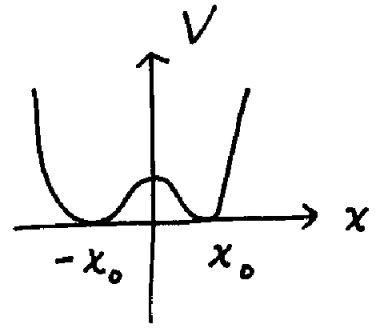


## Lect 3. More applications of path integral

Tunneling through a barrier

$$H = \frac{p^2}{2m} + V(x)$$



We use imaginary time path integral

$$\langle x_f | e^{-HT/\hbar} | x_i \rangle = N \int [Dx(t)] e^{-S/\hbar} = N \int [Dx(t)] \exp \int_{-T/2}^{T/2} \frac{d\tau}{\hbar} \left( \frac{m}{2} \left( \frac{dx}{d\tau} \right)^2 + V(x) \right)$$

Let us search for classic path with the following boundary condition

$$x\left(\frac{T}{2}\right) = x_f, \quad x\left(-\frac{T}{2}\right) = x_i$$

$$\Rightarrow \text{the classic path } \bar{x}(t) : \frac{\delta S}{\delta \bar{x}} = -m \frac{d^2 \bar{x}}{dt^2} + V'(\bar{x}) = 0$$

Let us do a small fluctuation  $x(t) = \bar{x}(t) + \delta \bar{x}(t)$ ,  $\delta \bar{x}(t) = 0$  at  $T/2, -T/2$ 

$$\begin{aligned} S &= \int \left( \frac{m}{2} \left( \frac{dx}{dt} \right)^2 + V(x) \right) dt = \int \frac{m}{2} \frac{d\bar{x}}{dt} + V(\bar{x}) dt + \int_{-T/2}^{T/2} \frac{m}{2} \left( \frac{d\delta \bar{x}}{dt} \right)^2 + \frac{V''(\bar{x}(t))}{2} (\delta \bar{x}(t))^2 \\ &= S(\bar{x}(t)) + \int_{-T/2}^{T/2} dt \frac{m}{2} \delta \bar{x}(t) \left( -\frac{d^2}{dt^2} + V''(\bar{x}(t)) \right) \delta \bar{x}(t) \end{aligned}$$

We can solve the spectrum

$$X_n\left(-\frac{T}{2}\right) = X_n\left(\frac{T}{2}\right) = 0$$

$$\left\{ -m \frac{d^2}{dt^2} + V''(\bar{x}(t)) \right\} X_n(t) = \lambda_n X_n(t)$$

$$\begin{aligned} \Rightarrow \langle x_f | e^{-H/\hbar \cdot T} | x_i \rangle &\approx N e^{-S(\bar{x}(t))/\hbar} \prod_n \lambda_n^{-1/2} \\ &= N e^{-S(\bar{x}(t))/\hbar} \left[ \det \left[ -\partial_t^2 + V''(\bar{x}(t)) \right] \right]^{-1/2} \end{aligned}$$

Lemma: how to calculate the determinant?

$(-m \partial_t^2 + W(t)) \psi = \lambda \psi$ , where  $\psi$  satisfies the boundary

$$(-T/2 \leq t \leq T/2)$$

$$\text{condition } \psi(-T/2) = 0$$

$$\partial_t \psi(-T/2) = 1.$$

we know if  $\lambda = \lambda_n$ , then we have  $\psi(T/2) = 0$ .

Let  $W_1(t)$  and  $W_2(t)$  be two functions of  $t$ , and  $\psi_{1,2,\lambda}(t)$  be

the solutions for the above boundary condition, we have corresponding

$$\det \begin{pmatrix} -m \partial_t^2 + W_1(t) - \lambda \\ -m \partial_t^2 + W_2(t) - \lambda \end{pmatrix} = \frac{\psi_{1,\lambda}(T/2)}{\psi_{2,\lambda}(T/2)}$$

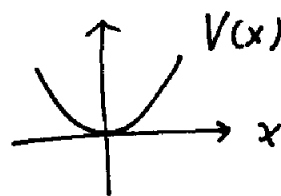
Proof: both sides are semi-analytical functions of  $\lambda$ ,

as  $\lambda \rightarrow \lambda_{1,2}$ ,  $\psi_{1,2,\lambda}(T/2) \rightarrow 0$  and  $(-m \partial_t^2 + W_{1,2}(t) - \lambda) \rightarrow 0$ .

