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## Lect 5: Fermi liquid theory (I)

Landau: 1956  ${}^3\text{He}$  normal state:

hard core radius  $\sim 2.5 \text{ \AA}$ , average interparticle distance  $\sim 3.5 \text{ \AA}$

We assume that there are no phase transitions: crystalline order, magnetic order, superfluidity, ...

### §1 Concept of quasi-particles

Suppose we have a  $N$ -body ground state  $|G\rangle$ . At time  $t=0$ , an extra particle is inserted in the plane wave state  $C_k^\dagger$ . After a time period of  $T$ , we check the amplitude of such a particle still in the state of  $\vec{k}$  at time  $T$ .

$$e^{-iHT} C_k^\dagger |G\rangle, \text{ the inner product with } C_k^\dagger e^{-iHT} |G\rangle$$

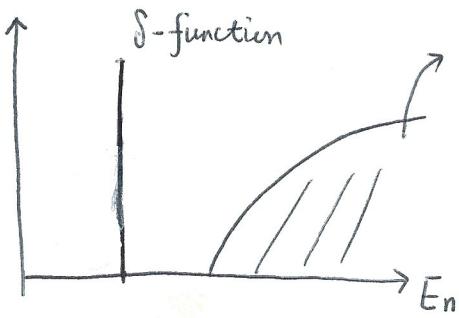
$$\rightarrow G_k(T) = \langle G | e^{iHT} C_k e^{-iHT} C_k^\dagger |G\rangle = \langle G | C_k(T) C_k(0) |G\rangle$$

we expand  $G_k(T)$  in terms of Lehman representation as

$$G_k(T) = \sum_m \langle G | C_k(T) | m \rangle \langle m | C_k^\dagger(0) | G \rangle = \sum_m |\langle G | C_k | m \rangle|^2 e^{-i(E_m - E_g)T}$$

In many cases, the spectra weight of  $|\langle m | C_k^\dagger | G \rangle|$  behaves like

$$|km| G_k |G\rangle|^2$$



Continuum

$$G_k(T) = Z e^{-i E_k T}$$

$$+ \sum_n k G |G_k| n \rangle^2 e^{-i E_n T}$$

The second part represents a Continuum in the energy space, this leads to a rapid decaying function.

The long time behavior is controlled by the one particle-like excitation.

In the Fermi liquid state  $0 < Z < 1$ . The  $\delta$ -function spike can be considered as quasiparticle state.

## § 2: Adiabatic continuity:

Each state of the free fermi gas corresponds to a state of the interacting system by turning on the interaction adiabatically.

At zero temperature, the quasiparticle distribution satisfies

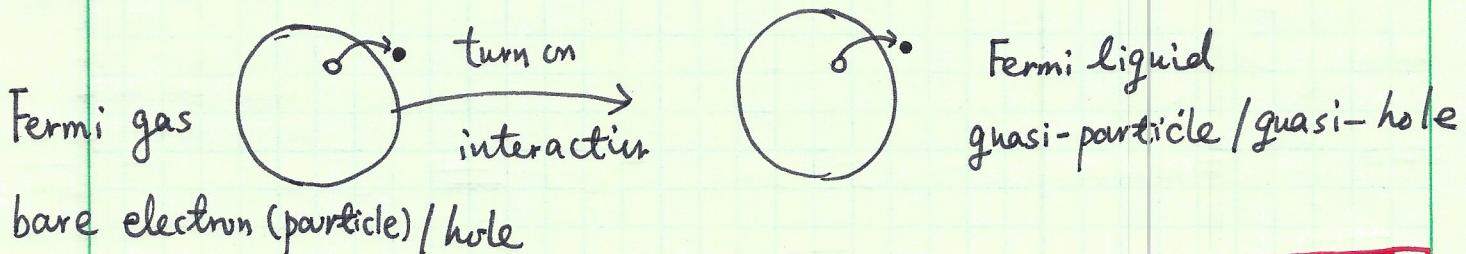
$$n_{po}^o = \begin{cases} 1 & k \leq k_F \\ 0 & k > k_F \end{cases}$$

We can create excitations by moving some quasi-particles inside the fermi sphere into states outside the fermi surface. The quasi-particle energy is defined as

$$E - E_0 = \sum_{po} \epsilon(p) \delta n_{p,o}, \text{ so do}$$

$$\vec{p} = \sum_{po} \vec{p} \delta n_{p,o}, \quad \vec{S} = \sum_o \vec{\sigma} \delta n_{p,o}$$

Please note that  $\vec{P}_{\text{tot}}$  and  $\vec{S}_{\text{tot}}$  are conserved quantities through the process of turning interaction.



let us expand  $\mathcal{E}(k) = \left. \left( \frac{d\mathcal{E}}{dk_F} \right) \right|_{k=k_F} (k - k_F)$ , i.e.  $v_F = \left( \frac{d\mathcal{E}}{dk} \right)_{k_F}$

define effective mass  $m^* = \frac{P}{v_F}$

### § Interactions among quasi-particles

We expand the variation of the ground state energy to the 2nd order of  $\delta n_{p\sigma}$ ,

$$\delta E = \sum_{p\sigma} \frac{\delta E}{\delta n_{p\sigma}} \delta n_{p\sigma} + \frac{1}{2} \sum_{p\sigma, p\sigma'} \frac{\delta^2 E}{\delta n_{p\sigma} \delta n_{p\sigma'}} \delta n_{p\sigma} \delta n_{p\sigma'}$$

$$\epsilon_{p\sigma} = \frac{\delta E}{\delta n_{p\sigma}},$$

$$\frac{1}{V} f(\vec{p}, \vec{p}'; \sigma\sigma') = \frac{\delta^2 E}{\delta n_{p\sigma} \delta n_{p\sigma'}} \rightarrow \text{the unit of } f = \frac{\text{energy} \times \text{volume}}{\text{volume}}$$

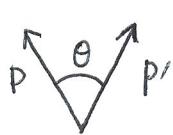
$$\Rightarrow \delta E = \sum_{p\sigma} \epsilon_{p\sigma} \delta n_{p\sigma} + \frac{1}{2V} \sum_{p\sigma, p\sigma'} f(\vec{p}, \vec{p}'; \sigma\sigma') \frac{\delta n_{p\sigma} \delta n_{p\sigma'}}{\delta n_{p\sigma} \delta n_{p\sigma'}}$$

i.e Fourier component of interaction

$f(\vec{p}, \vec{p}'; \sigma\sigma')$  = Landau interaction function

we have

$$f(p, p'; \sigma\sigma') = f_s(\omega\theta) + f_a(\omega\theta)\sigma\sigma'$$



$$\text{where } f_s = (f_{\uparrow\uparrow} + f_{\downarrow\downarrow})/2$$

$$f_a = (f_{\uparrow\uparrow} - f_{\downarrow\downarrow})/2$$

More generally, spin does not need to be diagonal, and should be represented as density matrix  $\delta n_{p,\alpha\beta}$ , and physical quantities, such as spin, should be represented as  $S = \text{tr}[\vec{\sigma} \delta n_p] = (\vec{\sigma})_{\beta\alpha} \delta n_{p,\alpha\beta}$ .

