

# Lecture 14 The least action principle

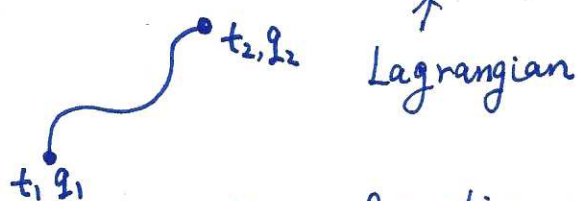
①

The classical mechanics can be reformulated from a variational principle in analogy to the Fermat principle for geometric optics. Let the particle

at  $t_1$  and  $t_2$  takes positions  $q_1$  and  $q_2$ , then the particle moves in a way that the integral

$$S = \int_{t_1}^{t_2} L(q, \dot{q}, t) dt$$

takes the extremum. The  $S$  is called the action, and  $L$  only depends on coordinate and velocity.



From the method of variation, the equation of motion can be solved

as

$$\frac{\partial L}{\partial q} = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}} \quad \leftarrow \text{Lagrangian equations}$$

It can also be generalized to multiple coordinate systems with a set of coordinates and velocities (generalized coordinates and velocities).

$$L(q, \dot{q}, t) \longrightarrow L(q_i, \dot{q}_i, t) \quad i=1, 2, \dots, N$$

$$\frac{\partial L}{\partial q_i} = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_i}$$

Now we need to determine the form of  $L$ .

§ Determine Lagrangian — give the correct equations of motion

① Multiplying an <sup>arbitrary</sup> constant to  $L$  does not change the equations of motion

Nevertheless, Lagrangian is additive — If two systems A and B are well separated such that interactions between them can be neglected, then

$$L = L_A + L_B.$$

This property can reduce this arbitrariness to an overall multiplication which is equivalent to a choice of unit of measurement.

② Consider two Lagrangians  $L(q, \dot{q}, t)$  and  $L'(q, \dot{q}, t)$ . If their difference is a total derivative of a function  $f(q, t)$ , i.e.

$$L'(q, \dot{q}, t) = L(q, \dot{q}, t) + \frac{d}{dt} f(q, t),$$
 then they

are equivalent. — give the same equation of motion.  
<sub>rise to</sub>

$$S' = \int_{t_1}^{t_2} L'(q, \dot{q}, t) dt = \int_{t_1}^{t_2} L(q, \dot{q}, t) dt + \int_{t_1}^{t_2} \frac{df}{dt} dt$$

$$\Rightarrow S' = S + \underbrace{f(q_2, t_2) - f(q_1, t_1)}$$

↓ fixed by boundary conditions

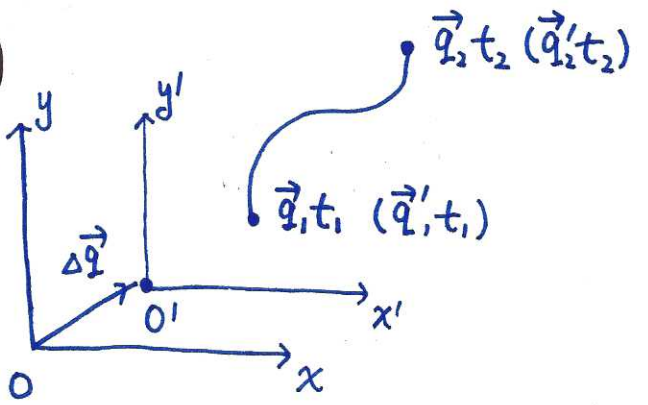
$$\Rightarrow \delta S' = \delta S$$

### § Lagrangian for a free particle - inertial frame

A frame of reference can always be chosen in which space is homogeneous and isotropic, and time is homogeneous. — inertial frame

#### ★ Frame translation (spatial)

The event  $(\vec{q}, t)$  in frame  $S$   
 $\rightarrow (\vec{q}', t') = (\vec{q} - \Delta\vec{q}, t)$  in frame  $S'$ .



The Lagrangians for the frame  $S$  and  $S'$ , can be related

$$L(\vec{q}, \dot{\vec{q}}, t) = L'(\vec{q}', \dot{\vec{q}}, t), \quad (\text{since } \Delta\vec{q} \text{ is time independent, } \dot{\vec{q}}' = \dot{\vec{q}})$$

then we obtain the equivalent equations of motion for the process in  $S$  and  $S'$ .