Thus both sides have same pattern of zeros and poles. Again, as  $|\lambda| \rightarrow +\infty$

both sides  $\rightarrow 1$ , thus the LHS and RHS must equal.

Let us apply this lemma for the simplest case of harmonic ~~oscillator~~ oscillator.



and we calculate  $\langle 0 | e^{-H T/\hbar} | 0 \rangle = N \cdot \det[-m \partial_t^2 + m \omega^2]^{-1/2}$

$$\det \left[ \frac{\frac{m}{\hbar} (-\partial_z^2 + \omega^2)}{\frac{m}{\hbar} (-\partial_z^2)} \right] = \frac{\psi_{\omega, \lambda=0}(\frac{T}{2})}{\psi_{\omega=0, \lambda=0}(\frac{T}{2})}$$

$$\text{For } \omega=0, \lambda=0 \Rightarrow \psi_{\omega=0, \lambda=0}(t) = t + T/2$$

$$\omega=\omega, \lambda=0 \Rightarrow (\partial_z^2 - \omega^2) \psi(t) = 0 \Rightarrow \psi_{\omega, \lambda=0}(t) = \frac{\sinh(\omega(t + T/2))}{\omega}$$

$$\Rightarrow \det \left[ \frac{\frac{m}{\hbar} (-\partial_z^2 + \omega^2)}{\frac{m}{\hbar} (-\partial_z^2)} \right] = \frac{\sinh(\omega(t + T/2))}{\omega(t + T/2)} \Big|_{t=T/2} = \frac{\sinh \omega T}{\omega T}$$

We need evaluate  $\det \left[ -\frac{m}{\hbar} \partial_z^2 \right]$ , which is the determinat for free

$$\text{space. } \langle 0 | e^{-HT/\hbar} | 0 \rangle = N \det \left[ \frac{m}{\hbar} (-\frac{\partial}{\partial t^2}) \right] = \int_{-\infty}^{+\infty} \frac{dp}{2\pi\hbar} \langle 0 | p \rangle \langle p | 0 \rangle e^{-\frac{p^2 T}{2m\hbar}}$$

$$= \frac{1}{2\pi\hbar} \left( \frac{\pi}{\frac{T}{2m\hbar}} \right)^{1/2} = \left( \frac{m}{2\pi\hbar T} \right)^{1/2}$$

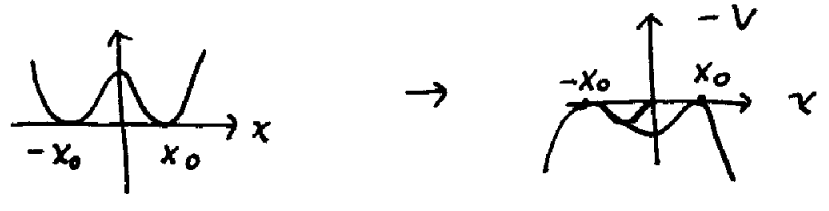
$$\Rightarrow \langle 0 | e^{-\left(\frac{p^2}{2m} + \frac{m}{2} \omega^2 x^2\right) T/\hbar} | 0 \rangle = \left( \frac{m}{2\pi\hbar T} \right)^{1/2} \left( \frac{\sinh \omega T}{\omega T} \right)^{-1/2} = \left( \frac{2\pi\hbar \sinh \omega T}{m\omega} \right)^{-1/2}$$

$$\xrightarrow{T \rightarrow \infty} \frac{m\omega}{\pi\hbar} e^{-\omega T/2}$$

$$\text{Compare with } \langle 0 | e^{-HT/\hbar} | 0 \rangle = \xrightarrow{T \rightarrow +\infty} \sum_{n=0}^{\infty} \langle 0 | n \rangle \langle n | 0 \rangle e^{-E_n T/\hbar} = \left[ \left( \frac{m\omega}{\pi\hbar} \right)^{1/2} \right]^2 e^{-\omega T/2}$$

which is correct!

now let us come back to the double well problem  $V(x) = \frac{mg}{4} (x^2 - x_0^2)^2$



