

# Lect 17 Discrete symmetry: time-reversal and parity <sup>①</sup>

(TR)

## §1 Wigner theorem:

Generally speaking, for a transformation  $R$  (not necessarily linear), if it does not change the magnitude of the inner product between two arbitrary state vectors  $|\psi\rangle$  and  $|\phi\rangle$ , i.e.,  $|\langle\psi|\phi\rangle| = |\langle R\psi|R\phi\rangle|$ , then  $R$  is either a unitary transformation, or, an anti-unitary transformation. (We will omit the proof). For continuous transformation  $R$  is unitary (why?).

Anti-unitary transformation  $R$  means that: for a super-position between  $|\phi_1\rangle, |\phi_2\rangle$ ,

$$R(c_1|\phi_1\rangle + c_2|\phi_2\rangle) = c_1^* R|\phi_1\rangle + c_2^* R|\phi_2\rangle, \quad \text{or } Rc = c^* R.$$

usually anti-unitary transformation can be expressed as  $R = UK$ , where  $U$  is an usual unitary transformation, and  $K$  is anti-unitary satisfying  $KK = 1$ . In the coordinate representation, we choose  $K$  as complex conjugation.

$$\langle \vec{r} | K |\psi\rangle = \langle \vec{r} | \psi \rangle^*$$

Ex: please check that  $R^{-1} = KU^\dagger = KU^{-1}$ , and we can evaluate

$$\begin{aligned} \langle R\psi | R\phi \rangle &= \langle UK\psi | UK\phi \rangle = \langle K\psi | K\phi \rangle = \int dr \langle K\psi | r \rangle \langle r | K\phi \rangle \\ &= \int dr \langle r | K\psi \rangle^* \langle r | K\phi \rangle = \int dr \langle r | \psi \rangle \langle r | \phi \rangle^* = \int dr \langle \phi | r \rangle \langle r | \psi \rangle \\ &\Rightarrow \langle R\psi | R\phi \rangle = \langle \phi | \psi \rangle \end{aligned}$$

Ex: prove that  $\langle R^{-1}\psi | R^{-1}\phi \rangle = \langle \phi | \psi \rangle = \langle \psi | \phi \rangle^*$ .

For any states  $|\psi\rangle$  and  $|\psi'\rangle$ , and operator  $O$

$$\langle R\psi | O | R\psi' \rangle = \langle R\psi | \underbrace{R R^{-1} O R}_{O} | R\psi' \rangle = \langle \psi | R^{-1} O R | \psi' \rangle^*$$

If  $|\psi\rangle = |\psi'\rangle$ , and  $O$  is an Hermitian operator  $\Rightarrow \langle R\psi | O | R\psi \rangle \geq 0$

$$\Rightarrow \langle R\psi | O | R\psi \rangle = \langle \psi | R^{-1} O R | \psi \rangle.$$

### §2. TR transformation

Consider a state vector  $|\psi\rangle$ , and its TR counterpart  $|\psi^T\rangle = T|\psi\rangle$ , or, equivalently  $|\psi\rangle = T^{-1}|\psi^T\rangle$ , We assume  $T$  and  $T^{-1}$  satisfy Wigner theorem. Now we need to determine  $T$  is unitary or anti-unitary

We need correspondence principle.

In order to agree with classic mechanics, we need maintain

$$\begin{cases} \langle \psi^T | \vec{r} | \psi^T \rangle = \langle \psi | \vec{r} | \psi \rangle, & \langle \psi^T | \vec{p} | \psi^T \rangle = - \langle \psi | \vec{p} | \psi \rangle \\ \langle \psi^T | \vec{L} | \psi^T \rangle = - \langle \psi | \vec{L} | \psi \rangle \end{cases}$$

then

$$\langle \psi^T | \vec{r} | \psi^T \rangle = \langle T\psi | \vec{r} | T\psi \rangle = \langle \psi | T^{-1} \vec{r} T | \psi \rangle$$

