

# Quantum spin dynamics of the axial XXZ spin chain in the longitudinal field

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Ref. W. Yang, J. D. Wu, S. L. Xu, Zhe Wang, and C. Wu, submitted.

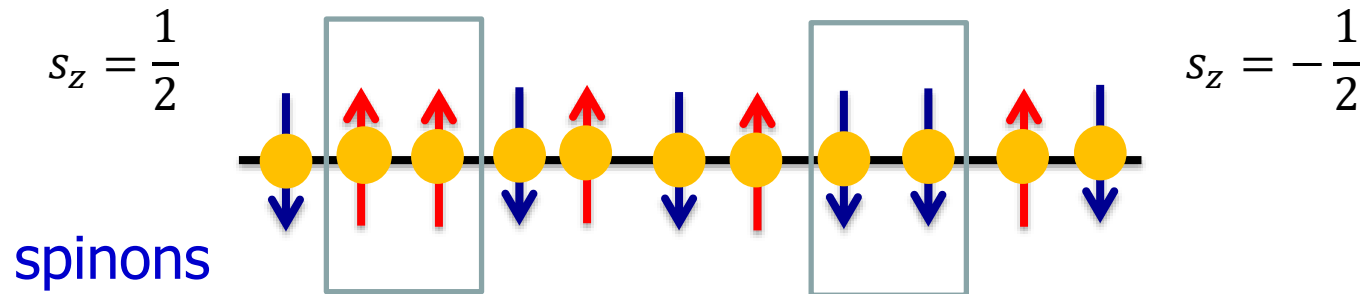
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# Outline

- Introduction to spin-1/2 spin chain – doped Mott insulators.
- Spin dynamics – why Bethe ansatz.
- Methodology – procedure of calculation.
- Dynamic spin structure factors – low, intermediate and high energy regimes.

# Strong correlation physics in 1D systems

- Often exactly solvable (Bethe Ansatz): the Heisenberg model, Hubbard model, etc.
- Low energy effective theory -- Luttinger liquid.
- Fractionalized excitations – holon and spinon, spin-charge separations, power-law correlations.



- However, quantum dynamics remains a challenging problem.

# Spin-1/2 XXZ model

$$H_{XXZ} = J \sum_{n=1}^N (S_n^x S_{n+1}^x + S_n^y S_{n+1}^y + \Delta S_n^z S_{n+1}^z) - H \sum_{n=1}^N S_n^z$$

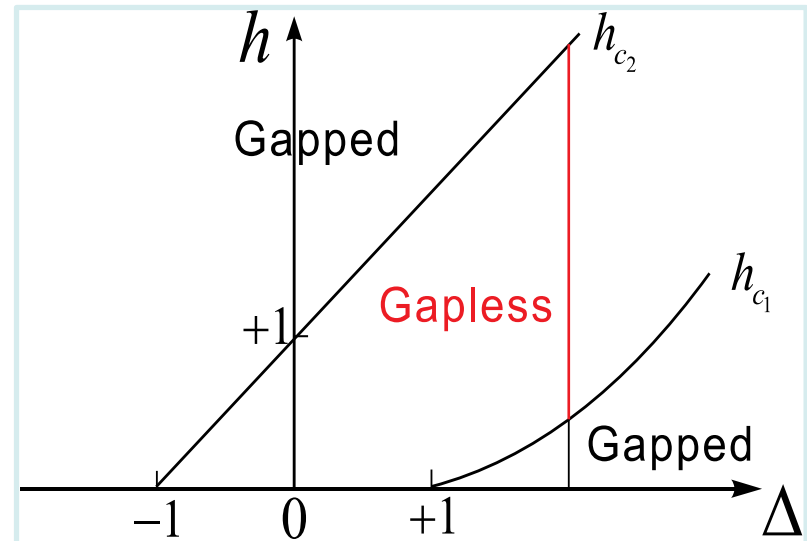
$\Delta$ : anisotropy due to spin-orbit coupling,  $h$ : magnetic field.

- $\Delta \leq 1$ : Power-law correlation, gapless.

$$\Delta = 1 \text{ (Heisenberg): } \langle \vec{S}(0) \vec{S}(x) \rangle \sim (-)^x (\ln x)^{1/2} / x.$$

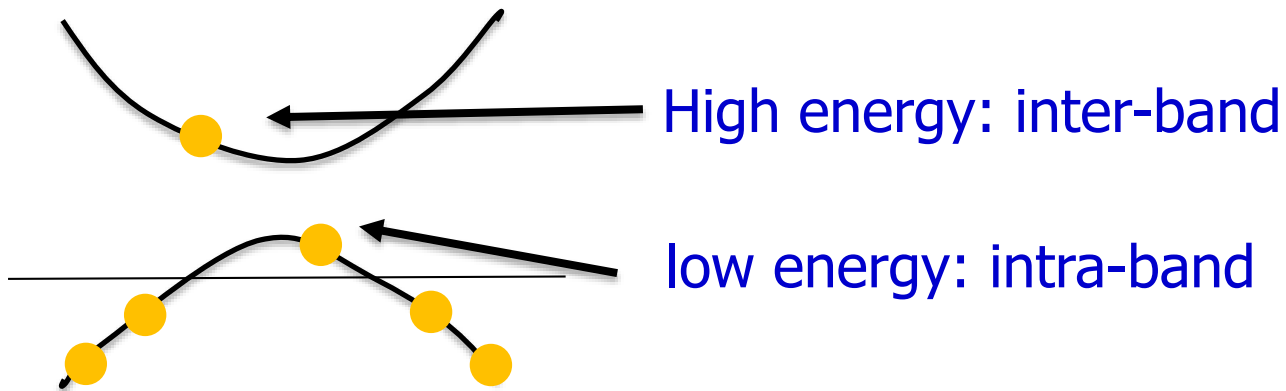
- $\Delta > 1$  (axial): commensurate Neel long-range order at  $H=0$ , spin gapped.

Field-induced incommensurability  
 $\rightarrow$  gapless above  $h_c(\Delta)$ .

