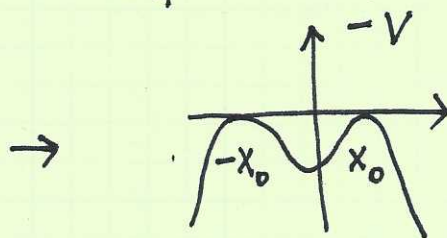
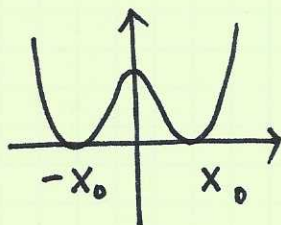


# Instantons: double well problem

Consider the potential  $V(x) = \frac{mg}{4} (x^2 - x_0^2)^2$

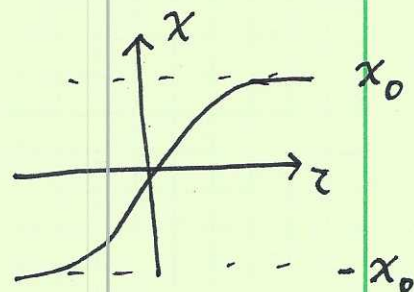


at  $x = \pm x_0$ , the local frequency  $\omega_0 \approx g x_0^2$

Now we calculate  $\langle x_0 | e^{-HT/\hbar} | -x_0 \rangle = A \int Dx(z) e^{-\int_{-T/2}^{T/2} dz \left[ \frac{m}{2} \left( \frac{dx}{dz} \right)^2 + V(x) \right]}$

① figure out the classic path  $\rightarrow$  motion in the potential of  $-V(x)$

$$\frac{dx}{dz} = \sqrt{\frac{2V(x)}{m}} \Rightarrow \int dz = \int \frac{dx}{\sqrt{2V(x)/m}}$$



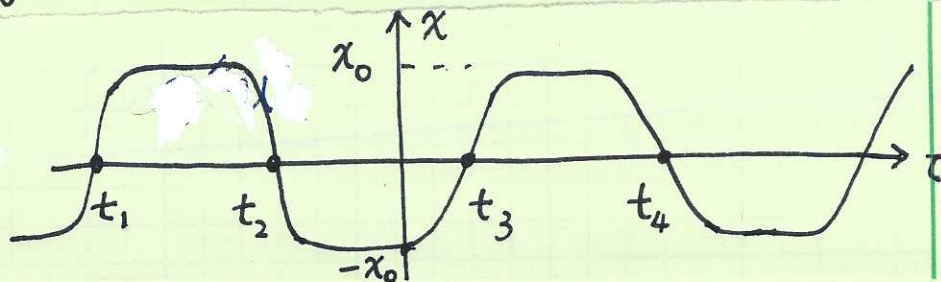
$$\Rightarrow z = -T/2 + \int_{-x_0}^x dx' \left( \frac{2V(x')}{m} \right)^{-1/2}$$

$$\begin{cases} x(-T/2) = -x_0 \end{cases}$$

classic action  $S_0 = \int_{-T/2}^{T/2} \frac{dz}{\hbar} \left[ \frac{m}{2} \left( \frac{dx}{dz} \right)^2 + V(x) \right] = \int_{-T/2}^{T/2} \frac{dz}{\hbar} m \left( \frac{dx}{dz} \right)^2$

$$= \int_{-x_0}^{x_0} dx \left( \frac{dx}{dz} \right) \frac{m}{\hbar} = \frac{m}{\hbar} \int_{-x_0}^{x_0} dx \sqrt{\frac{2mV(x)}{\hbar}}$$

At large  $T \rightarrow +\infty$ , we can have other classic paths



a configuration with  $n$ -instanton / anti-instanton

The leading order contribution  $S = n S_0$  ← contribution around  
 turning point contribution  $t \approx t_1, t_2, \dots, t_4$ .