$T^{-1} \vec{r} T$  is a lineary operator since the product of two anti-linear operators is a linear operator. The above relation is valid for any state vector  $|\psi\rangle$ . It's easy to show for two arbitrary state vectors

$$\langle \psi_1 | T^{-1} \vec{r} T | \psi_2 \rangle = \langle \psi_1 | \vec{r} | \psi_2 \rangle, \quad \text{such that } T^{-1} \vec{r} T = \vec{r}$$

Proof: take  $|\psi\rangle = |\psi_1\rangle + |\psi_2\rangle$ ,

$$\left( \langle \psi_1 | + \langle \psi_2 | \right) (T^\dagger r T) \left( |\psi_2\rangle + |\psi_1\rangle \right) = \left( \langle \psi_1 | + \langle \psi_2 | \right) r \left( |\psi_2\rangle + |\psi_1\rangle \right) \quad (3)$$

$$\Rightarrow \langle \psi_1 | T^\dagger \vec{r} T |\psi_2\rangle + \langle \psi_2 | T^\dagger \vec{r} T |\psi_1\rangle = \langle \psi_1 | \vec{r} |\psi_2\rangle + \langle \psi_2 | \vec{r} |\psi_1\rangle$$

if we take  $|\psi\rangle = |\psi_1\rangle + i|\psi_2\rangle \Rightarrow$

$$\langle \psi_1 | T^\dagger \vec{r} T |\psi_2\rangle - \langle \psi_2 | T^\dagger \vec{r} T |\psi_1\rangle = \langle \psi_1 | \vec{r} |\psi_2\rangle - \langle \psi_2 | \vec{r} |\psi_1\rangle$$

$$\Rightarrow \boxed{\langle \psi_1 | T^\dagger \vec{r} T |\psi_2\rangle = \langle \psi_1 | \vec{r} |\psi_2\rangle}$$

Similarly, we should have

In order to be consistent with these relation,  $T$  has to be anti-unitary.

$$\begin{cases} T^\dagger \vec{r} T = \vec{r} \\ T^\dagger \vec{p} T = -\vec{p} \\ T^\dagger \vec{L} T = -\vec{L} \\ \text{also } T^\dagger \vec{S} T = -\vec{S} \end{cases} \leftarrow \text{at operator level}$$

Check the commutation relation  $[X, p] = i\hbar$ , how does it change under  $T$ ?

$$T[X, p]T^{-1} = T i\hbar T^{-1}$$

$$(T X T^{-1})(T p T^{-1}) - (T p T^{-1})(T X T^{-1}) = -(xp - px) = -i\hbar$$

$$\Rightarrow \boxed{T i T^{-1} = -i}$$

Ex: From  $[L_i, L_j] = i \epsilon_{ijk} L_k$ , derive that  $T i T^{-1} = -i$ .

§3.  $T^2 = ?$

Naively, we would expect that after TR transformation twice, the system comes back to itself, thus  $T^2 = 1$ . But we will see two possibilities.

First,  $T^2$  is a constant.

(4)

Proof: we have  $T \vec{r} T^{-1} = \vec{r} \Rightarrow T^2 \vec{r} T^{-2} = \vec{r} \Rightarrow T^2 \vec{r} = \vec{r} T^2$

$$T \vec{p} T^{-1} = -\vec{p} \Rightarrow T^2 \vec{p} T^{-2} = \vec{p} \Rightarrow T^2 \vec{p} = \vec{p} T^2$$

and similarly  $T^2 \vec{L} = \vec{L} T^2$ ,  $T^2 \vec{S} = \vec{S} T^2$ ,  $T^2 i = i T^2$ .

For any operator  $F(r, p, S, i)$ , we have  $T^2 F(r, p, S, i) = F(r, p, S, i) T^2$

$\Rightarrow$   $T^2$  is a constant.

Then what's its value? Answer:  $T^4 = 1$ , and thus  $T^2 = \pm 1$ .