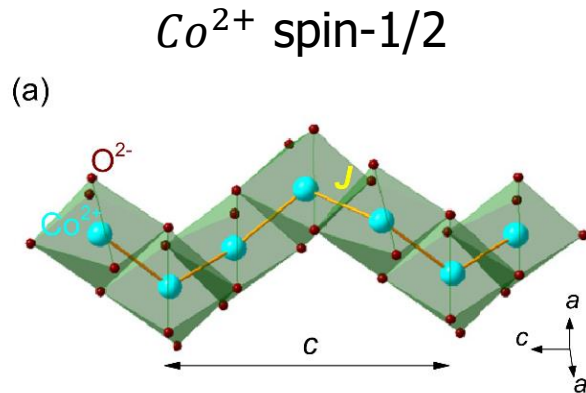


# Relation to doped Mott insulator $\Delta > 1$

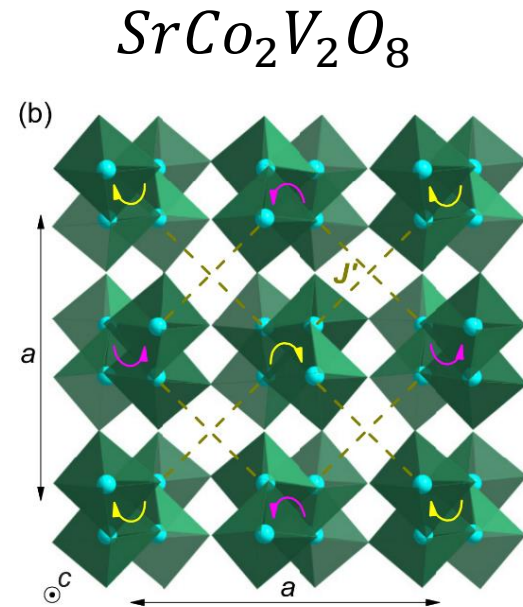
- Spin- $\uparrow \rightarrow$  vacuum, spin- $\downarrow \rightarrow$  hard-core boson.
- |                    |                   |                                |
|--------------------|-------------------|--------------------------------|
| Neel order         | $\leftrightarrow$ | CDW                            |
| spin gap           | $\leftrightarrow$ | charge gap of hard core bosons |
| magnetization      | $\leftrightarrow$ | doping                         |
| incommensurability | $\leftrightarrow$ | quantum melting of CDW         |



# Experimental systems



Screw chain consisting of  $CoO_6$  octahedra running along the crystalline  $c$ -axis



$$H = J \sum_{n=1}^N \left\{ S_n^x S_{n+1}^x + S_n^y S_{n+1}^y + \Delta (S_n^z S_{n+1}^z - \frac{1}{4}) \right\} - g\mu_B h \sum_{n=1}^N S_n^z$$

- ESR experiment: THZ light along the  $c$ -axis.  $S^{-+}(q, \omega)$  and  $S^{+-}(q, \omega)$  detected for  $q = 0, \pm \frac{\pi}{2}, \pi$ .

## Why Bethe Ansatz?

Question to address : spin dynamics over the low, intermediate and high frequency regimes.

- **Exact diagonalization:** very small size.
- **TEBD:** difficult to handle gapless systems.
- **QMC:** difficult to handle real frequency.
- **Perturbative method:** lacking small parameter.
- **Luttinger liquid:** only applies at low energy; difficult to manipulate transverse response

# Dynamic spin structure factor

- Real-time spin correlation:

$$\langle G | S_i^a(t) S_j^{\bar{a}}(t') | G \rangle \quad a=+,-,z; S_i^{\pm} = S_i^x \pm iS_i^y$$

- Fourier transform –  $(q, \omega)$ :

$$S^{a\bar{a}}(q, \omega) = 2\pi \sum_{\mu} |\langle G | S_q^a | \mu \rangle|^2 \delta(\omega - E_{\mu} + E_{GS})$$

propto differential cross sections in inelastic neutron, ESR

$$S^{zz}(q, \omega), S^{+-}(q, \omega), S^{-+}(q, \omega)$$

longitudinal

transverse



# Dominant excitations

- Bethe ansatz approach:

$$S^{\alpha\bar{\alpha}}(q, \omega) = 2\pi \left( \sum_{\mu} \right) |\langle GS | S_q^{\alpha} | \mu \rangle|^2 \delta(\omega - E_{\mu} + E_{GS})$$


- Dominant excitations:

$S^{-+}$ : scattering states (real momenta) – **psinon pairs** ( $1\psi\psi, 2\psi\psi$ )

$S^{+-}$  { scattering states: **psinon-antipsinon pair** ( $1\psi\psi^*, 2\psi\psi^*$ )  
 bound (string) states: **2, 3-strings** ( $1\chi^{(2)}R, 1\chi^{(3)}R$ )

$S^{zz}$  { scattering states (real momenta) -- **psinon anti-psinons** ( $1\psi\psi^*, 2\psi\psi^*$ )  
 bound states: **2-string states** ( $1\chi^{(2)}R$ )

- Dominance of selected excitations checked  $\leftrightarrow$  exact sum rules.

## Sum rules

- Integrated intensity:  $c_a = \pm 1, 0$ , for  $a = \pm, z$ .

$$R_{a\bar{a}} = \frac{1}{N} \sum_q \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} S^{a,\bar{a}}(q, \omega) = \frac{1}{4} + \frac{m}{2} c_a$$

- Transverse first frequency moment (FFM).

$$W_{\perp}(q) = \frac{1}{2} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \omega (S^{+-}(q, \omega) + S^{-+}(q, \omega)) = \alpha_{\perp} + \beta_{\perp} \cos q$$

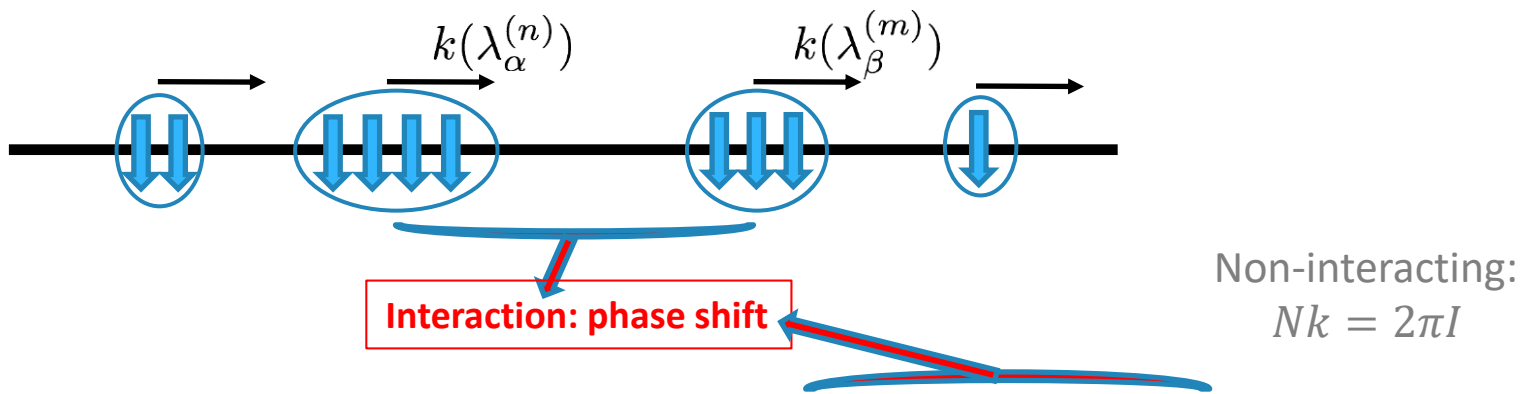
$$\alpha_{\perp} = -e_0 - \Delta \frac{\partial e_0}{\partial \Delta} + mh \quad \beta_{\perp} = (2 - \Delta^2) \frac{\partial e_0}{\partial \Delta} + \Delta e_0$$