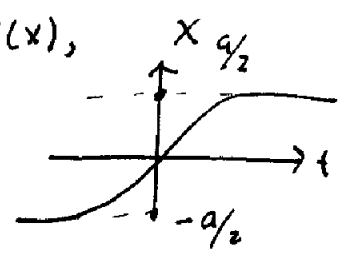
at  $x = \pm x_0$   
the local oscillation frequency  $\omega_0 \approx g x_0^2$

let us calculate

$$\langle a | e^{-HT/\hbar} | -a \rangle = N \int \mathcal{D}x(\tau) \exp \left[ - \int_{-T/2}^{T/2} dt \left( \frac{m}{2} \left( \frac{dx}{dt} \right)^2 + V(x) \right) \right]$$

The classical path  $\rightarrow$  motion in the potential of  $-V(x)$ ,

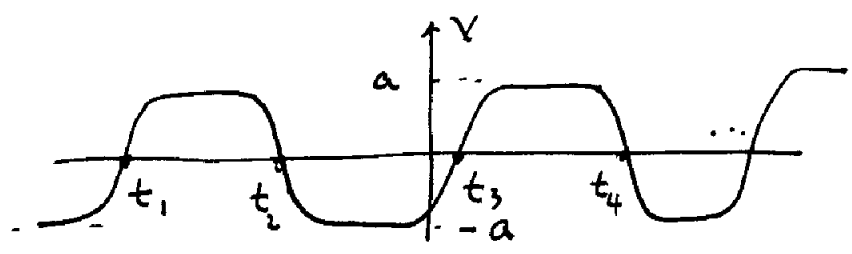
$$\frac{dx}{dt} = \sqrt{\frac{2V(x)}{m}} \Rightarrow \int dt = \int \frac{dx}{\sqrt{2V(x)/m}} \Rightarrow$$



$$t = -T/2 + \int_{-a}^x \left( \frac{2V(x')}{m} \right)^{-1/2} dx', \quad x(-T/2) = -a$$

$$\begin{aligned} S_0 &= \int_{-T/2}^{T/2} \frac{d\tau}{\hbar} \left( \frac{m}{2} \left( \frac{dx}{d\tau} \right)^2 + V(x) \right) = \int_{-T/2}^{T/2} \frac{d\tau}{\hbar} \frac{m}{2} \left( \frac{dx}{d\tau} \right)^2 = \frac{m}{\hbar} \int_{-a}^a dx \left( \frac{dx}{dt} \right) \\ &= \frac{m}{\hbar} \int_{-a}^a dx \sqrt{\frac{2mV(x)}{\hbar}} \end{aligned}$$

at large  $T \rightarrow +\infty$ , other classical paths include widely separated instanton/anti-instantons



The leading order contribution  $S = n S_0$

if  $t \neq t_1, \dots, t_n, \dots$ , particles are mainly around  $x = \pm \frac{x_0}{2}$ , where

$V''(x) = m\omega_0^2$ , which gives the contribution  $(\frac{m\omega_0}{\pi\hbar})^{1/2} e^{-\omega T/2}$  as before

Next, let us integrate out all the possible locations of centers

$$\int_{-T/2}^{T/2} dt_1 \int_{-T/2}^{T/2} dt_2 \dots \int_{-T/2}^{T/2} dt_n = \frac{T^n}{n!}$$

$$\rightarrow \langle a | e^{-H/\hbar \cdot T} | -a \rangle = (\frac{m\omega_0}{\pi\hbar})^{1/2} e^{-\omega T/2} \sum_{n \in \text{odd}} \frac{(K e^{-S_0/\hbar} T)^n}{n!}$$

similarly  $\langle -a | e^{-H/\hbar \cdot T} | a \rangle = (\frac{m\omega_0}{\pi\hbar})^{1/2} e^{-\omega T/2} \sum_{n \in \text{even}} \frac{(K e^{-S_0/\hbar} T)^n}{n!}$

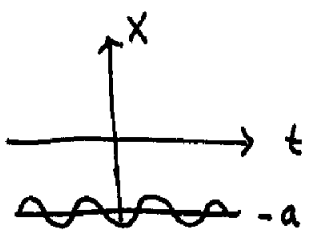
$$\Rightarrow \langle \pm a | e^{-H/\hbar \cdot T} | -a \rangle = (\frac{m\omega_0}{\pi\hbar})^{1/2} e^{-\omega T/2} \begin{cases} \cosh(K e^{-S_0/\hbar} T) \\ \sinh(K e^{-S_0/\hbar} T) \end{cases}$$

