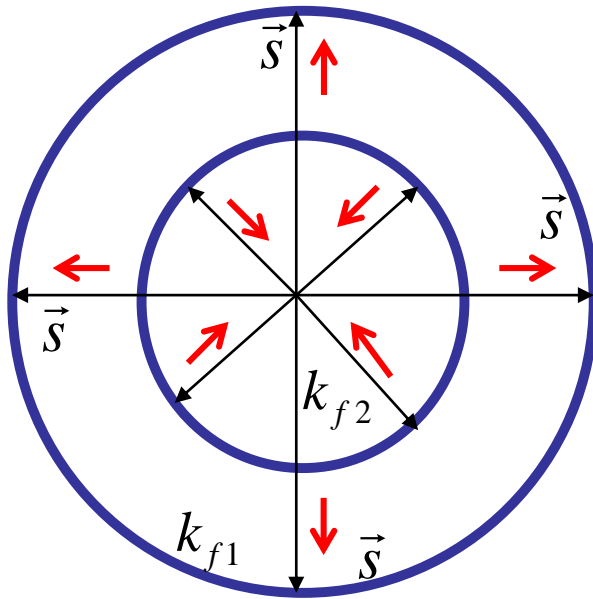
Leggett, Rev. Mod. Phys **47**, 331 (1975)



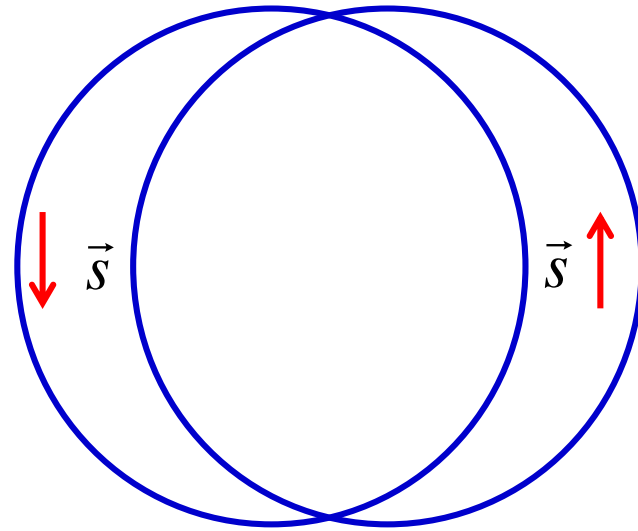
- **Relative spin-orbit** symmetry breaking.

# Unconventional magnetism: Pomeranchuk instability in the spin channel

$F_1^a$



$\beta$  - phase

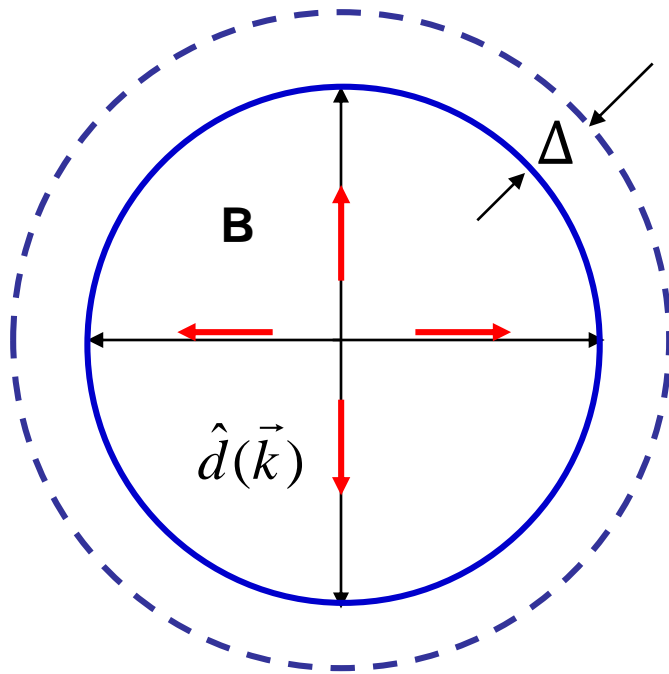


$\alpha$  - phase

- An analogy to superfluid  $^3\text{He-B}$  (isotropic) and A (anisotropic) phases.