the interaction function most generally should be

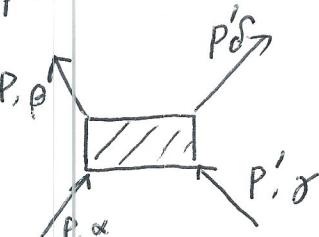
$$\frac{1}{2V} \sum_{pp'} \left\{ f_s(\vec{p}; \vec{p}') \delta_{\alpha\beta} \delta_{\sigma\sigma'} + f_a(\vec{p}; \vec{p}') \vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\sigma\sigma'} \right\} \cdot \delta n_{p,\beta\alpha} \cdot \delta n_{p',\sigma\sigma'}$$

$f(p, p')$  describes the forward scattering amplitude of quasi-particles near the Fermi surface.

symmetry constraint:

orbital rotational symmetry  $f(p, p')$  can only be a function of  $\hat{P} \cdot \hat{P}'$ ,

spin-rotational symmetry:  $\delta_{\alpha\beta} \delta_{\sigma\sigma'}$ ;  $\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\sigma\sigma'}$   
for particle  $p$  and  $p'$



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{ Calculation of Landau - interaction function at the three level

Consider a spin-independent potential, in the 2nd quantization form, we have:

$$\text{The interaction } H_{\text{int}} = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \sum_{\alpha} \psi_{\alpha}^{\dagger}(\mathbf{r}) \psi_{\alpha}^{\dagger}(\mathbf{r}') V(\mathbf{r}-\mathbf{r}') \psi_{\beta}(\mathbf{r}') \psi_{\beta}(\mathbf{r})$$

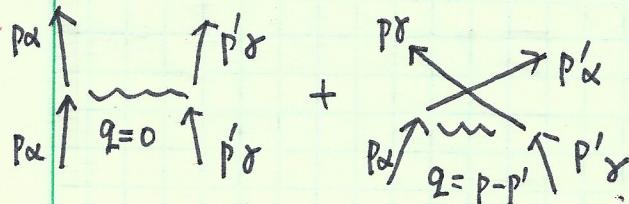
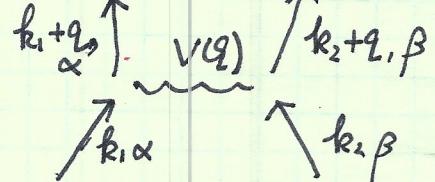
$$\xrightarrow{\quad} \text{Fourier transform} = \frac{1}{2V} \sum_{\alpha\beta} C_{\alpha}^{+}(k_1+q) \underbrace{C_{\beta}^{+}(k_2-q)}_{V(q)} C_{\beta}(k_2) C_{\alpha}(k_1)$$

$$\text{where } V(q) = \int d\mathbf{r} e^{i\mathbf{q}\cdot\mathbf{r}} V(\mathbf{r}) \rightarrow \text{interaction vertex}$$

Fermi liquid interaction function

corresponds to forward-scattering, i.e.  $q \rightarrow 0$ .

However, due to indistinguishable processes, we have



$$f_{\alpha\beta,\sigma\sigma'}(\vec{p}, \vec{p}') = V(q=0) \delta_{\alpha\beta} \delta_{\sigma\sigma'} - V(\vec{p}-\vec{p}') \delta_{\alpha\beta} \delta_{\sigma\sigma'}$$

using the identity

$$\frac{1}{2} [\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta} + \delta_{\alpha\beta} \delta_{\gamma\delta}] = \delta_{\alpha\gamma} \delta_{\beta\delta}$$

we have at the tree level

$$f_{\alpha\beta, \gamma\delta}(\vec{p}, \vec{p}') = [V(0) - \frac{1}{2} V(p-p')] \delta_{\alpha\beta} \delta_{\gamma\delta} - \frac{1}{2} V(p-p') \vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta}$$

Ex: please prove it

Hint: express  $\delta_{\alpha\gamma} \delta_{\beta\delta}$   
 $= f_{\alpha\gamma} \delta_{\beta\delta} + \vec{g}_{\alpha\gamma} \cdot \vec{\sigma}_{\beta\delta}$   
via trace

Generally speaking, for system with spin conservation, the Landau interaction function can be represented as  $SU(2)$

$$f_{\alpha\beta, \gamma\delta}(\vec{p}, \vec{p}') = f^s(q, p') \delta_{\alpha\beta} \delta_{\gamma\delta} + f^a(q, p') \vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta}$$

$f^s$  and  $f^a$  describes the forward scattering amplitude which marks the fixed points of Fermi liquid in the RG language.  $f^s$  is in the density channel interaction, while  $f^a$  is in the spin channel.

Fermi liquid theory (II) Renormalization to physical quantities, and Pomeranchuk instability

{ Fermi liquid corrections to physical ~~the~~ quantities.

dimensionless Landau interaction function

$$f_{s,a}(\omega_s \theta) = \sum_e f_{e,s,a} P_e(\omega_s \theta)$$

$$F_{s,a} = N_0 f_{e,s,a}; \quad N_0 \text{ density of state}$$

The interaction effects are summarized in the two sets of Landau parameters.

★ S-wave channel : molecular method

Spin-susceptibilities :

$$f_0^a \sigma \sigma' = N_0^{-1} F_0^a \sigma \sigma'$$

$$\delta \mathcal{E}^{(2)} = \frac{1}{2} N_0^{-1} F_0^a \sum_{pp'oo'} \sigma \sigma' \delta n_{po} \delta n_{po'} = \frac{1}{2} N_0^{-1} F_0^a (S_z)^2$$

define molecule field  $E = - \int \vec{h}_{\text{mol}} \cdot d\vec{s}$

$$\Rightarrow h_{\text{mol}}(s) = - \frac{\delta \mathcal{E}}{\delta S_z} = - N_0^{-1} S_z F_0^a$$

$$h_{\text{tot}} = h_{\text{ex}} + h_{\text{mol}} = h_{\text{ex}} - N_0^{-1} S_z F_0^a$$

$$S_z = \chi_0 h_{\text{tot}} = \chi_0 h_{\text{ex}} - \chi_0 N_0^{-1} S_z F_0^a$$

$$S_z (1 + \chi_0 F_0^a N_0^{-1}) = \chi_0 h_{\text{ex}} \Rightarrow \boxed{\chi = \frac{\chi_0}{1 + \chi_0 F_0^a (N_0^{-1})}}$$