$\rightarrow E_{1,2} = \frac{\hbar\omega_0}{2} \mp \hbar K e^{-S_0/\hbar}$ , where  $K$  is a constant due to appearance of instanton/anti-instanton

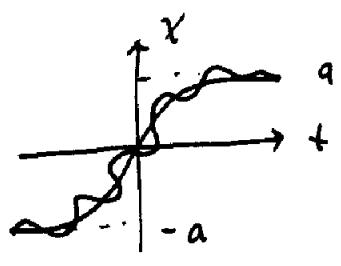
$$(\frac{m\omega}{\pi\hbar})^{1/2} \rightarrow (\frac{m\omega}{\pi\hbar})^{1/2} K^n$$

§ Evaluation of  $K$ ,

Let us compare the configuration of zero instanton / one instanton



and

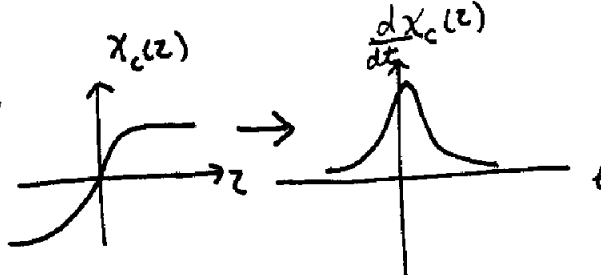


$$K e^{-S_0/\hbar} = \int_{x_c} D\delta x e^{-S/\hbar} / \int_{x=x_0} D\delta x e^{-S/\hbar} \quad (x_0 = -a)$$

$$\text{near } x = x_c(z) \Rightarrow S = S_0 + \int dz \delta x \left( -\frac{m}{2} \left( \frac{dx}{dz} \right)^2 + V''(x_c(z)) \right) \delta^2 x$$

$$x = x_0 \Rightarrow S = \int dz \delta x \left( -\frac{m}{2} \frac{d^2}{dz^2} + V''(x_0) \right) \delta^2 x$$

$$\Rightarrow K = \frac{\int_{x_c} D\delta x e^{-\int dz \delta x \left( -\frac{m}{2} \left( \frac{dx}{dz} \right)^2 + V''(x_c(z)) \right) \delta^2 x}}{\int_{x=x_0} D\delta x e^{-\int dz \delta x \left( -\frac{m}{2} \left( \frac{dx}{dz} \right)^2 + V''(x_0) \right) \delta^2 x}}$$

$$= \left( \frac{\det \left( -m \frac{d^2}{dz^2} + V''(x_c) \right)}{\det \left( -m \frac{d^2}{dz^2} + V''(x_0) \right)} \right)^{-1/2}$$


But  $-m \frac{d^2}{dz^2} + V''(x_c)$  has a zero energy mode:

because the saddle point equation:  $-m \frac{d^2 x_c(z)}{dz^2} + V'(x_c(z)) = 0$

$$\Rightarrow -m \frac{d^2}{dz^2} \left[ \frac{d}{dz} x_c(z) \right] + V''(x_c(z)) \left[ \frac{d}{dz} x_c(z) \right] = 0$$

This zero mode corresponds to the translational symmetry of the time

instanton solution. This contribution has already been included

$$K \cdot T = \frac{\int_{x_c} D\delta x e^{-S}}{\int_{x=x_0} D\delta x e^{-S}} \leftarrow \text{the zero frequency part contribute to } T.$$