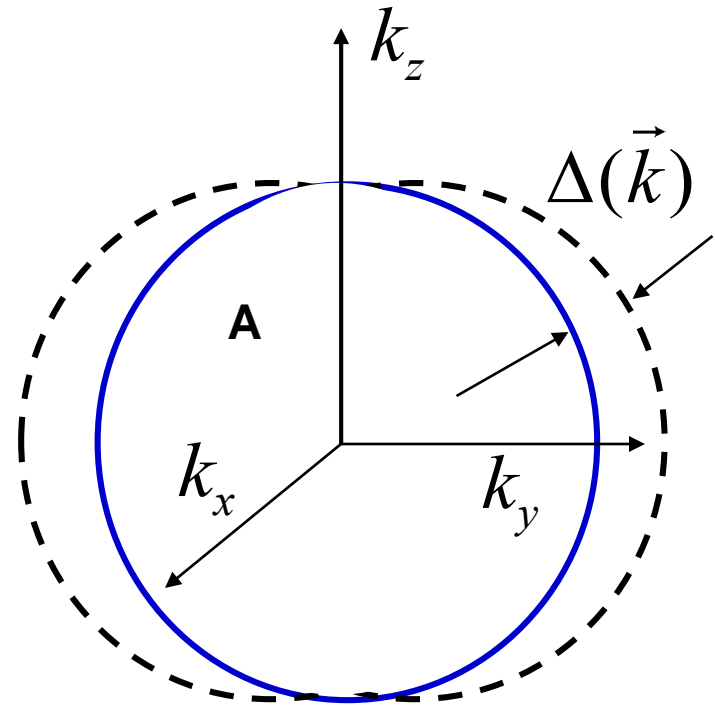
## cf. Superfluid $^3\text{He-B}$ , A phases

- $p$ -wave triplet Cooper pairing.



$$\vec{\Delta}(\vec{k}) = \Delta \hat{d}(\vec{k}) = \Delta \hat{k}$$

- $^3\text{He-B}$  (isotropic) phase.



$$\vec{\Delta}(\vec{k}) = \Delta \hat{d}(\hat{k}_x + i\hat{k}_y)$$

- $^3\text{He-A}$  (anisotropic) phase.

# Pomeranchuk instability



I. Pomeranchuk

- Fermi surface: elastic membrane.

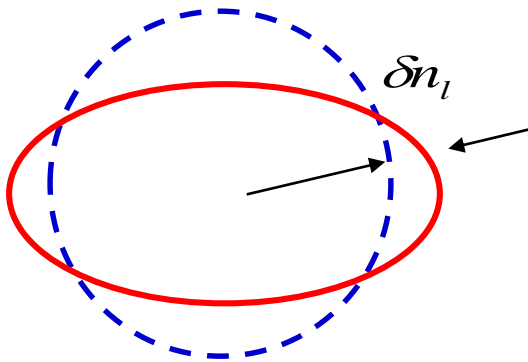
- Stability:

$$\Delta E_K \propto (\delta n_l^{s,a})^2$$

$$\Delta E_{\text{int}} \propto \frac{F_l^{s,a}}{2l+1} (\delta n_l^{s,a})^2$$

- Surface tension vanishes at:

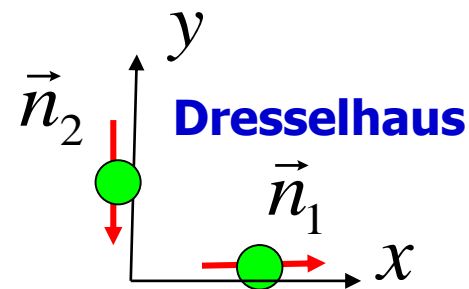
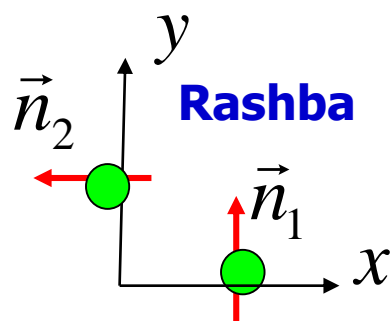
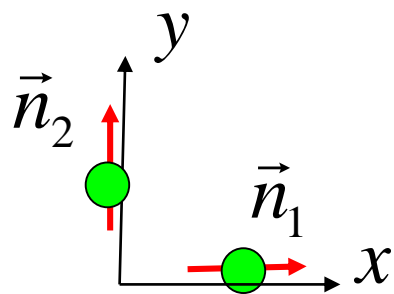
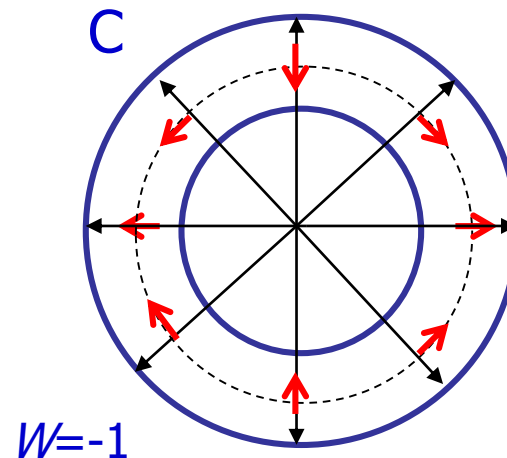
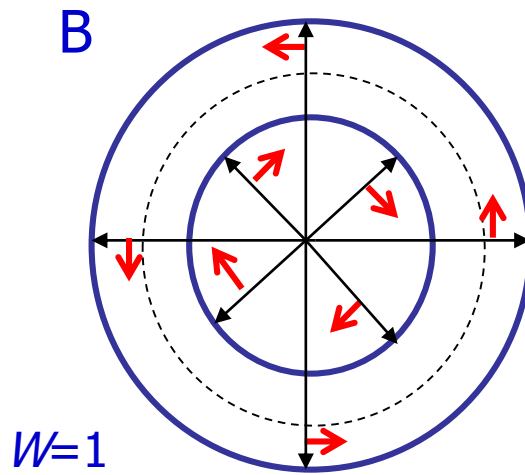
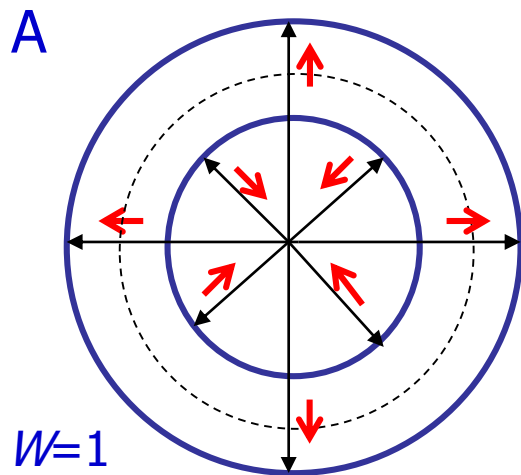
$$F_l^{s,a} < -(2l+1)$$



- Ferromagnetism: the  $F_0^a$  channel.

- Nematic electron liquid: the  $F_2^s$  channel.

# The $\beta$ -phases: vortices in momentum space



- Perform global spin rotations,  $A \rightarrow B \rightarrow C$ .