Proof:  $T^4 = T (T^2) T = (T^2)^* T^2 = T^2 (T^2)^*$

For any two state vectors  $|\psi\rangle$  and  $|\phi\rangle$ , remember  $T$  is anti-unitary, and

$T^2$  is a complex constant  $\Rightarrow$

$$\langle T\psi | T\phi \rangle = \langle \psi | \phi \rangle^*, \quad \langle T^2\psi | T^2\phi \rangle = \langle T\psi | T\phi \rangle^* = \langle \psi | \phi \rangle$$

$$\langle T^2\psi | T^2\phi \rangle = (T^2)^* T^2 \langle \psi | \phi \rangle = \langle \psi | \phi \rangle \Rightarrow (T^2)^* T^2 = T^4 = 1$$

§4 The case of  $T^2 = 1$ .

For single component system, we can simply define  $\psi^T(r) = \psi^*(r)$ ,

or  $\langle r | T | \psi \rangle = \langle r | \psi \rangle^*$ . Please check that it satisfies:

$$\int d^3r (\psi^T(r))^* \begin{pmatrix} \vec{r} \\ \vec{p} \\ \vec{L} \end{pmatrix} \psi^T(r) = \int d^3r \psi^*(r) \begin{pmatrix} \vec{r} \\ -\vec{p} \\ -\vec{L} \end{pmatrix} \psi(r).$$

Is there an example of  $H$ , that violates TR symmetry? ⑤

Ex: check:  $H = \frac{(p - \frac{e}{c}A)^2}{2m}$ , what's  $H^T = T H T^{-1} = ?$

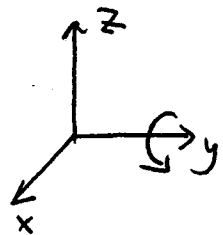
§5 The case of  $T^2 = -1$ , and Kramer degeneracy.

Let's consider a system with spin, The rotation matrix

$$D(g) = e^{-i \vec{J} \cdot \hat{n} \theta}$$

Let's consider the rotation operation and TR

$$\begin{cases} D^\dagger(g(\hat{y}, \pi)) J_z D(g(\hat{y}, \pi)) = -J_z \\ T^{-1} J_z T = -J_z \end{cases}$$



$$T^{-1} D^\dagger(g(\hat{y}, \pi)) J_z D(g(\hat{y}, \pi)) T = J_z$$

$$\text{or } \boxed{J_z [D(g(\hat{y}, \pi)) T] = [D(g(\hat{y}, \pi)) T] J_z}$$

Consider a  $J_z$  eigenstate,  $|jm\rangle$ , then  $D(g(\hat{y}, \pi)) T |jm\rangle$  must be the same as  $|m\rangle$  up to a complex constant, because

$$J_z (D(g(\hat{y}, \pi)) T |jm\rangle) = D(g(\hat{y}, \pi)) T J_z |jm\rangle = m (D(g(\hat{y}, \pi)) T |jm\rangle)$$

$$\Rightarrow D(g(\hat{y}, \pi)) T |jm\rangle = c |jm\rangle.$$

$$\text{Then } (D(g(\hat{y}, \pi)) T)^2 |jm\rangle = (D(g(\hat{y}, \pi)) T) c |jm\rangle = c^* c |jm\rangle.$$

$$\langle D(g(\hat{y}, \pi)) T |jm\rangle | D(g(\hat{y}, \pi)) T |jm\rangle = \langle T |jm\rangle | T |jm\rangle = \langle jm | jm\rangle \Rightarrow c^* c = 1$$

Thus  $(D(g(y, \pi)) T)^2 = 1$  or  $T D(g(y, \pi)) T D(g(y, \pi)) = 1$

For  $T e^{-iJ \cdot \hat{n} \theta} T^{-1} = e^{-(-i)(-\vec{J}) \cdot \hat{n} \theta} = e^{-iJ \cdot \hat{n} \theta}$

$\Rightarrow T D(g) = D(g) T$

$\Rightarrow T^2 D^2(g(y, \pi)) = 1$  or  $T^2 D(g(y, 2\pi)) = 1$

But rotation around y-axis at  $2\pi$ -angle, should it just an identity transformation? Not quite

$$D(g(y, 2\pi)) = \begin{cases} I & j \text{ integer} \\ -I & j \text{ half-integer} \end{cases}$$