In order to give a careful analysis, we discretize the integral as

$$\int_{x_c} D\delta x e^{-S} = A_N \int \prod_{i=1}^N d\delta x_i \exp\left[-\frac{\Delta z}{2} \sum_{i=1}^N \delta x_i \left(-m \frac{d^2}{dz^2} + V''(x_{c,i})\right) \delta x_i\right]$$

$$= A_N \cdot \left(\frac{\sqrt{\pi \Delta z}}{\Delta z}\right)^N / \left\{ \text{Det} \left[-m \frac{d^2}{dz^2} + V''(x_c)\right] \right\}^{1/2}$$

let us expand  $\delta x_i$ , in terms of eigenstates of  $-m \frac{d^2}{dz^2} + V''(x_c)$

$$\delta x(z_i) = c_1 \varphi_1(z_i) + \sum_{n=2}^N c_n \varphi_n(z_i), \text{ where } \varphi_j(z_i) \text{ is normalized}$$

$$\Delta z \sum_i \varphi_j(z_i) \varphi_k(z_i) = \delta_{jk} \Rightarrow$$

$$\int_{x_c} D\delta x e^{-S} = A_N \int \prod_{j=1}^N dC_j \sqrt{\Delta z} \cdot \exp\left[-\sum_{j=1}^N \frac{\lambda_j}{2} C_j^2\right]$$

$$= A_N \int dC_1 \sqrt{\Delta z} \int \prod_{j=2}^N dC_j \sqrt{\Delta z} \exp\left[-\sum_{j=2}^N \frac{\lambda_j}{2} C_j^2\right]$$

$$= A_N \int dC_1 \sqrt{\Delta z} \cdot \left(\frac{\sqrt{2\pi}}{\sqrt{\Delta z}}\right)^{N-1} \text{Det}' \left[-m \frac{d^2}{dz^2} + V''(x_c)\right]^{-1/2}$$

Zero mode excluded

$$\Rightarrow \int_{-T/2}^{T/2} dz K = \lim_{N \rightarrow +\infty} \frac{Z_N(x_c)}{Z_N(x_0)} = \frac{\int dC_1 \sqrt{\Delta z} \left(\frac{\sqrt{2\pi}}{\sqrt{\Delta z}}\right)^{N-1} \text{Det}' \left[-m \frac{d^2}{dz^2} + V''(x_c)\right]^{-1/2}}{\left(\frac{\sqrt{2\pi}}{\sqrt{\Delta z}}\right)^N \text{Det} \left[-m \frac{d^2}{dz^2} + V''(x_0)\right]^{-1/2}}$$

$$= \int \frac{dC_1}{\sqrt{2\pi}} \cdot \left\{ \frac{\text{Det}' \left[-m \frac{d^2}{dz^2} + V''(x_c)\right]}{\text{Det} \left[-m \frac{d^2}{dz^2} + V''(x_0)\right]} \right\}^{-1/2}$$

next, we need to figure out the relation between  $\int dz$  and  $\int dx$ ,

The normalized  $\varphi_1(z)$  should be:  $\varphi_1(z) = \frac{\dot{x}_c(z)}{\sqrt{S_0/m}}$ , because

$$S_0 = \int dz \left[ \frac{1}{2} m (\dot{x}_c)^2 + V(x_c) \right] = \Delta z \sum_{i=1}^N m \left( \frac{\Delta x_c}{\Delta z} \right)^2$$

$$\Rightarrow \Delta z \sum_{i=1}^N \left( \frac{\Delta x_c}{\Delta z} / \sqrt{\frac{S_0}{m}} \right)^2 = 1 \quad \Rightarrow \quad \varphi_1(z) = \dot{x}_c / \sqrt{\frac{S_0}{m}}$$

$$\Rightarrow \delta x_{(z)} = \left( C_1 / \sqrt{\frac{S_0}{m}} \right) \dot{x}_c(z) \quad \text{corresponds to a shift } \Delta z = \frac{C_1}{\sqrt{\frac{S_0}{m}}}$$

since  $\Rightarrow$  interval for  $C_1 \Rightarrow \sqrt{\frac{S_0}{m}} \left( -\frac{T}{2}, \frac{T}{2} \right)$

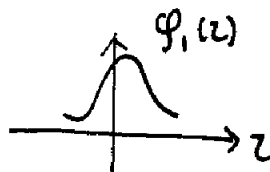
$$\Rightarrow K \cdot T = \frac{1}{\sqrt{2\pi}} \sqrt{\frac{S_0}{m}} \cdot T \lim_{N \rightarrow +\infty} \left\{ \frac{\text{Det}' \left[ -m \frac{d^2}{dz^2} + V''(x_0) \right]}{\text{Det} \left[ -m \frac{d^2}{dz^2} + V''(x_0) \right]} \right\}^{-1/2}$$

$$\Rightarrow K = \sqrt{\frac{S_0}{2\pi}} \lim_{N \rightarrow +\infty} \left\{ \frac{\text{Det}' \left[ -\frac{d^2}{dz^2} + \frac{1}{m} V''(x_0) \right]}{\text{Det} \left[ -\frac{d^2}{dz^2} + \frac{1}{m} V''(x_0) \right]} \right\}^{-1/2}$$