If space is homogeneous, then we cannot tell the difference of  $S$  and  $S'$ , i.e. a mechanical event can occur in  $S'$  frame as  $(\vec{q}', \dot{\vec{q}}, t)$ , then it can also occur in  $S$  frame as  $(\vec{q}, \dot{\vec{q}}, t)$ .

it can be represented as

$$L(\vec{q}, \dot{\vec{q}}, t) = L'(\vec{q}', \dot{\vec{q}}, t)$$

Since  $L'(\vec{q}', \dot{\vec{q}}, t) = L(\vec{q}, \dot{\vec{q}}, t)$ , we have

$$L(\vec{q}', \dot{\vec{q}}, t) = L(\vec{q} - \Delta\vec{q}, \dot{\vec{q}}, t) = L(\vec{q}, \dot{\vec{q}}, t).$$

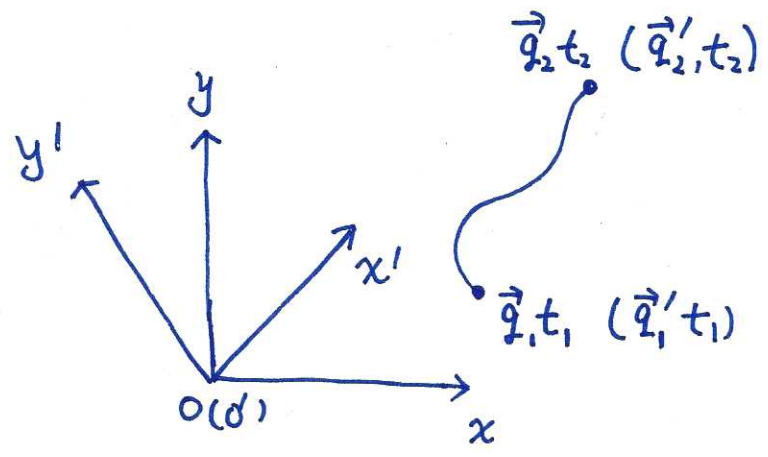
If  $\Delta\vec{q}$  can take an arbitrary value, then  $L$  does not depend on  $\vec{q}$ .

Similarly, time translation symmetry  $\Rightarrow L$  does not depend on  $t$ .

Then for a free particle, its Lagrangian can only explicitly depend on  $\dot{\vec{q}}$ .

\* Frame rotation

The event  $(\vec{q}, t)$  in frame  $S$



$\rightarrow (\vec{q}', t') = (R^{-1}\vec{q}, t)$  in frame  $S'$ , where  $R$  represents a rotation operation that rotates  $S \rightarrow S'$ . ( $R$  is typically represented by a  $3 \times 3$  orthogonal matrix).

Similarly, the velocity  $\dot{\vec{q}}$  in frame  $S$

corresponds to  $R^{-1}\dot{\vec{q}}$ . Under this frame transformation, Lagrangian

transforms as

$$L(\vec{q}, \dot{\vec{q}}, t) = L'(\vec{q}', \dot{\vec{q}}', t) = L'(R^{-1}\vec{q}, R^{-1}\dot{\vec{q}}, t)$$

If space is isotropic, we also need

$$\mathcal{L}(\vec{q}', \dot{\vec{q}}', t) = \mathcal{L}'(\vec{q}', \dot{\vec{q}}', t)$$

$$\Rightarrow \mathcal{L}(\vec{q}, \dot{\vec{q}}, t) = \mathcal{L}(\vec{q}', \dot{\vec{q}}', t) = \mathcal{L}(\vec{q}', \dot{\vec{q}}', t)$$

or 
$$\mathcal{L}(\vec{q}, \dot{\vec{q}}, t) = \mathcal{L}(R^T \vec{q}, R^T \dot{\vec{q}}, t)$$

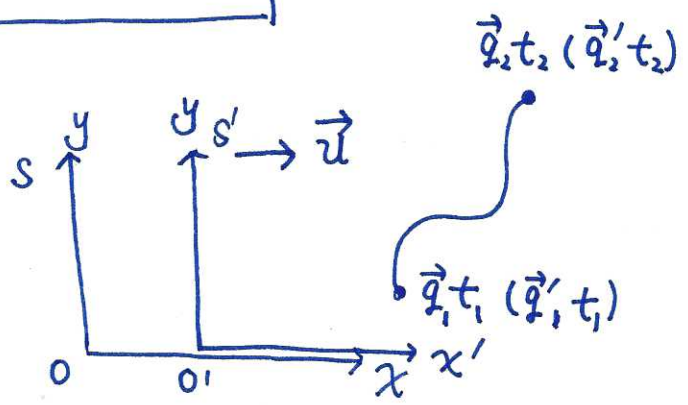
For free space  $\mathcal{L} = \mathcal{L}(\dot{\vec{q}})$ ,  $\Rightarrow \mathcal{L}(\dot{\vec{q}}) = \mathcal{L}(R^T \dot{\vec{q}})$

If 'R' can take arbitrary rotation, the  $\mathcal{L}(\dot{\vec{q}})$  does not depend the orientation of  $\dot{\vec{q}}$ .  $\Rightarrow \mathcal{L}(\dot{\vec{q}}) = \mathcal{L}(\dot{q}^2)$

★ Galilean boost

The event  $(\vec{q}, t)$  in frame S

correspond to  $(\vec{q}', t') = (\vec{q} - \vec{u}t, t)$ .