Compressibility

$$f_0^S = N(0) F_0^S$$

$$\delta \mathcal{E}^{(2)} = \frac{N(0)}{2} F_0^S \sum_p \delta n_p \delta n_p = \frac{1}{2} (N(0))^2 F_0^S (\delta n)^2$$

$$h_{\text{mol}} = - N(0) F_0^S \delta n \Rightarrow \boxed{\frac{dn}{d\mu} = \frac{N(0)}{1 + F_0^S}}$$

\* p-wave channel : effective mass.

define  $n(r,t) = \sum_{\sigma} \int \frac{d^3 p}{(2\pi)^3} n_{p,\sigma}(r,t)$  allow a slow spatial variation.

$$\vec{j}(r,t) = \sum_{\sigma} \int \frac{d^3 p}{(2\pi)^3} \vec{v}_p \epsilon_{p\sigma}(r,t) n_{p\sigma}(r,t)$$

linearizing the expression of  $\vec{j}(r,t)$ , by using

$$\epsilon_{p\sigma}(r,t) = \epsilon_p^0 + \int \frac{d^3 p'}{(2\pi)^3} f_{\sigma\sigma'}^S(p p') \delta n_{p'\sigma'}(r,t)$$

$$n_{p\sigma}(r,t) = n_p^0 + \delta n_{p\sigma}(r,t)$$

$$\begin{aligned} \vec{j}(r,t) &= \sum_{\sigma} \int \frac{d^3 p}{(2\pi)^3} \nabla_p \epsilon_{p\sigma}^0 \delta n_{p\sigma}(r,t) + \nabla_p \delta \epsilon_{p\sigma}(r,t) \cdot n_p^0 \\ &= \sum_{\sigma} \int \frac{d^3 p}{(2\pi)^3} \nabla_p \epsilon_{p\sigma}^0 \delta n_{p\sigma}(r,t) - \nabla_p n_p^0 \delta \epsilon_{p\sigma}(r,t) \quad \text{partial derivative} \\ &= \sum_{\sigma} \int \frac{d^3 p}{(2\pi)^3} v_p [\delta n_{p\sigma}(r,t) - \frac{\partial n_p^0}{\partial \epsilon_p} \int \frac{d^3 p'}{(2\pi)^3} f_{\sigma\sigma'}^S(p p') \delta n_{p'\sigma'}(r,t)] \\ &= \int \frac{d^3 p}{(2\pi)^3} v_p \delta n_p(r,t) + \int \frac{d^3 p}{(2\pi)^3} v_p \left( -\frac{\partial n_p^0}{\partial \epsilon_p} \right) \int \frac{d^3 p'}{(2\pi)^3} f_{\sigma\sigma'}^S(p p') \delta n_{p'\sigma'}(r,t) \end{aligned}$$

$$\int \frac{d^3 p}{(2\pi)^3} \vec{v}_p \left( -\frac{\partial n_p^0}{\partial \epsilon_p} \right) f^s(p, p') = N(0) \int \frac{d\omega}{4\pi} \sum_e f_e^s P_e(\omega, 0) v_F \cos \theta \hat{z}$$

$$= \frac{N(0)}{3} f_1^s \vec{v}_F \hat{z},$$

{ other two direction average to zero

↳ set  $p'$  along  $z$ -axis  
in the  $p$ -space

$$\Rightarrow \int \frac{d^3 p}{(2\pi)^3} \vec{v}_p \left( -\frac{\partial n_p^0}{\partial \epsilon_p} \right) f^s(p, p') = \frac{N(0)}{3} f_1^s \vec{v}_{p'} = \frac{F_1^s}{3} \vec{v}_{p'}$$

$$\vec{j}(r, t) = \int \frac{d^3 p}{(2\pi)^3} \vec{v}_p \delta n_p(r, t) + \frac{F_1^s}{3} \int \frac{d^3 p'}{(2\pi)^3} \vec{v}_{p'} \delta n_{p'}(r, t)$$

$$= \int \frac{d^3 p}{(2\pi)^3} \vec{v}_p \left( 1 + \frac{F_1^s}{3} \right) \delta n_p(r, t) = \int \frac{d^3 p}{(2\pi)^3} \frac{\vec{P}}{m^*} \left( 1 + \frac{F_1^s}{3} \right) \delta n_p(r, t)$$

on other hand, by adiabatic continuity

$$\vec{j}(r, t) = \int \frac{d^3 p}{(2\pi)^3} \frac{\vec{P}}{m} \delta n_p(r, t) \Rightarrow \boxed{\frac{1}{m} = \frac{1}{m^*} \left( 1 + \frac{F_1^s}{3} \right)}$$

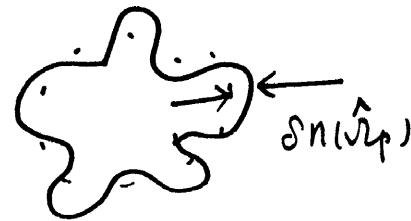
Similarly, we can derive spin current

$$j_i^\mu = 2 \int \frac{d^3 p}{(2\pi)^3} \left( 1 + \frac{F_1^a}{3} \right) \frac{p_i}{m^*} \sigma_p^\mu(r, t)$$

we can define spin-effective mass  $\frac{1}{m_s^*} = \frac{1}{m^*} \left( 1 + \frac{F_1^a}{3} \right)$

$$\boxed{\frac{m_s^*}{m} = \frac{1 + \frac{1}{3} F_1^s}{1 + \frac{1}{3} F_1^a}}$$

$\S$  For general channels  $F_e^{a,s}$



$$\delta n = V \int \frac{p^2 dp}{(2\pi)^3} \int dv_{2p} \delta n(p, v_{2p}) = V \int dv \delta n(\hat{v}_p)$$

where  $\delta n(\hat{v})$  is defined as  $\int \frac{p^2 dp}{(2\pi)^3} \delta n(p, v_{2p})$ , i.e. integrate over radius direction.

