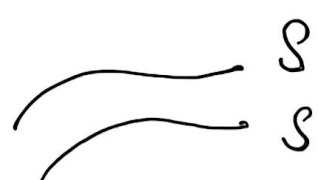


Lect 4 Wave mechanics

{ De Broglie's matter wave

{ Wave equation for matter wave.

Hint from Hamilton - Jacobi Eq

$$\psi(x,t) = e^{iS/\hbar}$$

$$= e^{i(W(x) - Et)/\hbar}$$

$$S = -i\hbar \ln \psi(x) - Et$$

Complex number "i" $\leftarrow i\hbar \frac{\partial}{\partial t} \psi = H \psi$

{ unification wave and ~~re~~ matrix mechanics

$$[p, x] = \hbar/i \quad \rightarrow \quad p = i\hbar \frac{d}{dx} \quad H \psi_n = E_n \psi_n$$

$$\langle \psi_1 | \hat{O} | \psi_2 \rangle$$

$$= \int dx \psi_1^* \hat{O} \psi_2$$

De Broglie: Matter wave

Einstein's photon hypothesis $E = \hbar \omega$

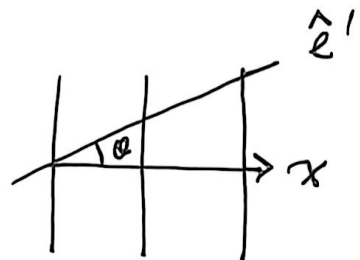
De Broglie's: Can we generalize this idea to material particles, say, electrons?

The question is how the frequency, i.e., oscillation associated with the particle? If we view the particle has certain internal oscillation, there would be time dilation according to relativity. Hence, for a moving particle, the frequency would be lower, which is in contradiction to $E = \sqrt{p^2 + m^2}$.

How to solve this problem? This frequency is not the frequency of a clock fixed to the electron. But a wave is accompanied with the particle, say, an electron. For a wave, what is invariance under frame transformation?

It is the number of periods, or, the phase is invariant.

$$\vec{k} \cdot \vec{x} - \omega t = \text{const}$$



wavevector λ multiplied with a direction, say $\lambda \hat{x}$. This is not a

vector, because the wave vector along the direction of $\hat{e}'$ is longer. Rather, if we define $\vec{k} = \frac{2\pi}{\lambda} \hat{x}$, then

(2)

$$\vec{k} \cdot \hat{e}' = k \cos \theta \Rightarrow \lambda e' = \lambda / \cos \theta. \text{ Hence, } \vec{k} \text{ is a 3-vector}$$

Similarly, for the relativistic case $\vec{k} \cdot \vec{x} - \omega t = \text{scalar}$
and $(\vec{x}, t)$ transforms according to Lorentz transformation.

So does $(\vec{k}, \omega)$.

De Broglie assumed the group velocity $v_g = \frac{d\omega}{dk}$ as
particle's velocity

$$\text{relativistic particle } E = \sqrt{p^2 c^2 + m_0^2 c^4} = mc^2$$

$$v = \frac{p}{m} = \frac{pc^2}{E}$$

$$\text{wave } v_g = \frac{d\omega}{dk} = v = \frac{pc^2}{E}$$

$$\Rightarrow \left. \begin{array}{l} \frac{\hbar d\omega}{\hbar dk} = \frac{pc^2}{E} \\ E = \hbar \omega \end{array} \right\} \Rightarrow \frac{1}{2} dE^2 = \hbar c^2 p dk$$

$$c^2 p dp = \hbar c^2 p dk \Rightarrow dp = \hbar dk$$

$$\text{or } p = \hbar / \lambda$$

$p \rightarrow 0$ take $\lambda \rightarrow \infty$ →

$$v_g v = \frac{\omega}{k} \frac{d\omega}{dk} = \frac{d\omega^2}{dk^2} = c^2$$

Debye's comment: if it is a wave, there should be

a wave equation. - classical wave $(\nabla^2 + k^2) \psi(x) = 0$

(2')

In fact De Broglie's picture is also valid in terms of non-relativistic physics — no-relativity is needed!