- Longitudinal first frequency moment (FFM).

$$W_{\parallel}(q) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \omega S^{zz}(q, \omega) = (1 - \cos q) \alpha_{\parallel} \quad \alpha_{\parallel} = -e_0 + \Delta \frac{\partial}{\partial \Delta} e_0$$

# Bethe-Gaudin-Takahashi (BGT) equations

- Reference state: all spins up. Spin-down particles act as particles.
- String states: multi-particle bound states with complex rapidities.



$$N\theta_n(\lambda_\alpha^{(n)}) = 2\pi I_\alpha^{(n)} + \sum_{(m,\beta) \neq (n,\alpha)} \Theta_{nm}(\lambda_\alpha^{(n)} - \lambda_\beta^{(m)})$$

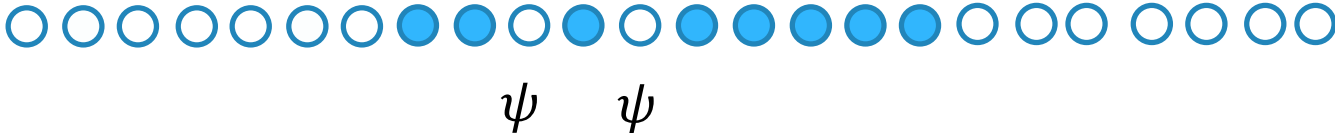
$\lambda_\alpha^{(n)}$ : rapidity       $I_\alpha^{(n)}$ : Bethe quantum number  
 $\Theta_{nm}$ : phase shift due to interaction

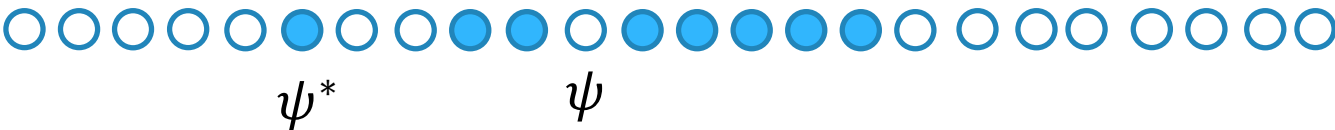
# Bethe quantum numbers


$$-\frac{M-1}{2} - S^z < I_\alpha^{(n)} < \frac{M-1}{2} + S^z: \quad N=32, M=8 \text{ (spin-down)}.$$

$$-\frac{23}{2} \qquad -\frac{7}{2} \quad -\frac{3}{2} \quad \frac{1}{2} \quad \frac{5}{2} \quad \frac{7}{2} \qquad \frac{23}{2}$$

Ground state: 

$1\psi\psi$  state: 

$1\psi\psi^*$  state: 

$1\chi^{(2)}R$  state:  unbound particles



Length-two string

# Determinant Formulas for Form Factors

$$|\langle \mu | S_q^- | \lambda \rangle|^2 = N \delta_{q, q\{\lambda\} - q\{\mu\}} |\sin(i\eta)| \frac{\prod_{j=1}^{M+1} |\sin(\mu_j - i\eta/2)|^2}{\prod_{j=1}^M |\sin(\lambda_j - i\eta/2)|^2}$$

$$\frac{\prod_{j>k=1}^{M+1} |\sin^2(\mu_j - \mu_k) - \sin^2(i\eta)|^{-1} \prod_{j>k=1}^M |\sin^2(\lambda_j - \lambda_k) - \sin^2(i\eta)|^{-1}}{|\det H^-|^2}$$

$$\frac{1}{|\det \Phi(\{\mu\})| |\det \Phi(\{\lambda\})|}$$

V. E. Korepin *Commun. Math. Phys.* 86, 391 (1982)

J. M. Maillet and J. Sanchez De Santos *arXiv: q-alg/9612012* (1996)

N. Kitanine, J. M. Maillet and V. Terras *Nucl. Phys. B* 554, 647 (1999)

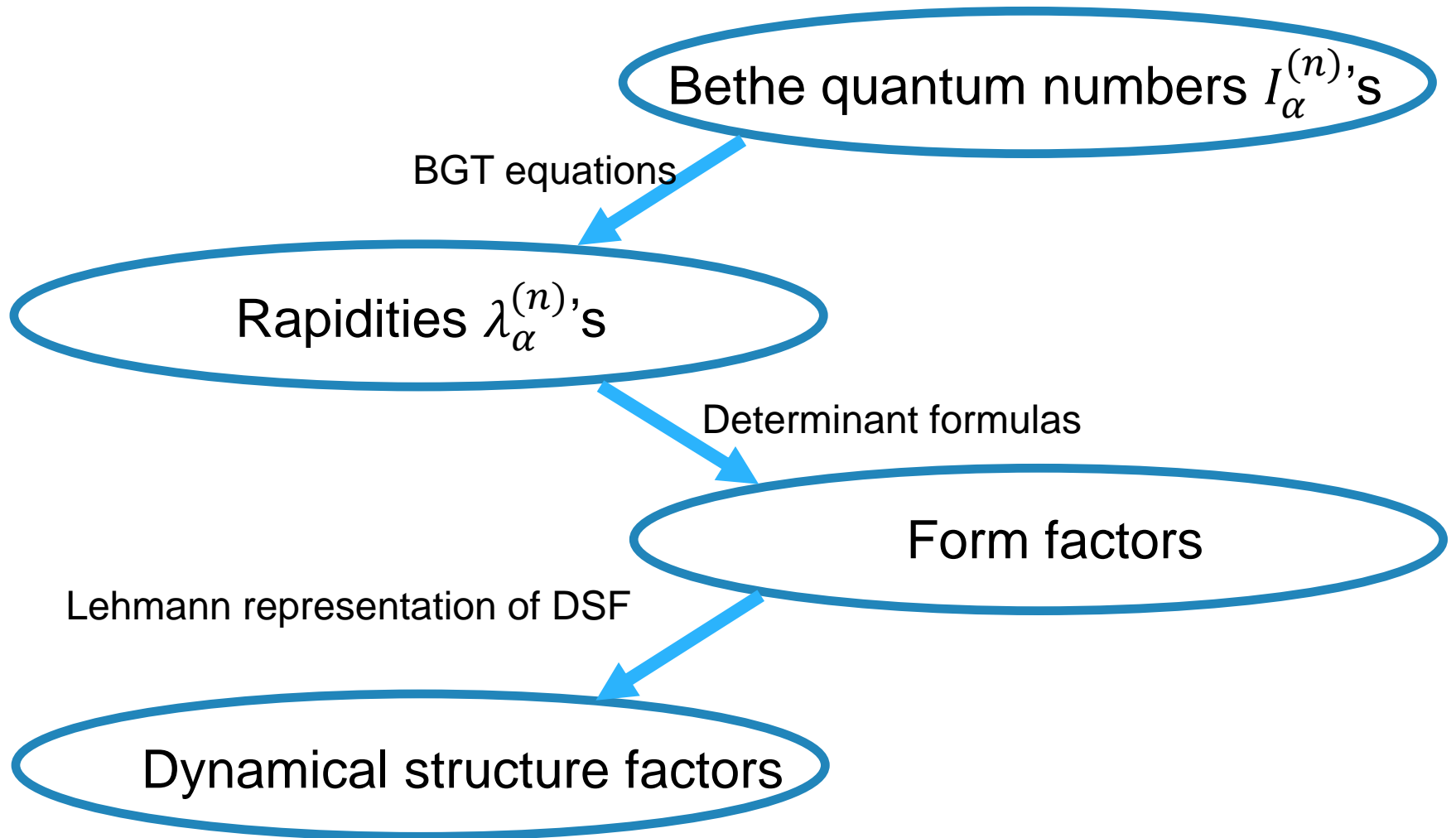
- For string states, the formulas need to be regularized.