we expand the angular distribution in terms of harmonic oscillators

$$\delta n(\hat{v}_p) = \sum_{lm} \delta n_{lm} Y_{lm}(\hat{v}_p)$$

$$E^{(2)} = \frac{1}{2V} \sum_{pp'} f_{oo'}(\hat{p} \hat{p}') \delta n_{po} \delta n_{p'o'} = \frac{V}{2} \int dv_p dv_{p'} f_{oo'}(p p') \frac{\delta n_o(v_{2p})}{\delta n_o(v_{2p'})}$$

$$= \frac{V}{2} N(o) \int dv_p dv_{p'} \underbrace{\sum_{lm} F_l^s \frac{4\pi}{2l+1} Y_{lm}^*(v_{2p}) Y_{lm}(v_{2p'})}_{\text{addition theorem}} \quad \left[ \left( \sum_{e,m_1} Y_{e,m_1}(v_{2p}) \delta n_{e,m_1}^s \right) \left( \sum_{e_2 m_2} Y_{e_2 m_2}(v_{2p'}) \delta n_{e_2 m_2}^s \right) + (s \rightarrow a) \right]$$

$$\text{where } F_{oo'} = F^s + F^a \sigma \sigma', \quad \delta n_{s,a} = \delta n_r \pm \delta n_\downarrow$$

$$E^{(2)} = \frac{V}{2} N(o) \left[ \sum_{lm} F_l^s \frac{4\pi}{2l+1} \delta n_{lm}^{*(s)} \delta n_{lm}^{(s)} + (s \rightarrow a) \right]$$

The kinetic energy increase

$$\delta E'' = \sum \epsilon_p \delta n_p = V \int dv \int \frac{p^2 dp}{(2\pi)^3} \epsilon_p \delta n(p, v_{2p})$$

$$\int \frac{p^2 dp}{(2\pi)^3} \delta p \delta n(p, \hat{v}_p) = \frac{p_F^2}{(2\pi)^3} v_F \cdot \frac{1}{2} (\delta p_F)^2 \leftarrow \begin{array}{l} \delta p = v_F \cdot \delta p \\ p^2 \rightarrow p_F^2 \end{array}$$

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Compare with  $\int \frac{p^2 dp}{(2\pi)^3} \delta n(p, \hat{v}_p) = \frac{p_F^2}{(2\pi)^3} \delta p_F = \delta n(v_F)$

$$\Rightarrow \int \frac{p^2 dp}{(2\pi)^3} \delta p \delta n(p, \hat{v}_p) = \frac{v_F}{2} [\delta n(v_F)]^2 / \frac{p_F^2}{(2\pi)^3} = 4\pi N(0) [\delta n(v_F)]^2$$

$$\delta E'' = V N(0) \int d\Omega [\delta n(v_F)]^2 = 2\pi V N(0) \sum_{em} [|\delta n_{em}^s|^2 + |\delta n_{em}^a|^2]$$

$$\Rightarrow \Delta E = 2V N(0) \sum_{em} \left\{ \left( 1 + \frac{F_e^s}{2e+1} \right) [|\delta n_{em}^s|^2 + (s \rightarrow a)] \right\}$$

From thermodynamic properties, we know

$$\Delta E = \sum_{em} \frac{1}{2\chi_e^s} [|\delta n_{em}^s|^2 + (s \rightarrow a)]$$

$$\Rightarrow \frac{1}{\chi_{e,FL}^{s,a}} = \frac{1}{\chi_{e,0}^{s,a}} \left( 1 + \frac{F_e^{s,a}}{2e+1} \right)$$

i.e.

$$\boxed{\chi_{e,FL,e}^{s,a} = \frac{\chi_{e,0}^{s,a}}{1 + \frac{F_e^{s,a}}{2e+1}}}$$

in  ${}^3\text{He}$   $F_0^s \approx 10 \cdot 8$ .  $F_a^s \approx -0.75$

Compressibility is greatly reduced

spin-Susceptibility is greatly enhanced!

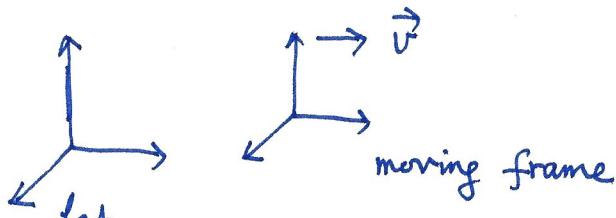
③

### §: effective mass renormalization

Consider that we do a Galilean transformation.

$$H = \sum_i \frac{\vec{P}_i^2}{2m} \rightarrow \sum_i \frac{(\vec{P}_i + m\vec{V})^2}{2m}$$

where  $\vec{P}_i$  is momentum  
in the moving frame



$\vec{P}_i + m\vec{V}$  is the momentum  
in the lab frame.

$\vec{P}_i$  is canonical momentum

$\vec{P}_i + m\vec{V}$  is mechanical momentum

In the lab frame, the current reads

$$\vec{j}(r, t) = \sum_i \delta(r - r_i) (\vec{P}_i + m\vec{V}), \text{ which is zero because}$$

the system remains at rest.

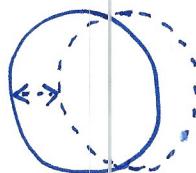
$$\langle \vec{j} \rangle = \frac{1}{m} \langle \vec{p}(t) \rangle + \vec{V} = 0 \Rightarrow \langle \vec{P}_i \rangle = -\vec{V}m$$

$$\text{total momentum } \langle \vec{Q} \rangle = -N m \vec{V} \text{ in the moving frame.}$$

Now let us calculate  $\langle \vec{Q} \rangle$  in the moving frame by another method.

Let us consider  $-\vec{V}$  as an external field, and  $\vec{Q}$  as the response

$$\langle \vec{Q} \rangle = - \frac{\delta E}{\delta \vec{V}}$$



$$\delta p = -m\vec{V}$$

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$$\langle \vec{Q} \rangle = \sum_{\sigma} p \delta n_{p\sigma} = V \cdot P_F \int d\Omega \sqrt{p} \int \frac{dp}{(2\pi)^3} P_F^2 \delta n_{p\sigma} = V \cdot P_F \int d\Omega p \cos\theta_p \delta n(p) v_p$$

$$= V \cdot P_F \sqrt{\frac{4\pi}{3}} \delta n_{10}^s$$

$$E(\vec{v}) = E(\vec{v}=0) + V P_F (\sqrt{\frac{4\pi}{3}} v) \delta n_{10}^s$$

$$\frac{E(\vec{v})}{V} = 2\pi N(0) \left(1 + \frac{F_F^s}{3}\right) (\delta n_{10}^s)^2 + P_F \left(\sqrt{\frac{4\pi}{3}} v\right) \delta n_{10}^s$$

$$\Rightarrow \langle \delta n_{10}^s \rangle = - \frac{N(0) P_F \left(\sqrt{\frac{4\pi}{3}} v\right)}{4\pi \left(1 + \frac{F_F^s}{3}\right)} = - \frac{k_F^2 P_F}{4\pi^3 \hbar V_F} \frac{\sqrt{\frac{4\pi}{3}}}{1 + \frac{F_F^s}{3}} v$$

$$\langle Q \rangle = -V \frac{P_F}{\hbar} \frac{\frac{k_F^2 m^* v}{3\pi^2} \left(1 + \frac{F_F^s}{3}\right)}{1 + \frac{F_F^s}{3}} = -V \frac{k_F^3}{3\pi^2} \frac{m^* v}{1 + \frac{F_F^s}{3}} = -\frac{N m^* v}{1 + \frac{F_F^s}{3}}$$

$$\Rightarrow m = \frac{m^*}{1 + \frac{F_F^s}{3}} \quad \text{i.e.} \quad \boxed{\frac{m^*}{m} = 1 + \frac{F_F^s}{3}}$$

## § Pomeranchuk instability

Consider the Fermi surface as an elastic membrane in momentum space. The deformation of the Fermi surface not only changes the kinetic energy, but also changes the interaction energy.