At other time, i.e.  $t \neq t_1, t_2, \dots$ , the particle is mainly at  $\pm x_0$   
 where  $V''(x) = m\omega_0^2$ . This gives the contribution  $\left(\frac{m\omega_0}{\pi\hbar}\right)^{1/2} e^{-\omega T/2}$

Now let's integrate out all the possible location of centers.

$$\int_{-T/2}^{T/2} d\tau_1 \int_{-T/2}^{\tau_1} d\tau_2 \dots \int_{-T/2}^{\tau_{n-1}} d\tau_n = \frac{T^n}{n!} \Rightarrow$$

$$\langle x_0 | e^{-HT/\hbar} | -x_0 \rangle = \left(\frac{m\omega_0}{\pi\hbar}\right)^{1/2} e^{-\omega T/2} \sum_{n \in \text{odd}} \frac{(K e^{-S_0/\hbar} T)^n}{n!}$$

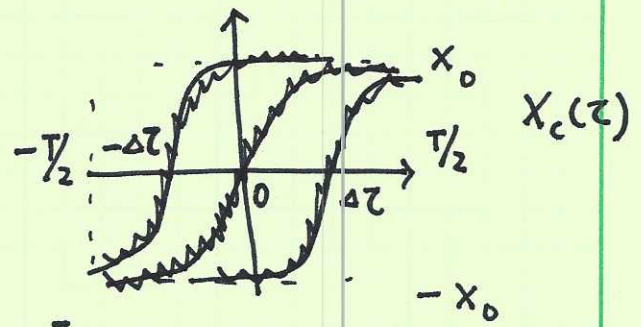
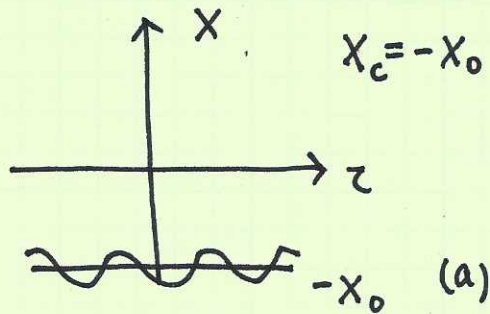
$$\text{Similarly } \langle -x_0 | e^{-HT/\hbar} | -x_0 \rangle = \left(\frac{m\omega_0}{\pi\hbar}\right)^{1/2} e^{-\omega T/2} \sum_{n \in \text{even}} \frac{(K e^{-S_0/\hbar} T)^n}{n!}$$

$$\Rightarrow \langle \pm x_0 | e^{-HT/\hbar} | -x_0 \rangle = \left(\frac{m\omega_0}{\pi\hbar}\right)^{1/2} e^{-\omega T/2} \begin{cases} \cosh(KT e^{-S_0/\hbar}) \\ \sinh(KT e^{-S_0/\hbar}) \end{cases}$$

Extract the time dependent part  $\Rightarrow E_{1,2} = \frac{\hbar \omega_0}{2} = \hbar K e^{-S_0/\hbar}$  ③

§ Evaluation of  $K$  ( $K$  carry unit of frequency)

Compare the sector of zero instanton, and one instanton



instanton can occur at any time between  $-T/2$  and  $T/2$

$$\text{define } K T e^{-S_0/\hbar} = \frac{\int_{x_c} D X e^{-S/\hbar}}{\int_{x \approx -x_0} D X e^{-S/\hbar}} \leftarrow \text{around (b)}$$

$$\leftarrow \text{config around (a)}$$

then LHS and RHS both dimensionless.

For RHS, we only need to choose an instanton configuration located at  $\tau=0$ . The other instantons are not independent, but can be viewed as zero mode fluctuations, i.e. if

$X_c(\tau)$  is a classic solution, so does  $X_c(\tau + \Delta\tau)$

$$\Rightarrow X_c(\tau + \Delta\tau) = X_c(\tau) + \Delta\tau \frac{d}{d\tau} X_c(\tau)$$

Since  $X_c(z+\Delta z)$  and  $X_c(z)$  give the same action  $\Rightarrow$   
minimal