$\vec{k} \cdot \vec{x} - \omega t$ is also invariant under Galilean transform:

$$\begin{cases} x' = x - vt \\ t' = t \end{cases} \quad \begin{cases} k' = k \\ \omega' = \omega - vk \end{cases} \Rightarrow \boxed{\vec{k}' \cdot \vec{x}' - \omega' t' = \vec{k} \cdot \vec{x} - \omega t}$$

non-relative particle

$$\begin{cases} E = \frac{p^2}{2m} \\ v = \frac{p}{m} \end{cases} \Rightarrow \begin{aligned} dE &= \frac{p}{m} dp \\ \frac{dE}{dp} &= v \end{aligned}$$

wave $v_g = \frac{d\omega}{dk}$

energy quantum $E = \hbar \omega$

$$\left. \begin{aligned} & \\ & \end{aligned} \right\} \Rightarrow v_g = \frac{1}{\hbar} \frac{dE}{dk} = v \text{ (identify } v = v_g)$$

$$\Rightarrow \frac{1}{\hbar} \frac{dE}{dk} = \frac{dE}{dp}$$

$$\Rightarrow \boxed{p = \hbar k}$$

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⑧ Schrödinger Eq from Hamilton - Jacobi Eq.

$$S = S(x, p, t; x_0, p_0)$$

$$\begin{cases} \frac{\partial S}{\partial t} + H(x, p, t) = 0 \\ p = \frac{\partial S}{\partial x} \end{cases} \Rightarrow \frac{\partial S}{\partial t} + H(x, \frac{\partial S}{\partial x}) = 0$$

say, $\frac{\partial S}{\partial t} + \frac{1}{2m} \left(\frac{\partial S}{\partial x} \right)^2 + V(x) = 0 \leftarrow \because \left(\frac{\partial S}{\partial x} \right)^2 \rightarrow (\nabla S)^2$ in 3d

Set $S = W(x) - Et \Rightarrow \boxed{(\nabla S)^2 = 2m(E - V(x))}$

Define the equal potential surface

$$S(x, y, z, t) = \text{const}$$

$$\frac{dS}{dt} = \frac{\partial S}{\partial t} + \nabla S \cdot \frac{d\vec{r}}{dt} = 0 \leftarrow \begin{array}{c} \text{the equal value (phase)} \\ \text{surface's motion} \end{array}$$

$$-E + \nabla S \cdot \frac{d\vec{r}}{dt} = 0$$

$$\vec{u} = \left| \frac{d\vec{r}}{dt} \right| = \frac{E}{|\nabla S|} = \frac{E}{\sqrt{2m(E - V)}}$$

$$p_x^2 + \dots + p_z^2 = \left(\frac{\partial S}{\partial x} \right)^2 + \dots + \left(\frac{\partial S}{\partial z} \right)^2$$

$\vec{u} \perp \nabla S$ this is the phase velocity, not the group velocity

we set $\psi(r,t) = e^{iS/\hbar} = e^{i(W(r)-Et)/\hbar} = \psi(r) e^{-iEt/\hbar}$ ④

$$E = \hbar \omega \Rightarrow k = \hbar \Rightarrow S = -i\hbar \ln \psi(r) - Et$$

plug in $(\nabla S)^2 = 2m(E - V(r))$

$$\nabla S = -\frac{i\hbar}{\psi(r)} \nabla \psi(r) \quad \left\{ \begin{array}{l} \Rightarrow -\hbar^2 \left(\frac{1}{\psi(r)} \nabla \psi(r) \right)^2 \\ = 2m(E - V(r)) \end{array} \right.$$

$$\frac{\hbar^2}{2m} (\nabla \psi(r))^2 + (E - V(r)) (\psi(r))^2 = 0$$

But as a wave equation, it should be linear, we linearize it

$$\nabla \psi = \psi \cdot \frac{i}{\hbar} \nabla S$$

$$\begin{aligned} \nabla^2 \psi &= \nabla \psi \cdot \frac{i}{\hbar} \nabla S + \psi \frac{i}{\hbar} \nabla^2 S \\ &= \dots \psi \left(\frac{-1}{\hbar^2} \right) (\nabla S)^2 + \psi \frac{i}{\hbar} \nabla^2 S \end{aligned}$$

$$\hbar \rightarrow 0 \quad \nabla^2 \psi = \psi \left(\frac{-1}{\hbar^2} \right) \left(\frac{\hbar}{i} \right)^2 \left(\frac{\nabla \psi}{\psi} \right)^2 = (\nabla \psi)^2 / \psi$$