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As we showed before,

$$\delta E \propto \left(1 + \frac{F_e^{S,a}}{2l+1}\right) |\delta n_{\text{em}}^{S,a}|^2 + O(\delta n_{\text{em}}^{S,a})^4 + \dots$$

if  $F_e^{S,a} < -(2l+1)$ , then the Fermi surface will not be spheric

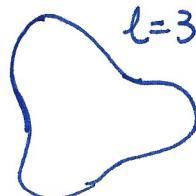
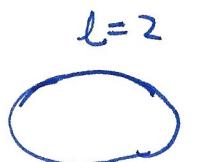
stable, but develop distortions.

$F_o^S \rightarrow$  phase separation : divergence of compressibility

$F_o^a \rightarrow$  Ferromagnetism : divergence of spin-susceptibility

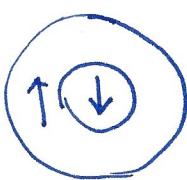
for  $l \geq 1$ , Fermi surface anisotropic distortions.

electronic liquid crystal phase

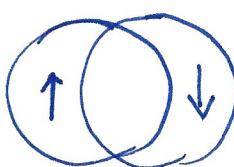


... . . .

\* Unconventional magnetism



$F_o^a$



$F_i^a$

p-wave magnetism

J. Hirsch PRB 41, 6820 (1990)  
PRB 41 6828 (1990)

C. Wu et al PRL 93, 36403 (2004)  
PRB 75, 115103 (2007)

## Lect 7 Boltzmann transport (I)

### - § Wigner distribution

We need to consider a spatially inhomogeneous (slowly varying) system. We will talk about the particle with momentum  $\vec{p}$  at point  $\vec{r}$ , and the distribution function  $n_{\alpha\beta}(p; r, t)$  in the phase space. A more rigorous definition is as follows:

define  $f(p; k; t) = \langle c_{p+k/2}^+ c_{p-k/2} \rangle(t)$ , and perform the Fourier transform over small variable  $k$ , and arrive at

$$n_{\alpha\beta}(p; r, t) = \sum_k f(p; k; t) e^{ikr}$$

another way to express  $n_{\alpha\beta}(p; r, t) = \int d\mathbf{r}' e^{ip \cdot \mathbf{r}'} \langle \psi_\alpha^\dagger(r + \frac{\mathbf{r}'}{2}) \psi_\beta(r - \frac{\mathbf{r}'}{2}) \rangle$

where " $r$ " is the center of mass coordinate,  $\mathbf{r}'$  is the relative coordinate.

$n_{\alpha\beta}(p; r, t)$  is a semiclassical distribution. The resolution of  $\Delta p$  and  $\Delta r$  need to satisfy  $\Delta p \cdot \Delta r \geq \hbar/2$ .

### § Boltzmann equation:

Let us study the equation of motion of  $n(p; r, t)$ . It has three contributions:

- ① Flow in the momentum space
- ② Flow in the real space
- ③ Collisions

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$$\frac{\partial n(p; r; t)}{\partial t} + \nabla_r [\nu_p(r, t) n(p; r, t)] + \nabla_p [f_p(r, t) n(p; r, t)] = I_{\text{collision}}$$

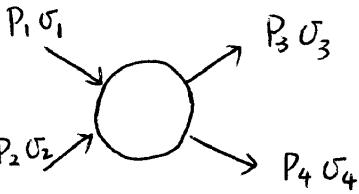
$\uparrow \frac{dr}{dt}$                                      $\uparrow \frac{dp}{dt}$

where  $\nu_p(r, t) = \nabla_p \epsilon_p(r, t)$ ,  $f_p(r, t) = -\nabla_r \epsilon_p(r, t)$

$\Rightarrow \frac{\partial}{\partial t} n(p; r; t) + \nabla_p \epsilon(p, r, t) \nabla_r n(p, r, t) - \nabla_r \epsilon(p, r, t) \nabla_p n(p, r, t) = I_{\text{collision}}$

Collision integral

$$\frac{2\pi}{\hbar} |\langle 34 | + | 12 \rangle|^2 \delta(\varepsilon_1 + \varepsilon_2 - \varepsilon_3 - \varepsilon_4) n_1 n_2 (1-n_3) (1-n_4) P_1 \sigma_1$$



$$\frac{2\pi}{\hbar} |\langle 12 | + | 34 \rangle|^2 \delta(\varepsilon_1 + \varepsilon_2 - \varepsilon_3 - \varepsilon_4) n_3 n_4 (1-n_1) (1-n_2)$$

define  $\frac{2\pi}{\hbar} |\langle 34 | + | 12 \rangle|^2 = \frac{1}{V^2} W(12;34) \delta_{P_1+P_2, P_3+P_4} \delta_{\sigma_1+\sigma_2 = \sigma_3+\sigma_4}$

$$\Rightarrow I_{coll}[n_p] = \frac{1}{V^2} \sum_{P_2 \sigma_2} \sum_{P_3 \sigma_3} \sum_{P_4 \sigma_4} W(12;34) \delta_{P_1+P_2, P_3+P_4} \delta_{\sigma_1+\sigma_2, \sigma_3+\sigma_4}$$

$$\delta(\varepsilon_1 + \varepsilon_2 - \varepsilon_3 - \varepsilon_4) [n_3 n_4 (1-n_1) (1-n_2) - n_1 n_2 (1-n_3) (1-n_4)]$$

In most situations, we will use a relaxation time approximation

$$Q[I(n_p)]_{RT} = - \frac{\delta n}{\tau}$$

In the case of  $\omega\tau \gg 1$ , we can neglect the collision integral.