Proof:

$$D(g(y, 2\pi)) = D(g(x, \frac{\pi}{2})) D(g(z, 2\pi)) D(g^{-1}(x, \frac{\pi}{2}))$$

$g(x, \frac{\pi}{2})$  rotation rotates y-axis into z-axis

$$D(g(z, 2\pi)) = e^{-iJ_z 2\pi} = \begin{cases} I & \text{if } J_z \text{ integer} \\ -I & \text{if } J_z \text{ half integer} \end{cases}$$

$$\Rightarrow D(g(y, 2\pi)) = D(g(z, 2\pi)) = \begin{cases} I & j \text{ integer} \\ -I & j \text{ half integer} \end{cases}$$

$$\Rightarrow T^2 = \begin{cases} 1 & \text{for } j \text{ integer} \\ -1 & \text{for } j \text{ half integer} \end{cases} \begin{array}{l} \rightarrow \text{orthogonal} \\ \text{class,} \\ \rightarrow \text{symplectic} \\ \text{class.} \end{array}$$

① For spin  $-1/2$  case, a convenient choice is  $T = -i\sigma_y K = \begin{pmatrix} & -1 \\ 1 & \end{pmatrix} K$

$$\begin{cases} T|\uparrow\rangle = |\downarrow\rangle \\ T|\downarrow\rangle = -|\uparrow\rangle \end{cases}$$
 and  $T(c_1|\uparrow\rangle + c_2|\downarrow\rangle) = c_1^*|\downarrow\rangle - c_2^*|\uparrow\rangle$ .  
*K is the complex conjugate on complex coefficients*

or  $T \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} -c_2^* \\ c_1^* \end{pmatrix}$ ,  $T^2 \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = - \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$ .

② For a general case, we can define  $T = RK$ .

① if  $j$  half integer,  $2j+1$  is even. we may choose  $R = \begin{pmatrix} & -1 \\ -1 & \end{pmatrix}$ ,  
 and  $R^2 = -1$ , and  $T^2 = -1$ .

② if  $j$  is integer,  $2j+1$  is odd. we choose  $R = \begin{pmatrix} & & \dots & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \end{pmatrix}_{m=0}$ , such that  
 $R^2 = T^2 = 1$ .

in this case,  $T|lm\rangle = (-1)^m |l-m\rangle$ , and

$$\langle \hat{n} | T | lm \rangle = Y_{lm}^*(\theta, \varphi) = (-1)^m \langle \hat{n} | l-m \rangle = (-1)^m Y_{l-m}(\theta, \varphi).$$

Consistent with  $Y_{lm}^*(\theta, \varphi) = (-1)^m Y_{l-m}(\theta, \varphi)$

§ Kramer degeneracy.

if  $T^2 = -1$ , then for any eigen state  $|\psi\rangle$ , with  $H|\psi\rangle = E|\psi\rangle$ ,

then  $H(T|\psi\rangle) = T H|\psi\rangle = E(T|\psi\rangle)$ , thus  $T|\psi\rangle$  is also an eigenstate with the same energy.

On the other hand

$$\langle \psi | T \psi \rangle = \langle T \psi | T^2 \psi \rangle^* = - \langle T \psi | \psi \rangle^* = - \langle \psi | T \psi \rangle$$

$\Rightarrow \langle \psi | T \psi \rangle = 0$ . thus  $T|\psi\rangle$  is in another state, and there's at least 2-fold degeneracy.

Ex: if  $T^2 = 1$ , is there always an energy level degeneracy?

{ Parity transformation

Consider a state vector  $|\psi\rangle$ , after parity transformation  $P$ , we have

$$|\psi^P\rangle = P|\psi\rangle, \text{ or } |\psi\rangle = P^{-1}|\psi^P\rangle. \text{ Again we assume } P \text{ satisfies}$$