Then $\frac{\hbar^2}{2m} (\nabla \psi)^2 \sim \psi \frac{\hbar^2}{2m} \nabla^2 \psi$

$$\Rightarrow -\frac{\hbar^2}{2m} \nabla^2 \psi - (E - V(r)) \psi(r) = 0$$

$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V(r) \right) \psi(r) = E \psi(r)$$

test

$$\begin{aligned} \psi &= e^{ikr} \\ \nabla \psi &= ik \psi \\ \nabla^2 \psi &= (ik)^2 \psi \\ &= (\nabla \psi)^2 / \psi \end{aligned}$$

{ time-dependence

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The ordinary wave Eq. $\left(\frac{1}{v^2} \frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial x^2}\right) \psi(x,t) = 0$

$$\psi(x,t) = \psi_{\omega}(x) e^{-i\omega t} \Rightarrow \left(\frac{\partial^2}{\partial x^2} + k^2\right) \psi_{\omega}(x) = 0, \quad k^2 = \frac{\omega^2}{v^2}.$$

But ~~time~~, now:

$$\left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)\right] \psi = E \psi$$

$$\psi \sim e^{-i\omega t} = e^{-iE/\hbar t}, \quad E \rightarrow i\hbar \frac{\partial}{\partial t} \psi$$

This is inconsistent with the choice ~~of~~ $e^{-i\omega t}$

The appearance of "i" turns out to be important.

And there's no way to remove it from the equation

Complex numbers are essential quantum mechanics

under time evolution, the real and imaginary part of

ψ mixes.

⑥
- } unification of wave mechanics and matrix mechanics.

Compare $H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2$ (in matrix mechanics)

$$\left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{1}{2}m\omega^2 x^2 \right) \psi = E\psi \quad (\text{wave mechanics})$$

■ Hermitian matrix $\longleftrightarrow$ self-adjoint operator

$$p^2 = -\hbar^2 \frac{d^2}{dx^2}$$

check the canonical commutation law $\boxed{p = -i\hbar \frac{d}{dx}}$

$$[p, x] \psi(x) = -i\hbar \frac{d}{dx} (x\psi) + i\hbar x \frac{d}{dx} \psi = -i\hbar \psi(x)$$

$$\Rightarrow [p, x] = \hbar/i$$

∴ $\psi(x)$ is called wavefunction.

under a given boundary condition, i.e. $\psi(x \rightarrow \pm\infty) = 0$,

the eigenstates of $H = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x)$, span a linear space $|\psi_n\rangle$

∴ space of infinite dimensions. — Hilbert space

★ linear functional space $\rightarrow$ Normed vector space

$\rightarrow$ Banach space $\rightarrow$ Hilbert space (inner product!)

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Hilbert space: $|\psi_n\rangle \rightarrow \psi_n(x)$ satisfying

$$\int dx \psi_n^*(x) \psi_n(x) = 1, \quad L^2\text{-space}$$

$$\psi = \sum_{n=1}^{\infty} a_n |\psi_n\rangle.$$

inner product $\langle \psi_1 | \psi_2 \rangle = \int dx \psi_1^* \psi_2$

$$\langle \psi_2 | \psi_1 \rangle = \langle \psi_1 | \psi_2 \rangle^*$$

2. operator $\langle \psi_1 | O(x, \frac{d}{dx}) | \psi_2 \rangle = \int dx \psi_1^* \hat{O} \psi_2$

$$\langle \psi_1 | O^\dagger | \psi_2 \rangle = \langle \psi_2 | O | \psi_1 \rangle^* = \langle O \psi_1 | \psi_2 \rangle$$

$$\langle \psi_1 | \hat{p} | \psi_2 \rangle = \int dx \psi_1^*(x) (-i\hbar \frac{d}{dx}) \psi_2$$

$$= \int dx (-i\hbar) \frac{d}{dx} (\psi_1^* \psi_2) + i\hbar \int dx \left(\frac{d}{dx} \psi_1^* \right) \psi_2$$

$$= \left(-i\hbar \int dx \psi_2^* \frac{d}{dx} \psi_1 \right)^* = \langle \psi_2 | \hat{p} | \psi_1 \rangle^*$$

hence $\hat{p}^\dagger = p$, this is called Hermitian
(self-adjoint operator)