warm up. — the Boltzmann Eq for the density channel, for ordinary

FL. — only density mode

$$\frac{\partial}{\partial t} n(r, p, t) + \nabla_p \cdot E(r, p, t) \nabla_r n(r, p, t) - \nabla_r \cdot E(r, p, t) \nabla_p n(r, p, t) = I_{\text{coll}}$$

linearizing the equation

$$E(r, p, t) = E_0(p) + \frac{1}{V} \sum_{p'} f^S(p, p') \delta n_{p'}(r, t)$$

$$n(r, p, t) = n_0(p) + \delta n(r, p, t)$$

$\nabla_r n(r, p, t)$  and  $\nabla_r \cdot E(r, p, t)$  are already at the order of  $\delta n$

thus we keep  $\nabla_p \cdot E(r, p, t) = \nabla_p E_0(p)$  and  $\nabla_p n(r, p, t) = \frac{\partial}{\partial p} n_0(p)$

at the zeroth order.

$$\Rightarrow \frac{\partial}{\partial t} \delta n(r, p, t) + \vec{v}_p \cdot \nabla_r \delta n(r, p, t) - \nabla_p n_0(p) \int \frac{d\vec{p}'}{(2\pi)^3} f^S_{\vec{p}\vec{p}'} \delta n(r, p', t) = 0$$

$$\frac{\partial}{\partial t} \delta n(r, p, t) + \vec{v}_p \cdot \nabla_r \left[ \delta n(r, p, t) - \frac{\partial n_0(p)}{\partial \vec{p}} \int \frac{d\vec{p}'}{(2\pi)^3} f^S_{\vec{p}\vec{p}'} \delta n(r, p', t) \right] = 0$$

do Fourier transform

$$\delta n(r, p, t) = \sum_{\vec{q}} \delta n(\vec{p}) e^{i(qr - \omega t)}$$

Collision neglected  
at  $\omega \tau \gg 1$

$$\Rightarrow (-i\omega + i\vec{v}_p \cdot \vec{q}) \delta n(\vec{p}) - \frac{\partial n_0(p)}{\partial \vec{p}} \vec{v}_p \cdot i\vec{q} \left[ \int \frac{d\vec{p}'}{(2\pi)^3} f^S_{\vec{p}\vec{p}'} \delta n(r, p', t) \right] = 0$$

$$(\omega - v_f q \omega_s \theta_p) \delta n(\vec{p}) + \frac{\partial n_0(p)}{\partial \vec{p}} v_f q \omega_s \theta_p \left[ \int \frac{d\vec{p}'}{(2\pi)^3} f^S_{\vec{p}\vec{p}'} \delta n(r, p', t) \right] = 0$$

$$(S - \cos\theta_p) \delta n(\hat{p}) - \left(-\frac{\partial n_0(p)}{\partial \epsilon_p}\right) \cos\theta_p \int \frac{dp'}{(2\pi)^3} f^s(\hat{p}, \hat{p}') \delta n(\hat{p}') = 0$$

define  $\delta n(\hat{p}) = \int \frac{p^2 dp}{(2\pi)^3} \delta n(\vec{p})$  — integrate over radial

$$\Rightarrow (S - \cos\theta_p) \int \frac{p^2 dp}{(2\pi)^3} \delta n(\hat{p}) - \cos\theta_p \int \frac{p^2 dp}{(2\pi)^3} \int \frac{p'^2 dp' d\Omega_{p'}}{(2\pi)^3} f^s(\hat{p}, \hat{p}') \delta n(\hat{p}') = 0$$

$$(S - \cos\theta_p) \delta n(\hat{p}) - \cos\theta_p \int \frac{p^2 dp}{(2\pi)^3} \left(-\frac{\partial n_0(p)}{\partial \epsilon_p}\right) \int d\Omega_{p'} f^s(\hat{p}, \hat{p}') \delta n(\hat{p}') = 0$$

$$\int \frac{p^2 dp}{(2\pi)^3} \delta(\epsilon_p - \epsilon_f) = \frac{N_0}{4\pi} \leftarrow \text{single component} \quad \text{DOS}$$

$$\Rightarrow (S - \cos\theta_p) \delta n(\hat{p}) - N_0 \cos\theta_p \int \frac{d\Omega_{p'}}{4\pi} f^s(\hat{p}, \hat{p}') \delta n(\hat{p}') = 0$$

next step is another Fourier transform

$$\delta n(\hat{p}) = \sum_l Y_{l0}(\hat{p}) u_l \quad (\text{set the direction } \hat{q} \text{ as } \hat{z}\text{-axis})$$

$$\sum_l (S - \cos\theta_p) Y_{l0}(\hat{p}) u_l - N_0 \cos\theta_p \int \frac{d\Omega_{p'}}{4\pi} \sum_{l'm'} f^s_{l'm'} \frac{4\pi}{2l+1} Y_{l'm'}(\hat{p}) Y_{l'm'}^*(\hat{p}')$$

$$\sum_l Y_{l0}(\hat{p}') u_l = 0$$

$$\sum_l Y_{l0}(\hat{p}) u_l - \frac{\cos\theta_p}{S - \cos\theta_p} \sum_l \frac{F_l^s}{2l+1} Y_{l0}(\hat{p}) u_l = 0$$

$$\int d\Omega_p Y_{\ell'm'}^*(\hat{p}) \sum_l Y_{l0}(\hat{p}) u_l = \sum_{\ell' m'} \int d\Omega_p \frac{\cos \theta_p}{S - \cos \theta_p} Y_{\ell'm'}^*(\hat{p}) \frac{F_\ell^S}{2\ell+1} Y_{l0}(\hat{p}) u_{l0}$$

exchange  $\ell \leftrightarrow \ell'$

$$\Rightarrow u_l = \sum_{\ell'} \int d\Omega_p Y_{\ell0}^*(\hat{p}) \frac{F_{\ell'}^S}{2\ell'+1} Y_{\ell0}(\hat{p}) \frac{\cos \theta_p}{S - \cos \theta_p} u_{\ell'} = 0$$

$$\frac{u_l}{\sqrt{2\ell+1}} + \sum_{\ell'} F_{\ell'}^S \mathcal{R}_{\ell\ell'}(s) \frac{u_{\ell'}}{\sqrt{2\ell'+1}} = 0$$

$$\text{where } \mathcal{R}_{\ell\ell'}(s) = -\frac{1}{\sqrt{(2\ell'+1)(2\ell+1)}} \int d\Omega_p Y_{\ell0}^*(\hat{p}) Y_{\ell'0}(\hat{p}) \frac{\cos \theta_p}{S - \cos \theta_p}$$