Wigner theorem. Again we use correspondence principle, and arrive at

$$\langle \psi^P | \vec{r} | \psi^P \rangle = - \langle \psi | \vec{r} | \psi \rangle, \quad \langle \psi^P | \vec{p} | \psi^P \rangle = - \langle \psi | \vec{p} | \psi \rangle$$

$$\langle \psi^P | \vec{L} | \psi^P \rangle = \langle \psi | \vec{L} | \psi \rangle, \text{ and also } \langle \psi^P | \vec{S} | \psi^P \rangle = - \langle \psi | \vec{S} | \psi \rangle$$

$$\Rightarrow \boxed{P^{-1} \vec{r} P = -\vec{r}, \quad P^{-1} \vec{p} P = -\vec{p}, \quad \text{and } P^{-1} \vec{L} P = \vec{L}, \quad P^{-1} \vec{S} P = -\vec{S}}$$

$$\text{check } [x, p] = i\hbar \Rightarrow P^{-1} [x, p] P = [-x, -p] = i\hbar = P^{-1} (i\hbar) P$$

$$\Rightarrow P i = i P \Rightarrow \boxed{P \text{ is an unitary transformation.}}$$

Similarly, we can also prove that  $P^2$  is a constant, and  $P^2 (P^2)^* = 1$ .

Ex: without loss of generality, we choose

$$\Rightarrow P^2 = e^{i\delta} \text{ up to phase factor. } P^2 = 1.$$



For single component system, we simply set  $\psi^P(\vec{r}) = \psi(-\vec{r})$ .

We can easily check this definition satisfy the above requirement!

For the time-dependent case, we can define

$$\psi^T(x, t) = \psi^*(x, -t)$$

$$\psi^P(x, t) = \psi(-x, t).$$

Ex: verify for momentum eigenstate  $\psi_p(x, t) = e^{-ipx - i\omega t}$ , what are

$\psi_p^T(x, t)$ , and  $\psi_p^P(x, t)$ ? How about angular momentum eigenstates

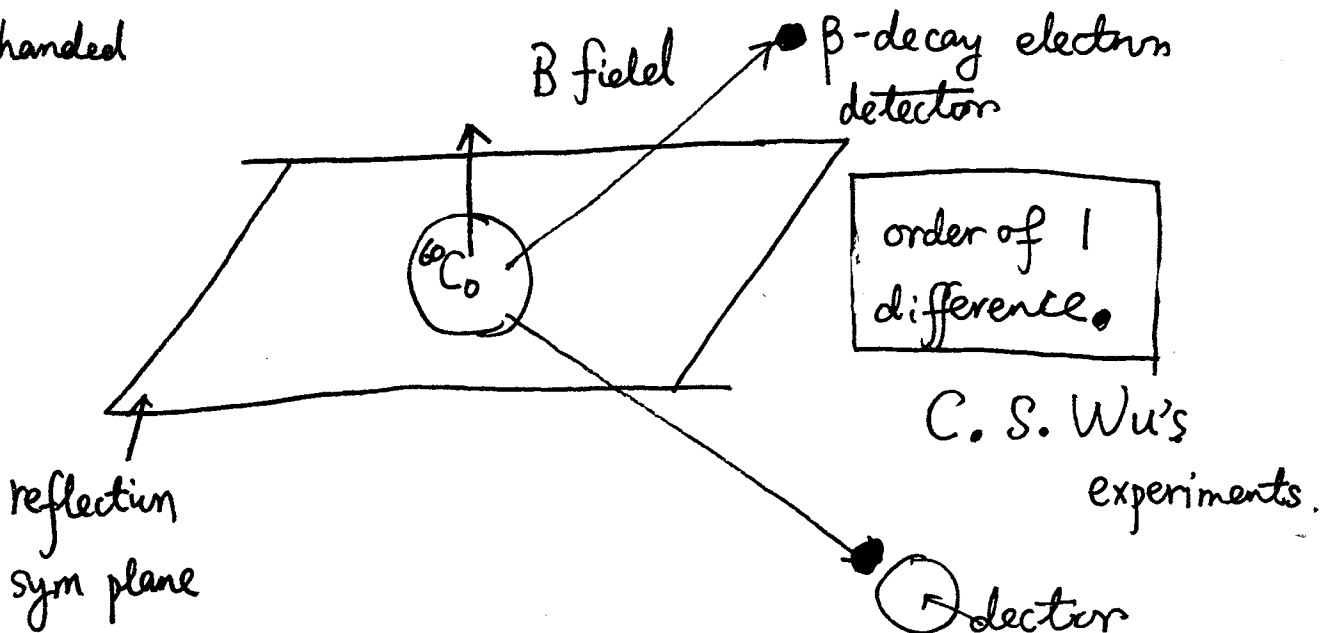
$\psi_m(x, t) = e^{im\phi - i\omega t}$ ?