$\frac{d}{dz} X_c(z)$  is a zero mode. We can prove explicitly

the classic solution satisfies  $-m \frac{d^2 X_c(z)}{dz^2} + V'(X_c(z)) = 0$

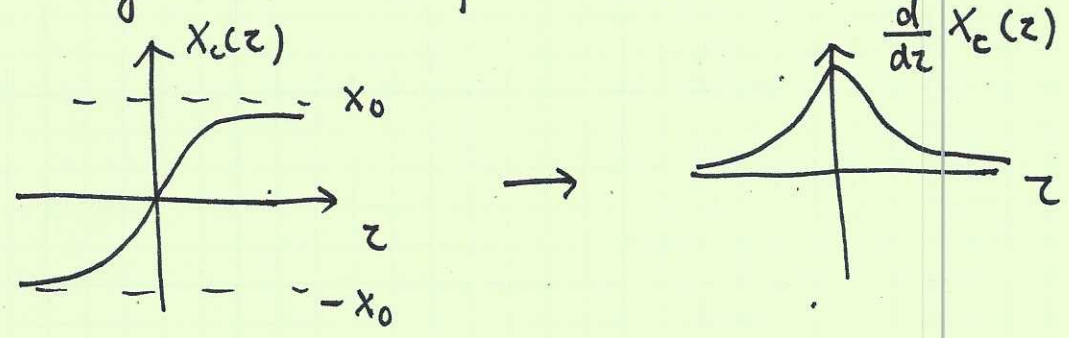
$\Rightarrow -m \frac{d^2}{dz^2} \left( \frac{d}{dz} X_c(z) \right) + V''(X_c(z)) \left( \frac{d}{dz} X_c(z) \right) = 0$

Now near  $X = X_c(z)$ ,  $S = S_0 + \int_{-T/2}^{T/2} dz \delta X \left[ -\frac{m}{2} \frac{d^2}{dz^2} + \frac{V''(X_c(z))}{2} \right] \delta X$

$\Rightarrow \int_{X_c} D\delta X e^{-S/\hbar} = e^{-S_0/\hbar} \int_{X_c} D\delta X e^{-\frac{1}{\hbar} \int dz \delta X \left( -\frac{m}{2} \frac{d^2}{dz^2} + \frac{V''(X_c(z))}{2} \right) \delta X}$

Since  $\frac{d}{dz} X_c(z)$  is a zero mode of  $-\frac{m}{2} \frac{d^2}{dz^2} + V''(X_c(z))$ , the

~~the~~ path integral will need special care.



Let us discretize the functional integral to have a better understanding

$\int_{X_c} D\delta X e^{-\frac{1}{\hbar} \int dz \delta X \left( -\frac{m}{2} \frac{d^2}{dz^2} + V'' \right) \delta X} = A \int \prod_{i=1}^N d\delta X_i \exp \left[ -\frac{\Delta t}{\hbar} \sum_{i,j=1}^N \delta X_i \left( -m(\Delta z)^{-2} \Delta + V'' \right) \delta X_j \right]$

$$= A \left( \sqrt{\frac{2\pi}{\Delta z}} \right)^N / \left\{ \text{Det} \left[ -m (\Delta z)^{-2} \Delta + V'' \right] \right\}^{1/2}$$

(  $\Delta$  is the discretized laplacian )

Now let's expand  $\delta X_i$  in terms of orthonormal basis of eigenstates of  $-m \frac{d^2}{dz^2} + V''$

$$\delta X_i = c_1 \varphi_1(z_i) + \sum_{n=2}^N c_n \varphi_n(z_i) \quad \text{and } \varphi_n(z_i) \text{ is normalized}$$

as  $\sum_i \Delta z \varphi_j(z_i) \varphi_{j'}(z_i) = \delta_{jj'} \Rightarrow \det [ (\Delta z)^{1/2} \varphi_j(z_i) ] = 1$   
 orth-normal or  $\det [ \varphi_j(z_i) ] = (\Delta z)^{-N/2}$

The Jacobian change from  $\prod_{i=1}^N dx_i \rightarrow \prod_{i=1}^N dc_i$

$$\prod_{i=1}^N dx_i = \prod_{i=1}^N dc_i \left| \frac{\partial X_i}{\partial c_j} \right| = \prod_{i=1}^N dc_i \det [ \varphi_j(z_i) ]$$

$$= \prod_{i=1}^N [ dc_i (\Delta z)^{-1/2} ]$$

$$\Rightarrow \int_{x_c} D\delta x e^{-S} = A \int \prod_{i=1}^N [ dc_i (\Delta z)^{-1/2} ] \exp \left[ - \sum_{j=1}^N \frac{\Delta z}{2} \lambda_j c_j^2 \right]$$

$$= A \underbrace{dc_1 (\Delta z)^{-1/2}}_{e^{-S_0/\hbar}} \int \prod_{i=2}^N dc_i (\Delta z)^{-1/2} \exp \left[ - \sum_{j=2}^N \frac{\Delta z}{2} \lambda_j c_j^2 \right]$$

$$= A \underbrace{dc_1 (\Delta z)^{-1/2}}_{e^{-S_0/\hbar}} \left( \frac{2\pi}{\Delta z} \right)^{N-1/2} \text{Det}' \left[ -m \frac{d^2}{dz^2} + V''(x_c) \right]$$