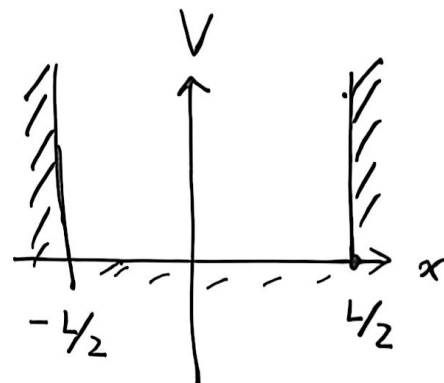
3° For any Hermitian operator $\hat{O}$, ~~its eigenvalues must~~
~~be real. $\hat{O} \psi(x) = \sum a_n \hat{O} \psi_n$~~

⑧

we define $\bar{O} = \frac{\langle \psi | O | \psi \rangle}{\langle \psi | \psi \rangle} = \frac{\int dx \psi^*(x) \hat{O} \psi(x)}{\int dx \psi^*(x) \psi(x)}$

① Simple examples:

infinitely deep potential well



$$\begin{cases} -\frac{\hbar^2}{2m} \nabla^2 \psi = E \psi \\ \psi(-L/2) = \psi(L/2) = 0 \end{cases}$$

V has the reflection symmetry.

$$P \psi(x) = \psi(-x), \quad P^{-1} = P, \quad P^2 = 1 \Rightarrow \text{eigenvalues of } P = \pm 1.$$

$$P V \psi = V(-x) \psi(-x) = \underline{P V P^{-1}} (P \psi)$$

$$\Rightarrow P V P^{-1} = V(-x), \quad \text{if } P V(x) P^{-1} = V(x)$$

then, we say V is reflectionally invariant.

we can classify $\psi(x)$ according to its even and oddness under reflection. Since $P H P^{-1} = -\frac{\hbar^2}{2m} \nabla^2 + V = H$,

we can find the common eigenstates of H .

$$\begin{cases} H \psi_n = E_n \psi_n \\ P \psi_n = \pm \psi_n \end{cases}$$

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① even parity solution

$$\psi_n^e(x) = A \cos k_n x$$

$$\Rightarrow \frac{k_n L}{2} = n\pi + \frac{\pi}{2}$$

$$= A \cos \frac{(2n+1)\pi}{L} x$$

$$k_n = \frac{(2n+1)\pi}{L} \quad n=0,1,2,\dots$$

② odd parity solution

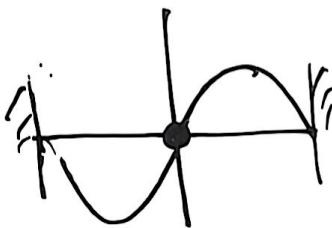
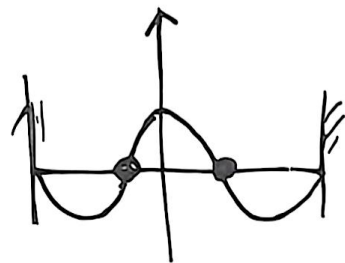
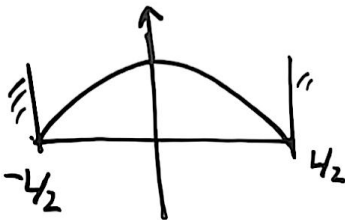
$$\psi_n^o(x) = A \sin k_n x$$

$$\frac{k_n L}{2} = \pi n$$

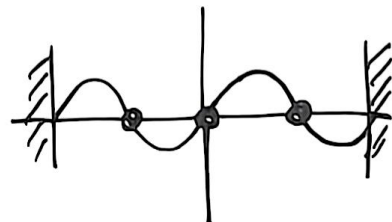
$$= A \sin \frac{2\pi}{L} n x$$

$$k_n = \frac{2\pi n}{L} \quad n=1,2,\dots$$

$$A^2 \int_{-L/2}^{L/2} dx |\psi|^2 = 1 \Rightarrow \frac{1}{2} \cdot L A^2 = 1 \Rightarrow A = \sqrt{\frac{2}{L}}$$



"nodes"



$$\Rightarrow E_k = \frac{\hbar^2 k^2}{2m} \Rightarrow \frac{\hbar^2}{2m} \left(\frac{2\pi}{L}\right)^2 \begin{cases} (2n)^2, & n=1,2,\dots \text{ odd} \\ (2n+1)^2, & n=0,1,2,\dots \text{ even parity} \end{cases}$$

The ground state $\psi^e(x) = A \cos \frac{\pi}{L} x$.