§ Parity broken in weak-interactions. — C.N Yang and

T. D. Lee (Theory proposal)

$\begin{pmatrix} e_L \\ \nu_L \end{pmatrix}$ ,  $e_R$ , there's no  $\nu_R$ .

↑  
left handed



### § Parity eigenstates

If  $[H, IP] = 0$ , then we can find common eigenstates of  $H$ , and  $IP$ .

For example: ① 1D harmonic oscillator  $IP^{-1}HIP = H$ . It's energy  $\rightarrow$  Wavefun

$$\psi_n(-x) = (-1)^n \psi_n(x), \quad \begin{array}{l} \text{even for } n=0, 2, 4 \dots \\ \text{odd for } n=1, 3, 5 \dots \end{array}$$

② orbital angular momentum eigenstates  $Y_{lm}(\hat{n})$

$$Y_{lm}(-\hat{n}) = (-1)^l Y_{lm}(\hat{n})$$

③ Selection rule  $\Delta H = -e\vec{r} \cdot \vec{E}$

$$\langle nlm | \Delta H | n'l'm' \rangle \neq 0, \quad \text{only for } l' = l \pm 1.$$

### § The relation between degeneracy and symmetry.

For a Hamiltonian, all it's symmetry operations together form a group.

If a state  $|\psi\rangle$  is an eigenstate, then all the states  $R|\psi\rangle$

$$H(R|\psi\rangle) = R(H|\psi\rangle) = E|\psi\rangle$$

form a subspace. This subspace ~~is~~ support a representation for group.   
degenerate the symmetry

For example: ① for 3D rotation symmetry, all the states  $\psi_{nlm} = R_{nl} Y_{lm}(\theta, \varphi)$  with  $m = -l, \dots, l$ . form a  $2l+1$ -fold degeneracy.

② But for 1d harmonic oscillator, the parity symmetry does not bring degeneracy.

Whether degeneracy appears or not depends on the nature of the sym group. If the group is Abelian, every symmetry operation commutes with other, we do not expect degeneracy. It's because Abelian group usually only support 1d representation.

example: ① Parity group  $\{I, IP\}$ . No degeneracy in 1D harmonic oscillator

If we apply  $IP \psi_n(r) = \pm \psi_n(r)$ , no new states appear.

②  $H = -B \cdot S_z$ , uni-axial rotation symmetry.

$SO(2)$  group:  $\{e^{-iS_z\theta}\}$ . Again for its eigenstates  $|SS_z\rangle$

we have  $\hat{S}_z |SS_z\rangle = S_z |SS_z\rangle$ , no more states.

Energy level degeneracy are usually associated with non-Abelian sym group. Only non-Abelian group supports multi-dimensional representations.

Example: 2d rotator  $H = \frac{L_z^2}{2I}$ .

Each level except the ground state is 2-fold symmetric.  $\psi_{\pm m} = e^{\pm im\phi}$

The symmetry group is  $O(2)$  not  $SO(2)$ :  $\{e^{-iL_z\theta}\} \cup \{\pi_x e^{-iL_z\theta}\}$ ,

where  $\pi_x$  is the reflection with respect to x-axis. It's easy to check

$$\pi_x e^{-iL_z\theta} \pi_x = e^{iL_z\theta}, \text{ and thus } O(2) \text{ is non-abelian!}$$

For its eigenstates  $\psi_{\pm m}$ , we have

$$L_z \psi_{\pm m} = \pm m \psi_{\pm m}, \text{ and } \pi_x \psi_m = \psi_{-m} \text{ and } \pi_x \psi_{-m} = \psi_m.$$