zero mode excluded

$$\Rightarrow K T e^{-S_0/\hbar} = A e^{-S_0/\hbar} (\Delta z)^{-N/2} \left( \frac{2\pi}{\hbar} \right)^{N/2} \left[ \text{Det}' \left[ -m \frac{d^2}{dz^2} + V''(x_c) \right] \right]^{-1/2} \int dC_1$$

$$\frac{1}{A (\Delta z)^{-N/2} \left( \frac{2\pi}{\hbar} \right)^{N/2} \left\{ \text{Det} \left[ -m \frac{d^2}{dz^2} + V''(-x_0) \right] \right\}^{-1/2}}$$

$$K T = \int \frac{dC_1}{\sqrt{2\pi\hbar}} \left\{ \frac{\text{Det}' \left[ -m \frac{d^2}{dz^2} + V''(x_c) \right]}{\text{Det} \left[ -m \frac{d^2}{dz^2} + V''(-x_0) \right]} \right\}^{-1/2}$$

now we need to figure out the relation  $\int dC_1$  and  $\int_{-T/2}^{T/2} dz = T$ .

Let's normalize  $\varphi_i(z) \propto \dot{x}_c(z) \leftarrow$  (zero mode)

$$S_0 = \int dz \left( \frac{1}{2} m \dot{x}_c^2 + V(x_c) \right) = \int dz m \dot{x}_c^2 = m \Delta z \sum_{i=1}^N \left( \frac{\Delta x_c}{\Delta z} \right)^2$$

$$\Rightarrow \Delta z \sum_{i=1}^N \left( \frac{\dot{x}_c(z_i)}{\sqrt{S_0/m}} \right)^2 = 1 \quad \Rightarrow \varphi_i(z) = \frac{\dot{x}_c(z)}{\sqrt{S_0/m}}$$

For  $\delta x(z) = \frac{C_1}{\sqrt{S_0/m}} \dot{x}_c(z)$ ,  $\rightarrow$  correspond a shift

$$x_c(z) \rightarrow x_c(z + \Delta z) \text{ with}$$

$$\Delta z = \frac{C_1}{\sqrt{S_0/m}}$$

Since the interval for  $\Delta z \in [-T/2, T/2] \Rightarrow C_1$ 's interval

$$-\sqrt{\frac{S_0}{m}} \frac{T}{2} \leq C_1 \leq \sqrt{\frac{S_0}{m}} \frac{T}{2} \Rightarrow \int dC_1 = \sqrt{\frac{S_0}{m}} \int dz = \sqrt{\frac{S_0}{m}} T$$

$$\Rightarrow K T = \sqrt{\frac{S_0}{2\pi m \hbar}} T \lim_{N \rightarrow \infty} \left\{ \frac{\text{Det}' \left[ -m \frac{d^2}{dz^2} + V''(x_0) \right]}{\text{Det} \left[ -m \frac{d^2}{dz^2} + V''(x_0) \right]} \right\}^{-1/2}$$

$$K = \sqrt{\frac{S_0}{2\pi \hbar}} \lim_{N \rightarrow \infty} \left\{ \frac{\text{Det}' \left[ -\frac{d^2}{dz^2} + \frac{1}{m} V''(x_0) \right]}{\text{Det} \left[ -\frac{d^2}{dz^2} + \frac{1}{m} V''(x_0) \right]} \right\}^{-1/2}$$

← please note the absorption of 'm'.

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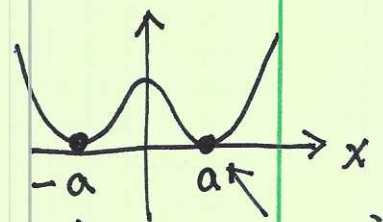
check unit: eigenvalues of Det has unit  $T^{-2}$ , Det' has one less eigenvalues than Det,  $\Rightarrow [K] = (T^{-2})^{-1 \times (-1/2)} = T^{-1}$

K carries frequency's unit, correct!

We have shown that eigenvalues of  $-\frac{d^2}{dz^2} + \frac{1}{m} V''(x_0)$  are all positive definite. How about  $-\frac{d^2}{dz^2} + \frac{1}{m} V''(x_c)$ ?