L. Fu's(PRL2015): gyro

ferroelectric

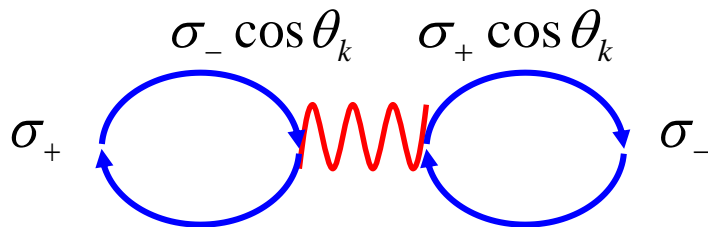
muti-polar

# Neutron spectra (The $\alpha$ -phase)

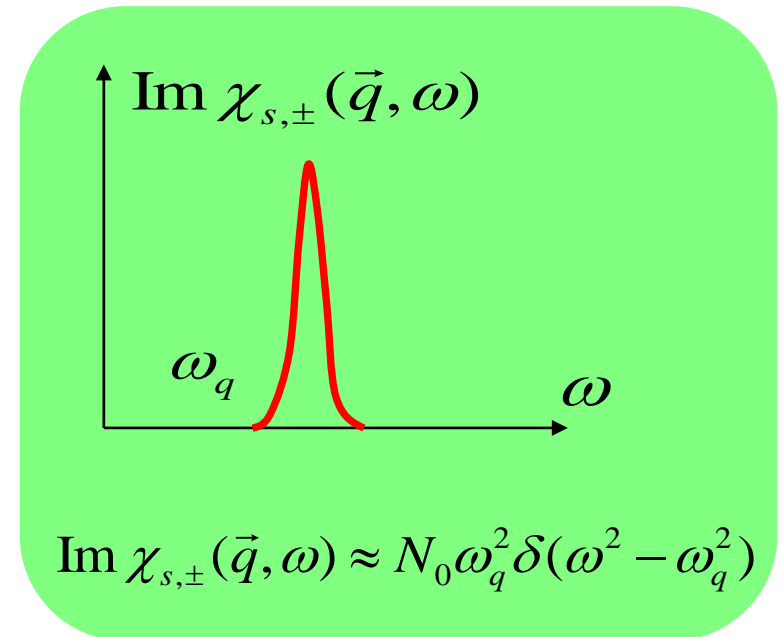
- No *elastic* Bragg peaks.
- $\vec{n}_{1,2}$  couple with spin dynamically **at  $T < T_c$**  --  
coupling between Goldstone modes and spin-waves.

$$L = (\vec{n}_1 \times \partial_t \vec{n}_1 + \vec{n}_2 \times \partial_t \vec{n}_2) \cdot \vec{S}$$

$$\rightarrow \bar{n} (S_y \partial_t n_{1x} - S_x \partial_t n_{1y})$$



- *In-elastic*: **resonance peaks** develop **at  $T < T_c$** .



# A natural generalization of ferromagnetism

- The driving force is still exchange interactions, but in **non-s-wave** channels.

	<i>s</i> -wave	<i>p</i> -wave	<i>d</i> -wave
SC/SF	Hg, 1911	<sup>3</sup> He, 1972	high $T_c$ , 1986
magnetism	Fe, ancient time	?	?

- Optimistically, unconventional magnets may already exist.

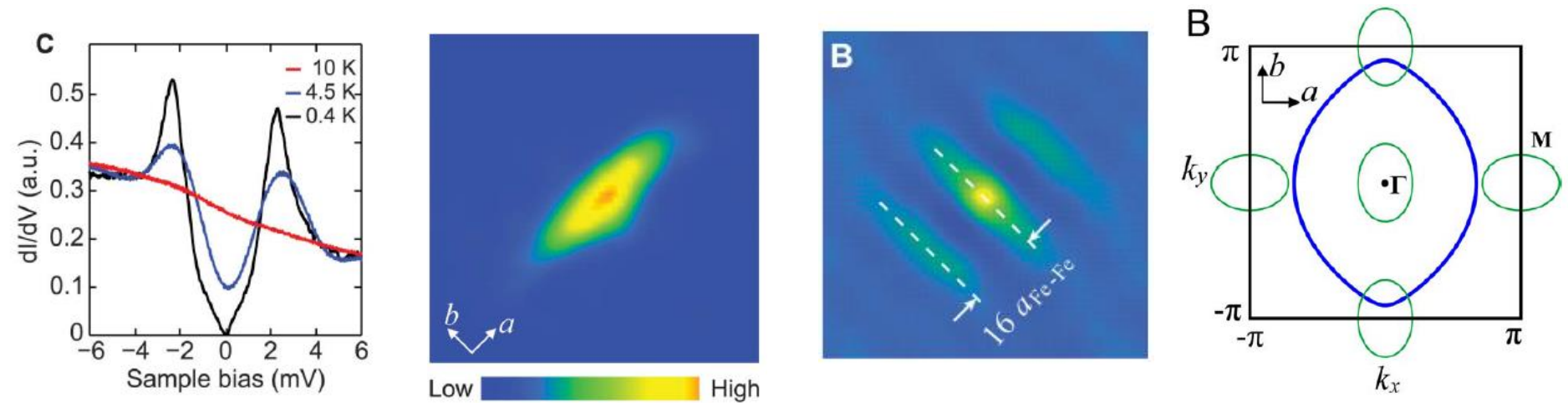
cf. Antiferromagnetic materials are actually very common in transition metal oxides. But they were not well-studied until neutron-scattering spectroscopy was available.