J. Mossel, and J-S Caux *New J. Phys.*, 12.5 (2010)

- String deviations can be treated in exact manner.

R Hagemans, and J-S Caux. *J. Phys. A* 40.49 (2007)

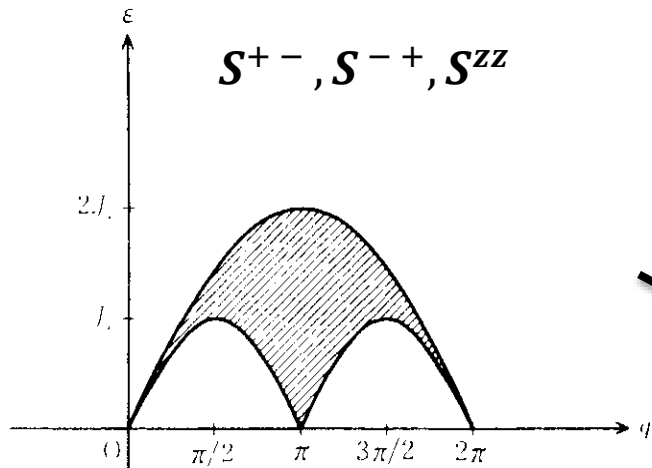
# Algorithm for calculating DSF



# Transverse DSF $S^{+-}, S^{-+}$

$2m = 0.1$

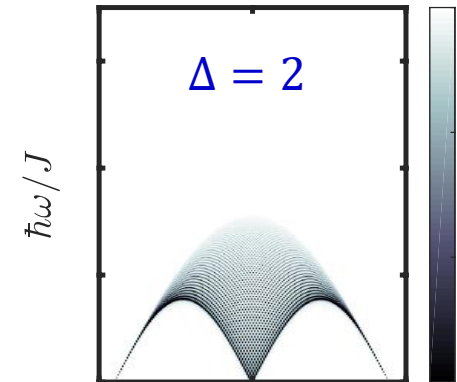
des Cloiseaux-Pearson (DCP)  
mode,  $h=0, \Delta = 1$



*h breaks TR  
symm.*

$S^{-+}$

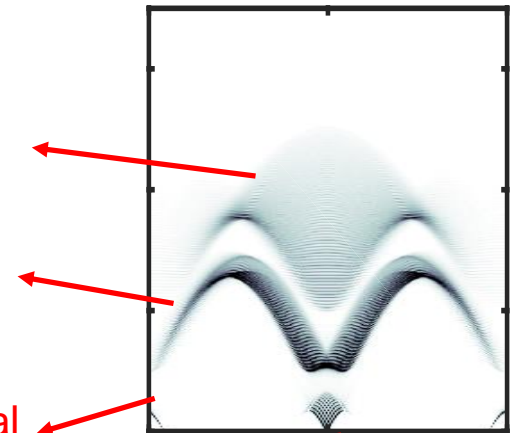
$S^{+-}$



Three-string  
states

Two-string  
states

states with real  
momenta

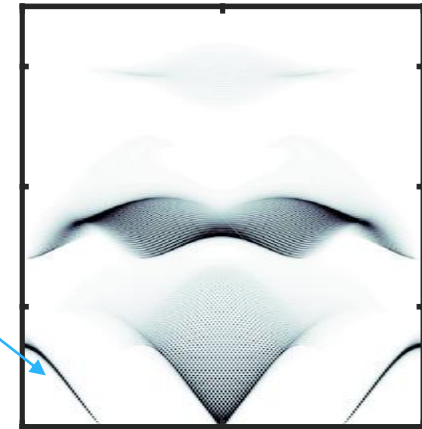


$\pi$

# Transverse DSF - $S^{+-}$

$$2m = 0.4$$

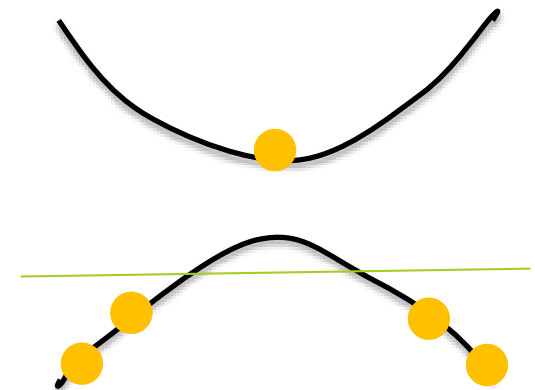
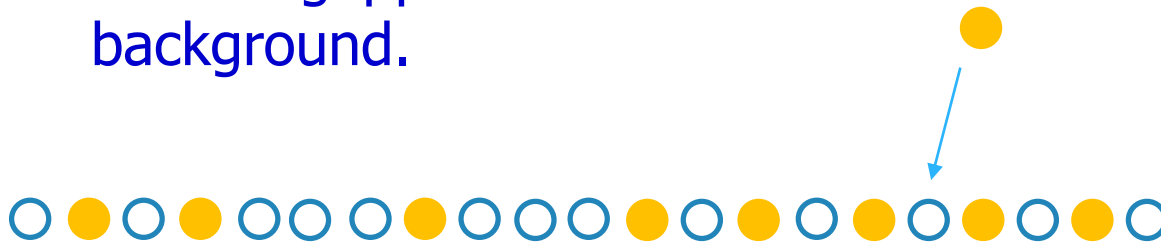
- Low energy: collective Larmor mode.
- Magnetic field induced incommensurability.