Since its zero mode  $\varphi_0(z)$  is nodeless, it must be the mode with lowest eigenvalue, so all other modes' eigenvalue must be positive

$$\Rightarrow K \text{ is real.}$$



Consider a concrete potential  $V(x) = \frac{m\omega^2(x^2 - a^2)^2}{8a^2} \sim \frac{1}{2}m(x-a)^2$

it can be calculated in J. Zinn-Justin (QFT and critical phenomena 1993)

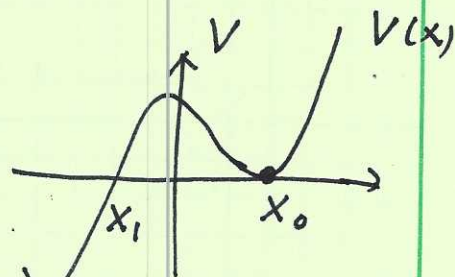
$$\frac{\det' \left[ -\frac{d^2}{dz^2} + V''(x_{cl}) \right]}{\det \left[ -\frac{d^2}{dz^2} + \omega^2 \right]} = \frac{1}{12} \omega^{-2}$$

$$S_0 = \frac{2}{3} m \omega a^2$$

$$\Rightarrow K = \sqrt{\frac{2 m \omega a^2}{3 \pi \hbar \cdot 2}} \sqrt{12} \omega = 2 \omega \sqrt{\frac{m \omega a^2}{\hbar}}$$

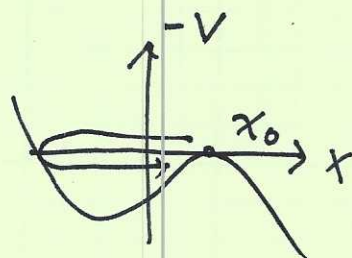
Decay of a false vacuum

Consider the potential  $V(x)$ , at put particle at  $x_0$ . We calculate  $\langle x_0 | e^{-HT} | x_0 \rangle$



$$\langle x_0 | e^{-HT} | x_0 \rangle = A \int D[x(z)] \exp \left[ - \int_{-T/2}^{T/2} \frac{dz}{\hbar} \left( \frac{m}{2} \left( \frac{dx}{dz} \right)^2 + V(x) \right) \right]$$

The classic path  $m \frac{d^2 x(z)}{dz^2} = V'(x)$



Again we have

$$\begin{aligned} \langle x_0 | e^{-HT} | x_0 \rangle &= e^{-T\omega/2} \sum_n \int_{-T/2}^{T/2} d\tau_1 \int_{\tau_1}^{T/2} d\tau_2 \cdots \int_{\tau_{n-1}}^{T/2} d\tau_n (K e^{-S_0})^n \\ &= \exp [KT e^{-S_0}] e^{-T\omega/2} \end{aligned}$$

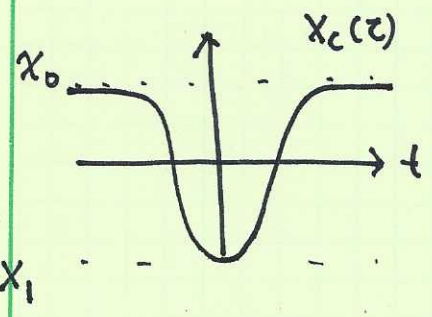
However, the "K" here is not real, but imaginary. If we

go back to real time, we have  $T \rightarrow iT$ ,

$$\langle x_0 | e^{iHT} | x_0 \rangle = e^{-iT \frac{\omega_0}{2}} \underbrace{-TK e^{-S_0}}_{\text{the decay probability}}$$



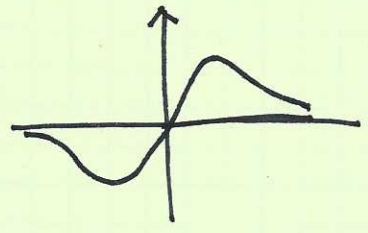
The difference from the double well solution: we don't have an instanton, but a "bounce" solution for  $e^{-S_0}$



the particle cannot stop at  $x_1$  and must come back.

The zero mode of  $-\frac{d^2}{dz^2} + V''(X_c)$

behaves like:



$$\phi_1(z) = \frac{d}{dz} X_c(z)$$

Since  $\phi_1(z)$  has one node, there must be an eigenmode with lower energy (i.e. negative). As a result,  $\left[ \text{Det} \left[ -\frac{d^2}{dz^2} + \frac{1}{m} V''(X_c) \right] \right]^{-1/2}$

becomes imaginary.  $\rightarrow K = \pm |K| i$

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80 SHEETS EYE-BASE - 3 SQUARES  
100 SHEETS EYE-BASE - 2 SQUARES  
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