To yield the same equations of motion, actually we can release the condition between two different versions of Lagrangians as

$$\mathcal{L}'(\vec{q}', \dot{\vec{q}}', t) = \mathcal{L}(\vec{q}, \dot{\vec{q}}, t) + \frac{df(\vec{q}, t)}{dt}$$

(6)

This relation can ensure the equations of motion in  $S'$  frame in terms of  $\vec{q}'$  and  $\dot{\vec{q}}'$  is equivalent to that in frame  $S$  in terms of  $\vec{q}$  and  $\dot{\vec{q}}$ .

The Galilean boost invariance means that a mechanical event with velocity  $\dot{\vec{q}}'$  can occur in frame  $S'$ , it can also occur in frame

$S$ . We write down  $\mathcal{L}'(\dot{\vec{q}}'^2) = \mathcal{L}(\dot{\vec{q}}'^2)$

$$\Rightarrow \mathcal{L}'(\dot{\vec{q}}'^2) = \mathcal{L}(\dot{\vec{q}}^2) + \frac{df(\vec{q}, t)}{dt}$$

$$\mathcal{L}(\dot{\vec{q}}'^2) = \mathcal{L}(|\dot{\vec{q}} - \vec{u}|^2) = \mathcal{L}(\dot{\vec{q}}^2) + \frac{df(\vec{q}, t)}{dt}$$

Now set  $\vec{u}$  to be infinitesimal  $\vec{\epsilon}$ , the

$$|\dot{\vec{q}} - \vec{\epsilon}|^2 = \dot{\vec{q}}^2 + \epsilon^2 - 2\vec{\epsilon} \cdot \frac{d\vec{q}}{dt}$$

$$\Rightarrow \mathcal{L}(|\dot{\vec{q}} - \vec{\epsilon}|^2) - \mathcal{L}(\dot{\vec{q}}^2) = -\frac{\partial \mathcal{L}}{\partial(\dot{\vec{q}}^2)} \cdot 2\vec{\epsilon} \cdot \frac{d\vec{q}}{dt}$$

If the right-hand side is a total derivative of time, then

$\frac{\partial \mathcal{L}}{\partial(\dot{\vec{q}}^2)}$  has to be a constant of time, we define

$$\boxed{\frac{\partial \mathcal{L}}{\partial (\dot{q}^2)} = \frac{1}{2} m}$$

then we arrive at for a free particle, it's Lagrangian

⑦

$$\mathcal{L} = \frac{1}{2} m \dot{q}^2, \quad \text{and if we have a system of}$$

non-interacting particles, we have

$$\boxed{\mathcal{L} = \sum_{\alpha} \frac{1}{2} m_{\alpha} \dot{q}_{\alpha}^2}$$

§: Lagrangian of a single particle in an external field

We can add a spatial dependent part to the Lagrangian

$$\mathcal{L} = \frac{1}{2} m \dot{q}^2 - V_{\text{ex}}(\vec{q}, t),$$

then  $\delta S = 0 \Rightarrow m \ddot{\vec{q}} = \frac{\partial \mathcal{L}}{\partial \vec{q}} = -\nabla V_{\text{ex}}(\vec{q}, t)$

Connecting to Newton's 2nd law, we can identify  $V_{\text{ex}}(\vec{q}, t)$  as the external potential.

We can also generalize it to a system of interacting particle.

For simplicity, we neglect  $V_{\text{ex}}$ . Then

$$L = \sum_{\alpha} \frac{1}{2} m_{\alpha} \dot{r}_{\alpha}^2 - \frac{1}{2} \sum_{\alpha \neq \beta} U(\vec{r}_{\alpha} - \vec{r}_{\beta}) .$$

§ Change variables — generalized coordinates

$$L(q_i, \dot{q}_i, t) \xrightarrow{Q_i = Q_i(q_1, \dots, q_n, t)} \tilde{L}[Q_i, \dot{Q}_i, t] = L[q_i(Q_j), \dot{q}_i(Q_j, \dot{Q}_j), t]$$

From the least action principle for  $\tilde{L}[Q_i, \dot{Q}_i, t]$ , we should have

$$\boxed{\frac{\partial \tilde{L}}{\partial Q_i} = \frac{d}{dt} \left( \frac{\partial \tilde{L}}{\partial \dot{Q}_i} \right)} \quad (2)$$

Nevertheless, applying the least action principle for  $L(q_i, \dot{q}_i, t)$ ,

we have

$$\boxed{\frac{\partial L}{\partial q_i} = \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right)} \quad (1)$$

The question is that whether these two equations are compatible with sets of each other.