$\pi$

$$\frac{1}{i\hbar} [S^{+-}(q=0), H] = hS^{+-}(q=0) \quad \text{at } \Delta = 1$$

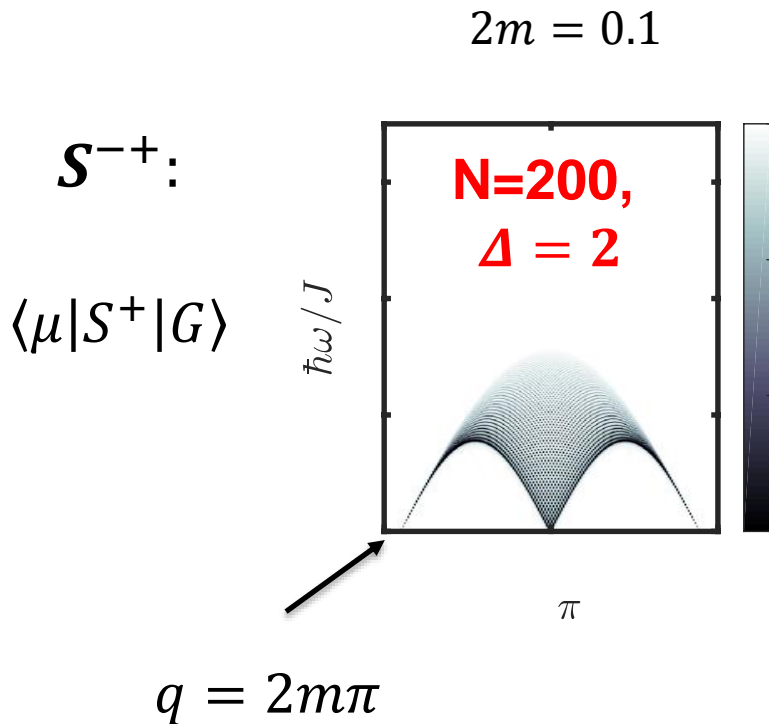
2 and 3-string states: excitations see the gapped Neel ordered background.





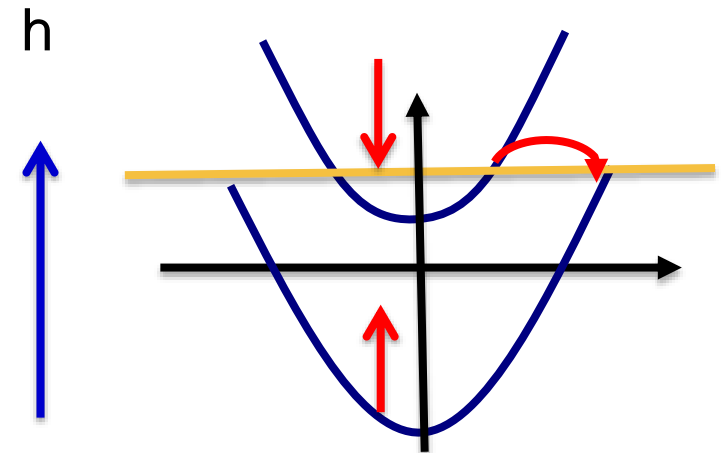
# Transverse DSF - $S^{-+}$

- Analogy of the des Cloiseaux-Pearson mode



$|G\rangle \rightarrow |\mu\rangle$ : flip  $\downarrow$  to  $\uparrow$

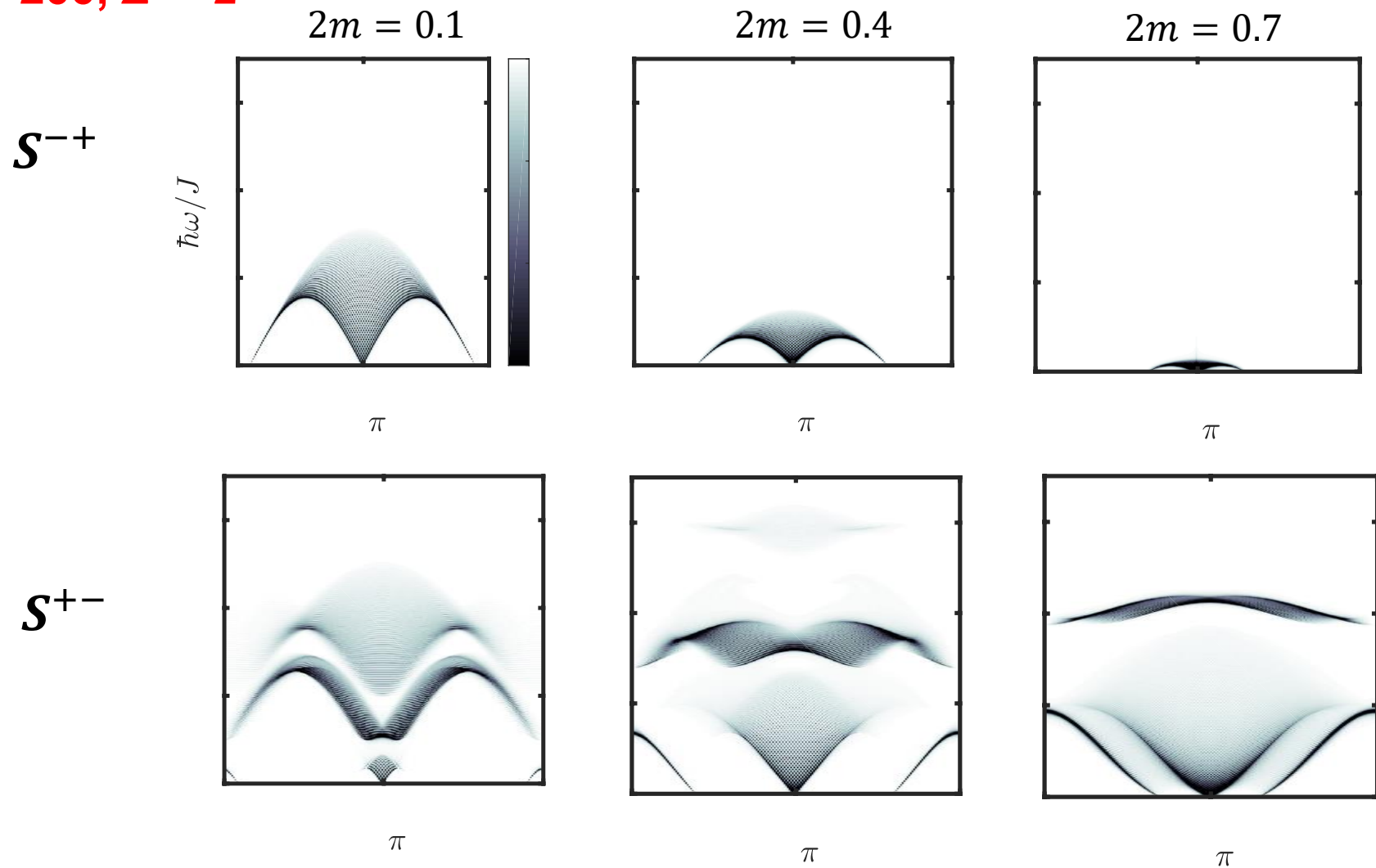
Hubbard chain at half-filling  $\rightarrow$   
Heisenberg