① truncate at  $\ell=0$   $\Rightarrow$

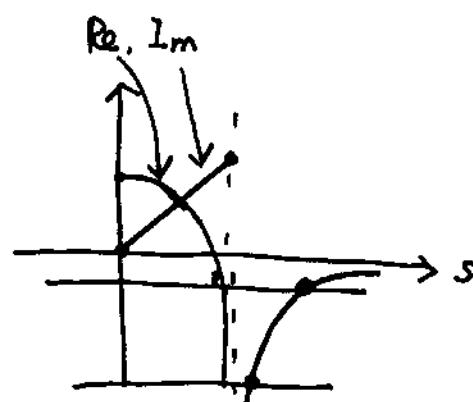
$$u_0 + F_0^S \mathcal{R}_{00}(s) u_0 = 0 \Rightarrow -\frac{1}{F_0^S} = \mathcal{R}_{00}(s)$$

$$\mathcal{R}_{00}(s) = \int \frac{d\Omega_p}{4\pi} \frac{-\cos \theta_p}{S - \cos \theta_p} = \int \frac{d\Omega_p}{4\pi} \left[ \frac{-s}{S - \cos \theta_p + i\eta} + 1 \right] = + \left[ 1 + \frac{s}{2} \ln \left| \frac{s-1}{s+1} \right| \right]$$

$$\Rightarrow -\frac{1}{F_0^S} = 1 + \frac{s}{2} \ln \left| \frac{s-1}{s+1} \right| + i \frac{\pi}{2} s \Theta(1-s)$$

for  $F_0^S \rightarrow 0^+$ ,  $s \rightarrow 1^+$

$$1 + \frac{1}{2} \ln \frac{s-1}{s+1} = -\frac{1}{F_0^S} \Rightarrow s \approx 1 + 2e^{-\frac{2}{F_0^S}}$$



for  $F_0^S \gg 1 \Rightarrow 1 + \frac{s}{2} \ln \left| \frac{s-1}{s+1} \right| \sim -\frac{1}{3s^2} \Rightarrow s = \sqrt{\frac{F_0}{3}}$  ( $s$  is the sound velocity)

## § Spin-related transport

define  $n_p(r, t) = \frac{1}{2} \text{tr}[n_{p,\alpha\beta}]$ ,  $\vec{\sigma}_p^{(r,t)} = \frac{1}{2} \text{tr}[n_{p,\alpha\beta} \vec{\epsilon}_{\beta\alpha}]$

$$\Rightarrow n(p; r, t) = n_p(r, t) \delta_{\alpha\alpha'} + \vec{\sigma}_p^{(r,t)} \cdot \vec{e}_{\alpha\alpha'} \quad (\text{decompose into density and spin})$$

the quasi-particle energy can be written as

$$E(p, r, t) = E_p(r, t) \delta_{\alpha\alpha'} + \vec{h}_p(r, t) \cdot \vec{e}_{\alpha\alpha'}$$

Plug in the Boltzmann Eq

$$\frac{\partial n(r, p, t)}{\partial t} + \frac{\partial}{\partial r} \left[ \frac{\partial E}{\partial p} \cdot n(r, p, t) \right] + \frac{\partial}{\partial p} \left[ -\frac{\partial E}{\partial r} \cdot n(r, p, t) \right] - \frac{i}{\hbar} [n(r, p, t), E(r, p, t)] = I_{\text{collusion}} \quad \begin{matrix} \text{Need symmetrization} \\ \uparrow \text{Larmor precession} \end{matrix}$$

Separate variables

$$\frac{\partial n_p(r, t)}{\partial t} + \frac{\partial}{\partial r_i} \left[ \frac{\partial E_p}{\partial p_i} n_p + \frac{\partial \vec{h}_p}{\partial p_i} \cdot \vec{\sigma}_p \right] + \frac{\partial}{\partial p_i} \left[ -\frac{\partial E}{\partial r_i} n_p - \frac{\partial \vec{h}_p}{\partial r_i} \cdot \vec{\sigma}_p \right] = I_{\text{coll}}$$

$$\frac{\partial \vec{\sigma}_p(r, t)}{\partial t} + \frac{\partial}{\partial r_i} \left[ \frac{\partial E_p}{\partial p_i} \vec{\sigma}_p + \frac{\partial \vec{h}_p}{\partial p_i} n_p \right] + \frac{\partial}{\partial p_i} \left[ -\frac{\partial E}{\partial r_i} \vec{\sigma}_p - \frac{\partial \vec{h}_p}{\partial r_i} \cdot n_p \right] = \frac{2}{\hbar} \vec{h}_p \times \vec{\sigma}_p + I_{\text{coll}}$$

Larmor precession: in an external magnet field  $\vec{H}$ , it couples to

electron spin as  $-\frac{\gamma}{2} \vec{H} \cdot \vec{\sigma} \Rightarrow \frac{\partial \vec{\sigma}_p}{\partial t} = \vec{\sigma}_p \times \gamma \vec{H} \Rightarrow \omega_0 = \gamma H$

In the Fermi liquid,  $h_p = -\gamma \frac{\hbar}{2} \vec{H} + 2 \int \frac{d^3 p'}{(2\pi)^3} f^a(p, p') \sigma_{p'}$

$\hookrightarrow$  contribution  
from interaction

in the uniform system, we have

$$\frac{\partial \vec{\sigma}_p}{\partial t} = \gamma \vec{\sigma}_p \times \vec{H} - \frac{4}{\hbar} \int \frac{d^3 p'}{(2\pi)^3} (\vec{\sigma}_p \times \vec{\sigma}_{p'})$$

define  $\delta \sigma(\hat{r}) = 2 \int \frac{d^3 p}{(2\pi)^3} \vec{p} \sigma(p, \hat{r})$ , (we integrate out radius direction).

$$\Rightarrow 2 \int \frac{p^2 dp}{(2\pi)^3} \frac{\partial \vec{\sigma}(p, \hat{r})}{\partial t} = 2 \int \frac{p^2 dp}{(2\pi)^3} \vec{\sigma}(p, \hat{r}) \times (\gamma \vec{H}) - \frac{8}{4\pi} \int \frac{dp'}{(2\pi)^3} \int \frac{p^2 dp'}{(2\pi)^3} \sigma_p \times \sigma_{p'}$$

$$\frac{\partial}{\partial t} \sigma(\hat{r}_p) = \gamma \sigma(\hat{r}_p) \times \vec{H} - \frac{2}{\hbar} \int \frac{d\hat{r}_p'}{4\pi} f^a(p, p') \hat{\sigma}(\hat{r}_p) \hat{\sigma}(\hat{r}_{p'})$$