# Direct Observation of Nodes and Twofold Symmetry in FeSe Superconductor

Science 332, 1410 (2011)

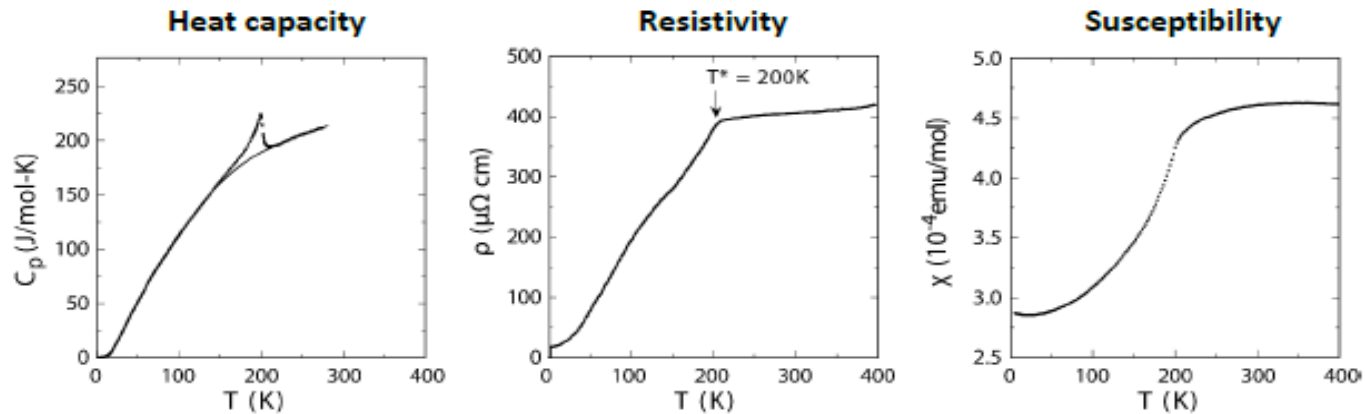
Can-Li Song,<sup>1,2</sup> Yi-Lin Wang,<sup>2</sup> Peng Cheng,<sup>1</sup> Ye-Ping Jiang,<sup>1,2</sup> Wei Li,<sup>1</sup> Tong Zhang,<sup>1,2</sup> Zhi Li,<sup>2</sup> Ke He,<sup>2</sup> Lili Wang,<sup>2</sup> Jin-Feng Jia,<sup>1</sup> Hsiang-Hsuan Hung,<sup>3</sup> Congjun Wu,<sup>3</sup> Xucun Ma,<sup>2\*</sup> Xi Chen,<sup>1\*</sup> Qi-Kun Xue<sup>1,2</sup>



- Consistent with orbital ordering between  $dxz/dyz$  orbitals.