$$k_{f\uparrow,\downarrow} = \pi\left(\frac{1}{2} \pm m\right)$$

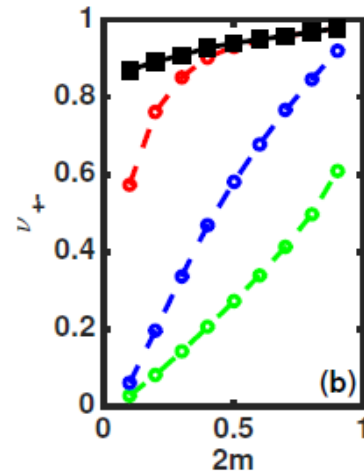
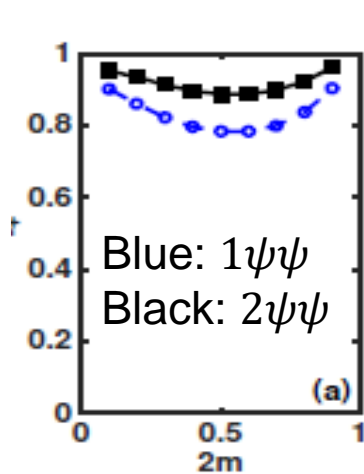
# Transverse DSF – Evolution with magnetization

**$N=200, \Delta = 2$**

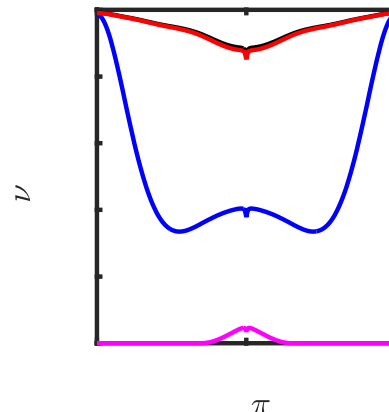
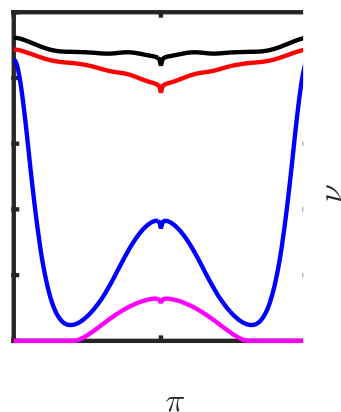
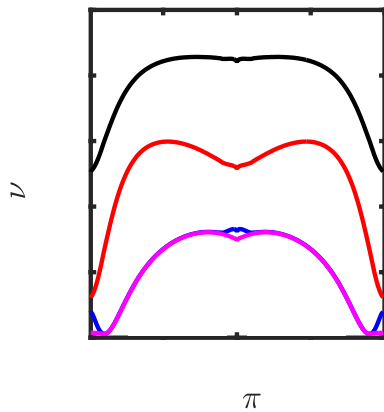


# Comparison with sum rules – transverse DSF

- Saturation of sum rule of integrated intensity: (a) for  $S^{-+}$ , (b) for  $S^{+-}$ .

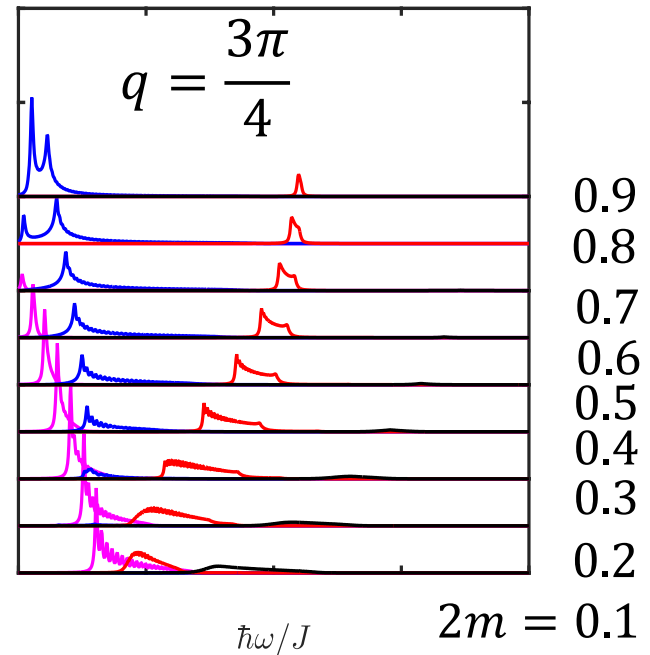
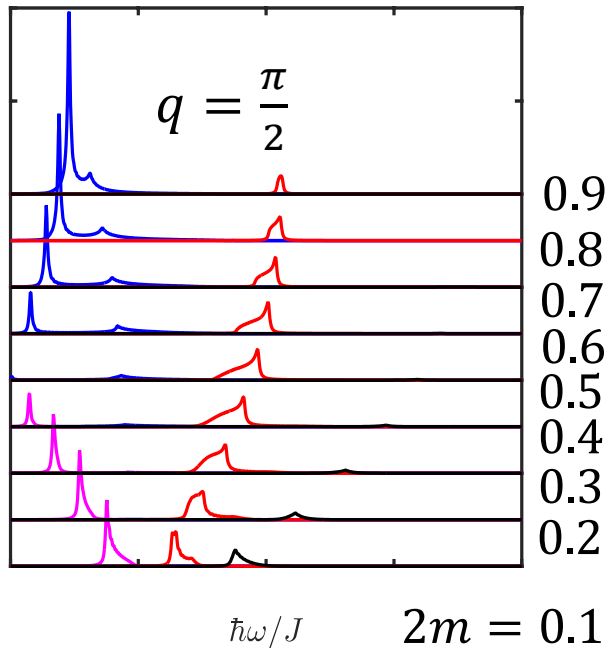


- Momentum-resolved: (a) for  $2m=0.1$ , (b) for  $2m=0.4$ , (c) for  $2m=0.7$ .