Let us start from (1) and derive (2).

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) = \frac{d}{dt} \left[ \sum_{\alpha} \frac{\partial L}{\partial \dot{q}_{\alpha}} \frac{\partial \dot{q}_{\alpha}}{\partial \dot{q}_i} \right]$$

$$\text{from } q_{\alpha} = q_{\alpha}(Q_1, \dots, Q_n, t) \Rightarrow \dot{q}_{\alpha} = \sum_{\alpha} \frac{\partial q_{\alpha}}{\partial Q_i} \dot{Q}_i + \frac{\partial q_{\alpha}}{\partial t}$$



$$\Rightarrow \frac{\partial \dot{q}_\alpha}{\partial \dot{Q}_i} = \frac{\partial q_\alpha}{\partial Q_i}$$

$$\Rightarrow \frac{d}{dt} \left( \frac{\partial \tilde{L}}{\partial \dot{Q}_i} \right) = \frac{d}{dt} \left( \sum_\alpha \frac{\partial \tilde{L}}{\partial \dot{q}_\alpha} \frac{\partial q_\alpha}{\partial Q_i} \right) = \frac{d}{dt} \left( \sum_\alpha \frac{\partial L}{\partial \dot{q}_\alpha} \frac{\partial q_\alpha}{\partial Q_i} \right)$$

$$= \sum_\alpha \left[ \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_\alpha} \right) \frac{\partial q_\alpha}{\partial Q_i} + \frac{\partial L}{\partial \dot{q}_\alpha} \frac{d}{dt} \left( \frac{\partial q_\alpha}{\partial Q_i} \right) \right]$$

$$= \sum_\alpha \left[ \frac{\partial L}{\partial q_\alpha} \frac{\partial q_\alpha}{\partial Q_i} + \frac{\partial L}{\partial \dot{q}_\alpha} \left( \sum_j \frac{\partial^2 q_\alpha}{\partial Q_i \partial Q_j} \dot{Q}_j + \frac{\partial^2 q_\alpha}{\partial Q_i \partial t} \right) \right]$$

$$\left[ \frac{\partial}{\partial Q_i} \left( \sum_j \frac{\partial q_\alpha}{\partial Q_j} \dot{Q}_j + \frac{\partial q_\alpha}{\partial t} \right) = \frac{\partial}{\partial Q_i} \frac{d}{dt} q_\alpha \right]$$

$$= \sum_\alpha \left( \frac{\partial L}{\partial q_\alpha} \frac{\partial q_\alpha}{\partial Q_i} + \frac{\partial L}{\partial \dot{q}_\alpha} \frac{\partial \dot{q}_\alpha}{\partial Q_i} \right)$$

$$= \frac{\partial \tilde{L}}{\partial Q_i} = \frac{\partial \tilde{L}}{\partial Q_i} \Rightarrow \frac{d}{dt} \frac{\partial \tilde{L}}{\partial \dot{Q}_i} = \frac{\partial \tilde{L}}{\partial Q_i}$$

Thus the least action principle can be applied for different coordinates. The equations of motion are equivalent to each other.

Example: 2D motion in polar coordinates.

$$L = T - U : \quad T = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\phi}^2)$$

$$U = U(r, \phi)$$

$$\textcircled{1} \quad \frac{\partial L}{\partial r} = \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{r}} \right) \Rightarrow \begin{cases} m r \dot{\phi}^2 - \frac{\partial U}{\partial r} = \frac{d}{dt} (m \dot{r}) = m \ddot{r} \\ F_r = -\frac{\partial U}{\partial r} \end{cases}$$

$$\Rightarrow \boxed{F_r = m(\ddot{r} - r\dot{\phi}^2)}$$

$$\textcircled{2} \quad \frac{\partial L}{\partial \phi} = \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\phi}} \right) \Rightarrow -\frac{\partial U}{\partial \phi} = \frac{d}{dt} (m r^2 \dot{\phi}) = \frac{dL_z}{dt}$$

$$\nabla U = \frac{\partial U}{\partial r} \hat{r} + \frac{1}{r} \frac{\partial U}{\partial \phi} \hat{\phi} \quad (\text{c.f. Prob 7.5})$$

$$\vec{F} = -\nabla U \Rightarrow F_r = -\frac{\partial U}{\partial r}, \quad F_\phi = -\frac{1}{r} \frac{\partial U}{\partial \phi} \Rightarrow -\frac{\partial U}{\partial \phi} = r F_\phi = \tau$$

$$\Rightarrow \boxed{\tau = \frac{dL_z}{dt}}$$