~~Det~~ operator  $\left[ -\frac{d^2}{dz^2} + \frac{1}{m} V''(x_0) \right]$ 's eigenvalues are all positive.

how about operator  $\left[ -\frac{d^2}{dz^2} + \frac{1}{m} V''(x_c) \right]$ ? as we know it contains one

zero mode, with  $\varphi_1(z)$



This one does not

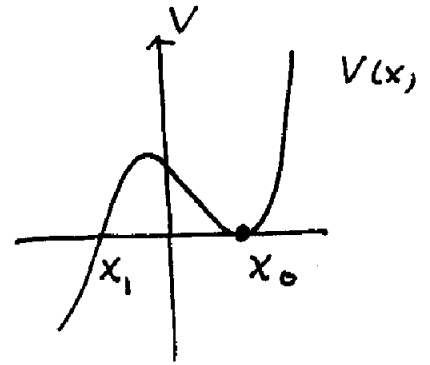
have nodes, which must be the eigenstate with the lowest energy. As a result,



all other eigenvalues are positive, and  $K$  is real.

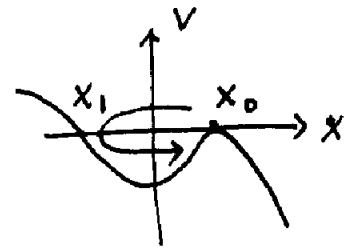
### § Fate of a meta-stable state

let us consider the potential  $V(x)$ , and put particle at  $x_0$ , we calculate  $\langle x_0 | e^{-HT} | x_0 \rangle$



$$\langle x_0 | e^{-HT} | x_0 \rangle = N \int D\chi(z) \exp \int_{-T/2}^{T/2} \frac{dz}{\hbar} \left[ \frac{m}{2} \left( \frac{dX}{dz} \right)^2 + V(x) \right].$$

The classical path or  $m \frac{d^2 X(z)}{dz^2} = V'(x) \rightarrow$



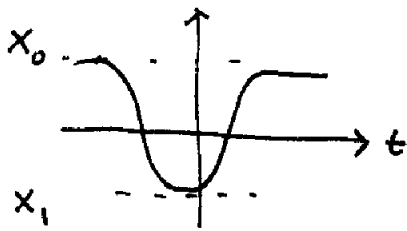
Again we have

$$\begin{aligned} \langle x_0 | e^{-HT} | x_0 \rangle &= e^{-T\omega_0/2} \sum_n \int_{-T/2}^{T/2} d\tau_1 \int_{\tau_1}^{T/2} d\tau_2 \cdots \int d\tau_n (K e^{-S_0})^n \\ &= e^{-i(T\omega_0/2)} (TK e^{-S_0}) e^{-T\omega_0/2}, \end{aligned}$$

How ever, in this case, the coefficient  $K$  is not real, but an imaginary number. If in the real time representation, we have

$$\langle x_0 | e^{-iHT} | x_0 \rangle \rightarrow e^{-iT\frac{\omega_0}{2}} - \underbrace{TK}_{\text{the decay probability}} e^{-S_0}$$

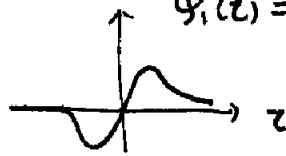
The different from the double well problem is that, we do not have an instanton solution, but a bounce solution.



particle can't be stable at  $x_1$ , and must come back!

The its time derivative, i.e. the zero mode of  $-\frac{d^2}{dz^2} + V''(x_c)$

behaves like  $\varphi_1(z) = \frac{d}{dz} X_c(z)$ , this one node.



Thus there must be another eigenstate with lower energy, (i.e. negative).

As a result,  $\left\{ \text{Det}' \left( -\frac{d^2}{dz^2} + \frac{1}{m} V''(x_c) \right) \right\}^{-1/2}$  becomes imaginary, which leads to decay process.