- Pink:  $S^{-+}$
- Blue: real states in  $S^{+-}$
- Red: two-string states in  $S^{+-}$
- Black: three-string states in  $S^{+-}$

# Evolution of DSF intensity at specific momenta



Pink:  $S^{-+}$

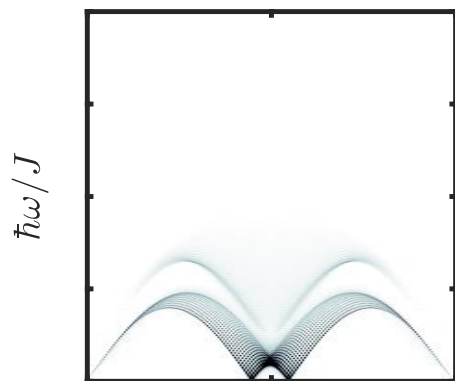
Blue: real states in  $S^{+-}$

Red: two-string states in  $S^{+-}$

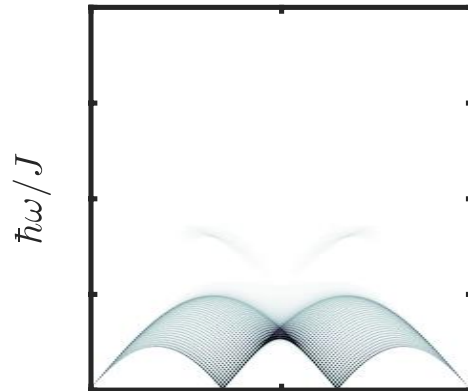
Black: three-string states in  $S^{+-}$

String stats occupy regions of higher energies, and the peaks are more smeared.

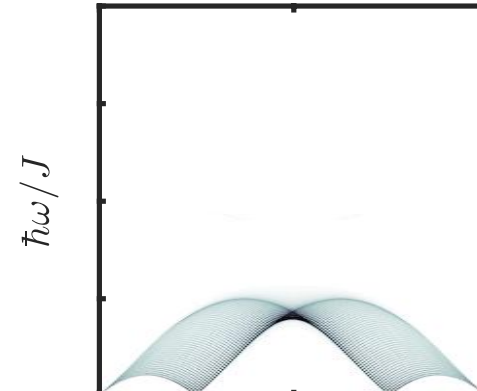
# Longitudinal DSF $S^{zz}(q, \omega)$ - intensity plot



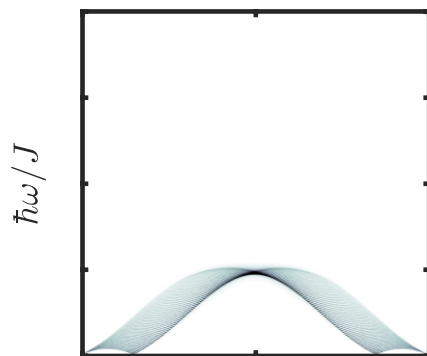
$\pi$   
 $2m=0.1$



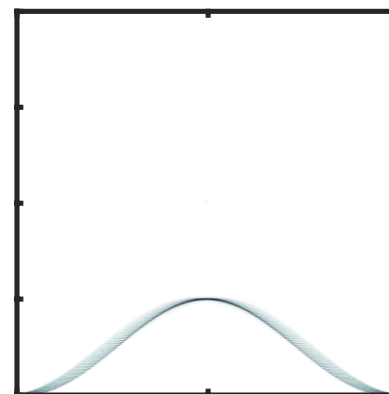
$\pi$   
 $2m=0.3$



$\pi$   
 $2m=0.5$



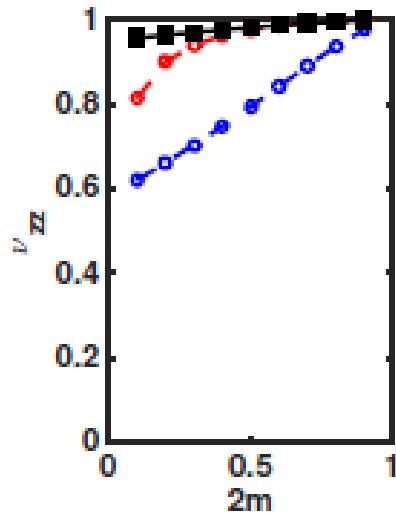
$\pi$   
 $2m=0.7$



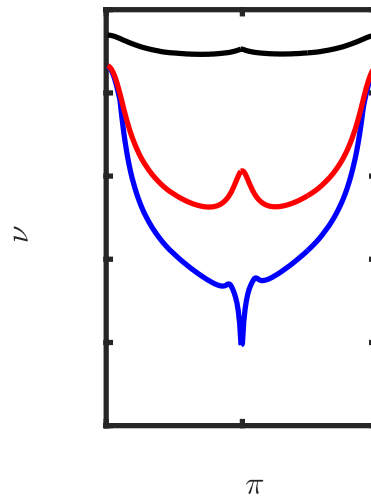
$\pi$   
 $2m=0.9$

# Comparison with sum rules for longitudinal DSF

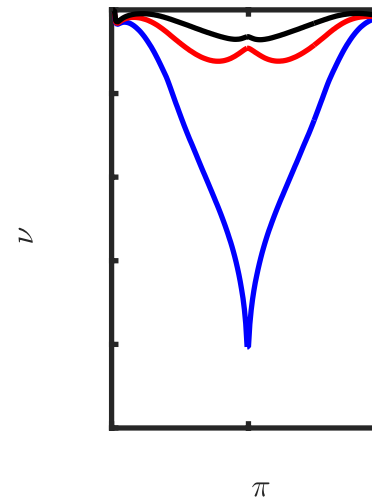
Saturation of  
momentum-integrated  
intensity