In the external field  $\sigma(\hat{r}_p) = \sigma^0 + \delta \sigma(\hat{p}) \Rightarrow$

$$\frac{\partial}{\partial t} \delta \vec{\sigma}(\hat{r}_p) = \gamma \delta \vec{\sigma}(\hat{r}_p) \times \vec{H} - \frac{2}{\hbar} \int \frac{d\hat{r}_p'}{4\pi} f^a(p, p') [\delta \sigma(\hat{p}) \times \sigma^0 + \sigma^0 \times \delta \sigma(\hat{p}')]$$

define  $\delta \sigma_+ (\hat{r}_p) = \delta \sigma_x(\hat{r}_p) + i \delta \sigma_y(\hat{r}_p)$

$$\frac{\partial}{\partial t} \delta \sigma_+(\hat{r}_p) = -i [\omega_0 \delta \sigma_+(\hat{r}_p) - \frac{2\sigma^0}{\hbar} \int \frac{d\hat{r}_p'}{4\pi} f^a(p, p') (\delta \sigma_+(\hat{r}_p) - \delta \sigma_+(\hat{r}_{p'}))]$$

$$= -i [(\omega_0 - \frac{2}{\hbar} N(0) F_0^a \sigma^0) \delta \sigma_+(\hat{r}_p) + \frac{2}{\hbar} \sigma^0 \int \frac{d\hat{r}_p'}{4\pi} f^a(p, p') \delta \sigma_+(\hat{r}_{p'})]$$

expand  $\delta \sigma_+(\hat{r}_p) = \sum_{lm} \delta \sigma_{+lm} Y_{lm}(\hat{r}_p)$

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$$\int \frac{d\omega'_p}{4\pi} f^a(\omega_p, p') \delta C_+(\omega'_p) = N(0) \int \frac{d\omega'_p}{4\pi} F_\ell^a \frac{4\pi}{2\ell+1} Y_{\ell m}(\omega_p) Y_{\ell m}^*(\omega'_p) \sum_{\ell' m'} Y_{\ell' m'}^{(\omega'_p)} \delta C_+(\ell' m')$$

$$= N(0) \sum_m \frac{F_\ell^a}{2\ell+1} Y_{\ell m}(\omega_p) \delta C_+(\ell m)$$

$$\Rightarrow \frac{\partial}{\partial t} \delta C_+(\ell m) = -i \left[ \omega_0 - \frac{2}{\hbar} N(0) F_0^a \sigma^a + N(0) \frac{F_\ell^a}{2\ell+1} \right] \delta C_+(\ell m)$$

$$\Rightarrow \omega_{\ell+} = \left\{ \omega_0 - \frac{2}{\hbar} \sigma_0 N(0) \left[ F_0^a - \frac{F_\ell^a}{2\ell+1} \right] \right\}$$

$$\sigma^a = \frac{\gamma \hbar}{2} \frac{N(0)}{1+F_0^a} \mathcal{H}, \quad \omega_0 = \gamma \mathcal{H}$$

$$\Rightarrow \boxed{\frac{\omega_{\ell+}}{\omega_0} = \frac{1 + F_\ell^a / 2\ell+1}{1 + F_0^a}}$$

the  $\ell=0$  channel Larmor frequency  
is not modified by interaction  
because Spin is conserved by interaction!

### spin hydrodynamic equations

Integrate over momentum for the Boltzmann transport equation  $\Rightarrow$

$$\frac{\partial}{\partial t} \vec{\sigma}(r, t) + \frac{\partial}{\partial r_i} \vec{j}_i(r, t) = -\frac{2}{\hbar} \int \frac{d\vec{p}}{(2\pi)^3} \vec{\sigma}_p \times \left[ -\frac{\gamma}{2} \mathcal{H} + \int \frac{dp}{(2\pi)^3} f^a(p, p') \vec{\sigma}'_p \right]$$

$$\boxed{\frac{\partial}{\partial t} \vec{\sigma}(r, t) + \frac{\partial}{\partial r_i} \vec{j}_i(r, t) = \gamma \vec{\sigma}(r, t) \times \mathcal{H}(r, t)} \quad (\text{interaction part cancels})$$

where  $\vec{\sigma}(r, t) = 2 \int \frac{d^3 p}{(2\pi)^3} \vec{\sigma}(r, p, t)$

$$\vec{j}_i(r, t) = 2 \int \frac{d^3 p}{(2\pi)^3} \left[ \frac{\partial \vec{\sigma}_p}{\partial p_i} \vec{\sigma}(r, p, t) + \frac{\partial \vec{h}_p}{\partial P_i} n_p(r, p, t) \right]$$

$$\vec{j}_i(r, t) = 2 \int \frac{d^3 p}{(2\pi)^3} \left[ \frac{\partial \epsilon_p^\circ}{\partial p_i} \vec{\sigma}(r, p, t) - \frac{\partial n_p^\circ}{\partial p} (r, p, t) \vec{h}_p \right] \quad (\text{linearize}).$$

$$= 2 \int \frac{d^3 p}{(2\pi)^3} v_{p_i} \left( \vec{\sigma}_p - \frac{\partial n_p^\circ}{\partial \epsilon_p} \vec{h}_p \right)$$

$v_{p_i}$  is odd function, after integration, this term does to zero

$$= 2 \int \frac{d^3 p}{(2\pi)^3} v_{p_i} \left( \vec{\sigma}_p - \frac{\partial n_p^\circ}{\partial \epsilon_p} \left( -\frac{\pi}{2} \frac{\hbar}{2} q_H + 2 \int \frac{d^3 p'}{(2\pi)^3} f^q(p, p') \vec{\sigma}_{p'} \right) \right)$$

$$= 2 \int \frac{d^3 p}{(2\pi)^3} v_{p_i} \vec{\sigma}_p - 4 \int \frac{d^3 p}{(2\pi)^3} v_{p_i} \frac{\partial n_p^\circ}{\partial \epsilon_p} \int \frac{d^3 p'}{(2\pi)^3} f^q(p, p') \vec{\sigma}_{p'}$$

$$2 \int \frac{d^3 p}{(2\pi)^3} v_{p_i} \frac{\partial n_p^\circ}{\partial \epsilon_p} f^q(p, p') = -N(0) \int \frac{d\Omega}{4\pi} \sum_\ell f_\ell^q P_\ell(\cos\theta) v_F \cdot \cos\theta \quad (\text{set } p' \text{ along } z \text{ axis})$$

$$= -\frac{F_1^q}{3} v_F$$

$$\Rightarrow \vec{j}_i(r, t) = 2 \int \frac{d^3 p}{(2\pi)^3} v_{p_i} \vec{\sigma}_p + 2 \int \frac{d^3 p'}{(2\pi)^3} \frac{F_1^q}{3} v_{p'_i} \vec{\sigma}_{p'}$$

$$\boxed{\vec{j}_i(r, t) = 2 \int \frac{d^3 p}{(2\pi)^3} v_{p_i} \vec{\sigma}_p \left( 1 + \frac{F_1^q}{3} \right)}$$