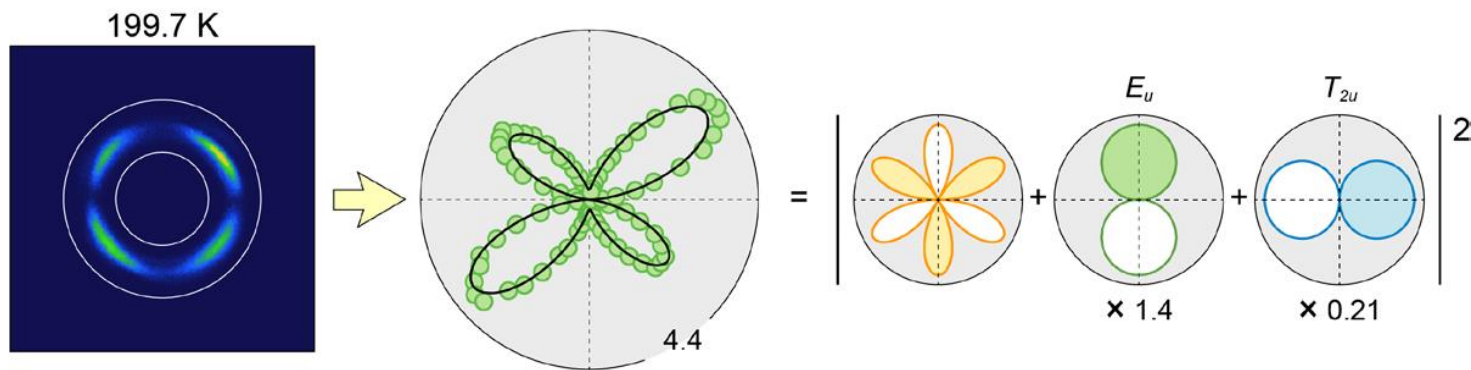
H. H. Hung, C. L. Song, Xi Chen, Xucun Ma, Q. K. Xue, C. Wu, Phys. Rev. B 85, 104510 (2012).

# Parity breaking nematic phase in $\text{Cd}_2\text{ReO}_7$



Jin et al., *J. Phys.: Condens. Matter* **14**, L117 (2002).

- Signature of parity breaking through 2<sup>nd</sup> harmonic generation method.



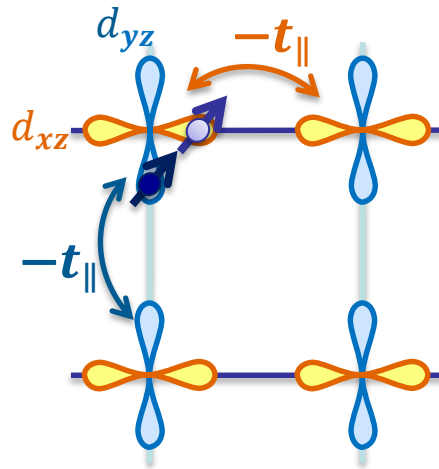
J. W. Harter, Z. Y. Zhao, J. Q. Yan, D. G. Mandrus, and D. Hsieh, *Science* **356**, 295-299 (2017).

# Summary: Itinerant magnetism (ferro and beyond)

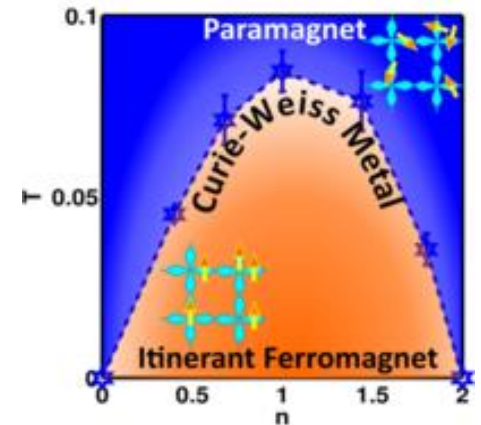
- Non-perturbative study on itinerant FM and Cuire-Weiss metals

**Hund's rule + quasi 1D  
+ strong correlation**

**Sign-problem QMC  
simulations.**



$\alpha$ -phase



$\beta$ -phase

- New states of matter: p-wave magnetism

Spontaneous generation of spin-orbit ordering.