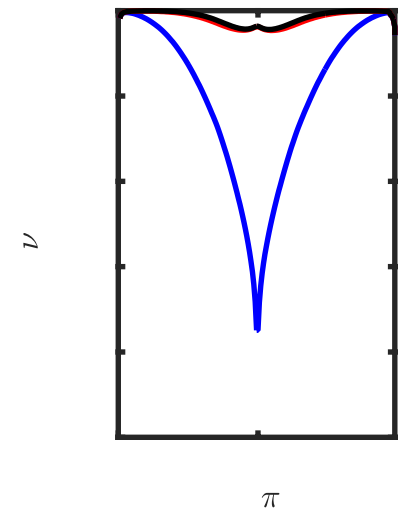
momentum-resolved intensity



$2m=0.1$



$2m=0.4$



$2m=0.7$

Blue:  $1\psi\psi^*$

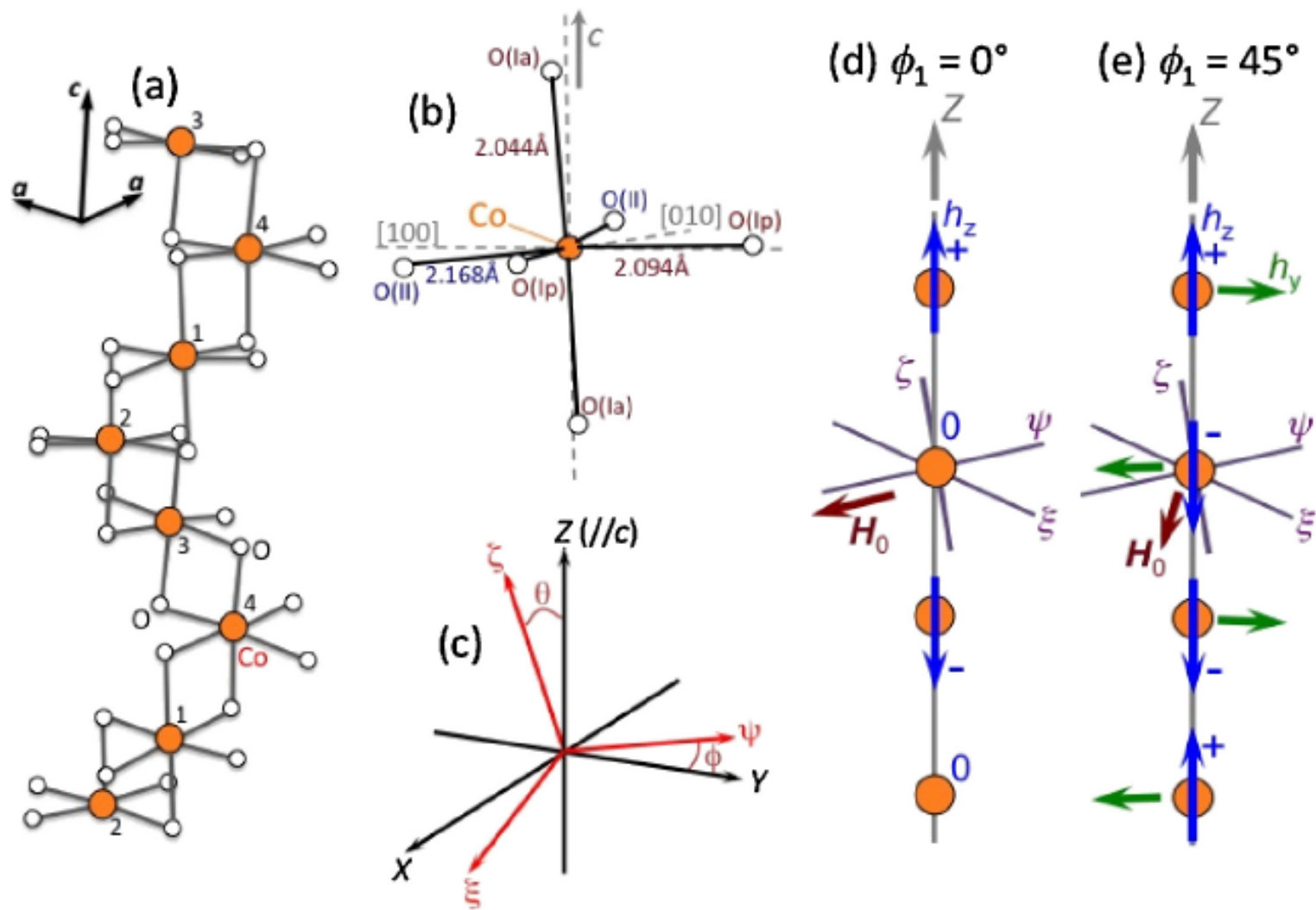
Red:  $2\psi\psi^*$

Black: two-string states

# Summary

- Dynamic spin structure factor  $S^{-+}$ ,  $S^{+-}$  and  $S^{zz}$  for the axial XXZ model.
- Dominant excitations identified-- excellent agreement with sum rules.
- Low energy – gapless, magnetic-field-induced incommensurability

Intermediate and high energies -- gapped Neel background





# Derivation of determinant formulae

$$\langle \mu | S_n^a | \lambda \rangle = \frac{\langle \Psi(\{\mu_i\}) | S_j^a | \Psi(\{\lambda_j\}) \rangle}{\sqrt{\langle \Psi(\{\mu_i\}) | \Psi(\{\mu_i\}) \rangle} \cdot \sqrt{\langle \Psi(\{\lambda_j\}) | \Psi(\{\lambda_j\}) \rangle}}$$

Quantum inverse problem:

$$\sigma_i^- = \prod_{\alpha=1}^{i-1} (A + D)(\xi_\alpha) \cdot B(\xi_i) \cdot \prod_{\alpha=i+1}^N (A + D)(\xi_\alpha),$$

$$\sigma_i^+ = \prod_{\alpha=1}^{i-1} (A + D)(\xi_\alpha) \cdot C(\xi_i) \cdot \prod_{\alpha=i+1}^N (A + D)(\xi_\alpha),$$

$$\sigma_i^z = \prod_{\alpha=1}^{i-1} (A + D)(\xi_\alpha) \cdot (A - D)(\xi_i) \cdot \prod_{\alpha=i+1}^N (A + D)(\xi_\alpha)$$

F-basis:

$$\begin{aligned} \tilde{D}_{1\dots N}(\lambda; \xi_1, \dots, \xi_N) &\equiv F_{1\dots N}(\xi_1, \dots, \xi_N) D_{1\dots N}(\lambda; \xi_1, \dots, \xi_N) F_{1\dots N}^{-1}(\xi_1, \dots, \xi_N) \\ &= \bigotimes_{i=1}^N \begin{pmatrix} b(\lambda, \xi_i) & 0 \\ 0 & 1 \end{pmatrix}_{[i]}. \end{aligned}$$

$$\tilde{B}_{1\dots N}(\lambda) = \sum_{i=1}^N \sigma_i^- c(\lambda, \xi_i) \bigotimes_{j \neq i} \begin{pmatrix} b(\lambda, \xi_j) & 0 \\ 0 & b^{-1}(\xi_j, \xi_i) \end{pmatrix}$$

# Algebraic Bethe Ansatz

Yang-Baxter Equation:

$$R_{12}(\lambda_1, \lambda_2)R_{13}(\lambda_1, \lambda_3)R_{23}(\lambda_2, \lambda_3) = R_{23}(\lambda_2, \lambda_3)R_{13}(\lambda_1, \lambda_3)R_{12}(\lambda_1, \lambda_2)$$

Monodromy matrix:

$$\mathcal{T}(\lambda) = R_{0n}(\lambda, i\frac{\eta}{2})\dots R_{02}(\lambda, i\frac{\eta}{2})R_{01}(\lambda, i\frac{\eta}{2}) = \begin{pmatrix} A(\lambda) & B(\lambda) \\ C(\lambda) & D(\lambda) \end{pmatrix}_{[0]}$$

Transfer matrix and XXZ Hamiltonian:

$$T(\lambda) = \text{Tr}\mathcal{T}(\lambda) \quad H = \sin(i\eta) \frac{d}{d\lambda} \ln T(\lambda)|_{\lambda=i\eta/2} + \text{const.}$$

Magnon creation operator:

$$\Psi(\lambda_1, \lambda_2, \dots, \lambda_r) = B(\lambda_1)B(\lambda_2)\dots B(\lambda_r)| \uparrow \uparrow \dots \uparrow \rangle$$